

2019 Postdoc Seminar Series

# Sensing heavy precipitation with GPS Polarimetric Radio Occultations: A new view of precipitation and water vapor

Ramon Padullés<sup>1\*</sup>,

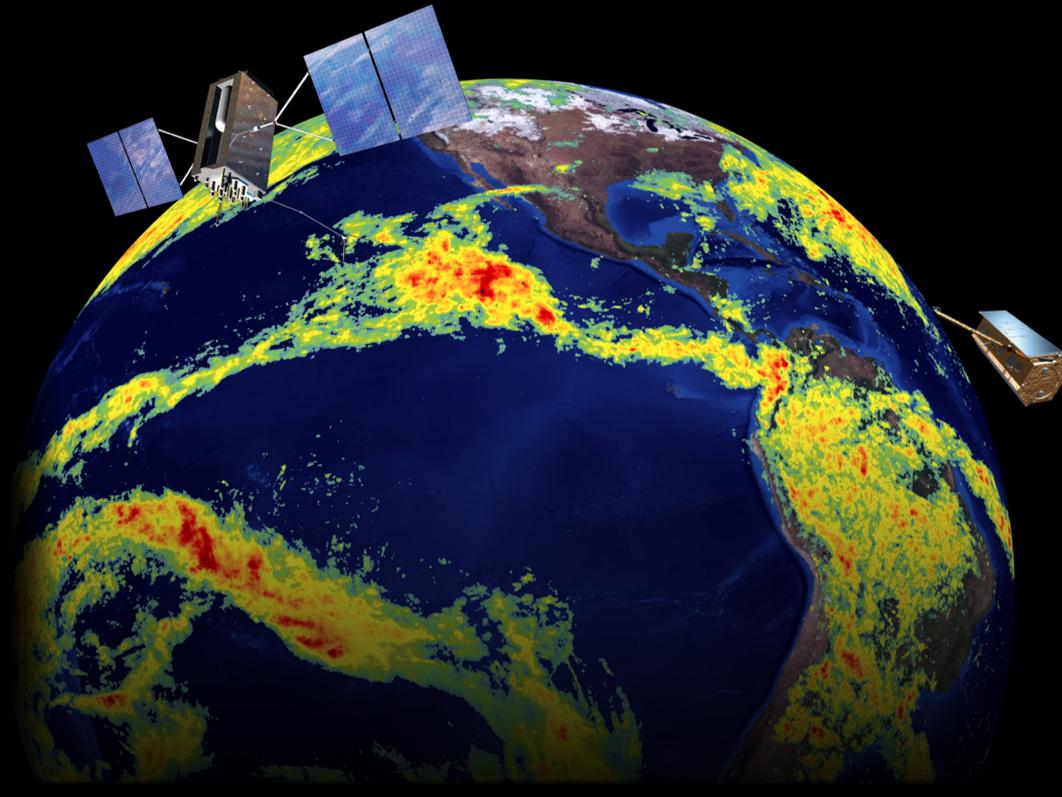
F. Joe Turk<sup>1</sup>, Chi O. Ao<sup>1</sup>, Manuel de la Torre<sup>1</sup>, B. Iijima<sup>1</sup>, K. N. Wang<sup>2</sup>

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**JPL**  
Jet Propulsion Laboratory  
California Institute of Technology



- Motivation
- GNSS Radio Occultations
- Polarimetric Radio Occultations concept
- Timeline
- Simulations and predicted performance
- ROHP PAZ experiment
  - Results after 9 months of operation
  - Calibration and Validation
  - Sensitivity to precipitation

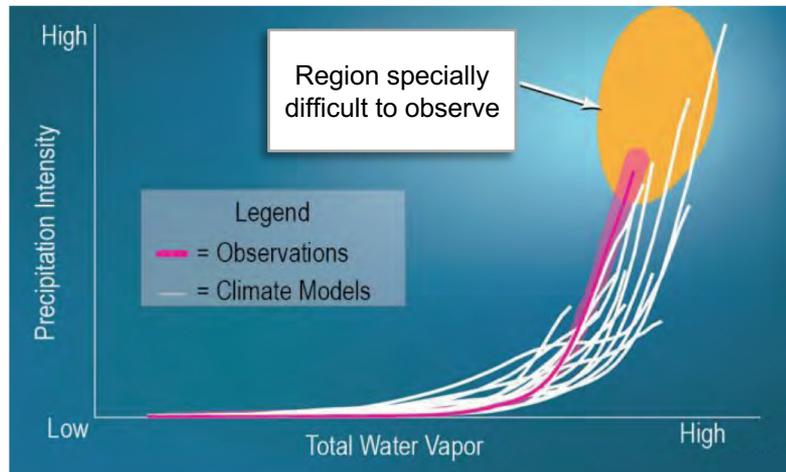
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# Motivation

To provide simultaneous observations of thermodynamics and heavy precipitation

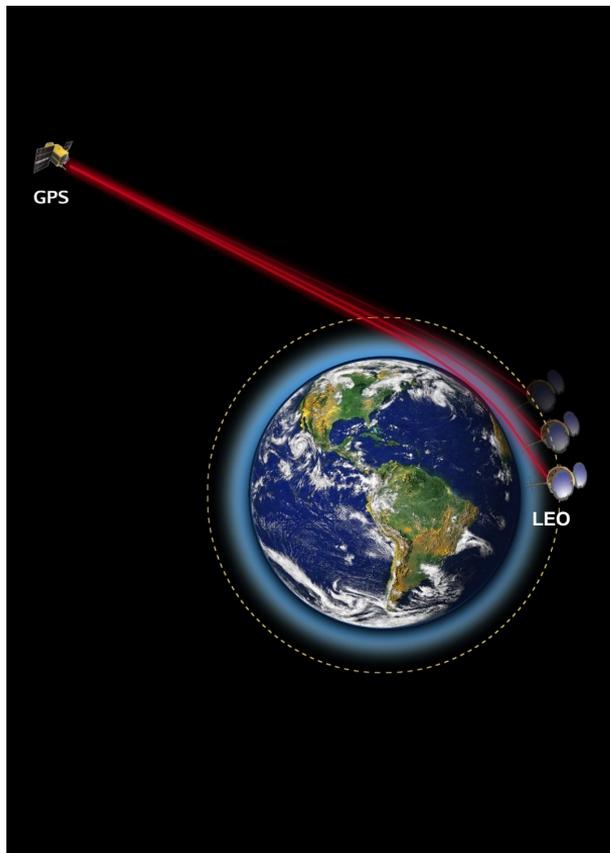
## *Thermodynamics of heavy precipitation*

- IR / MW sounders have problems penetrating clouds, surface calibration, vertical resolution
- Radiosondes remain fixed to certain locations
- Rely on collocated measurements for rain
- In the study of heavy precipitation and deep convection, this **lack of observations** results in uncertainties and discrepancies in modelling and predicting precipitation



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# GNSS Radio Occultations



- LEO tracks the radio wave from GPS while occulting behind Earth
- The radio links bend as they penetrate into the atmosphere, due to changes in the refractive index ( $n$ )
- GPS RO receivers can track very precisely the phase. With very precise knowledge of the positions of the satellites we can infer the delay due to the atmosphere

Excess phase  $\leftrightarrow$  Doppler shift



Bending angle  $\alpha(h)$



Refractivity profile  $N = N(T, P, wv)$



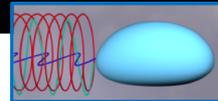
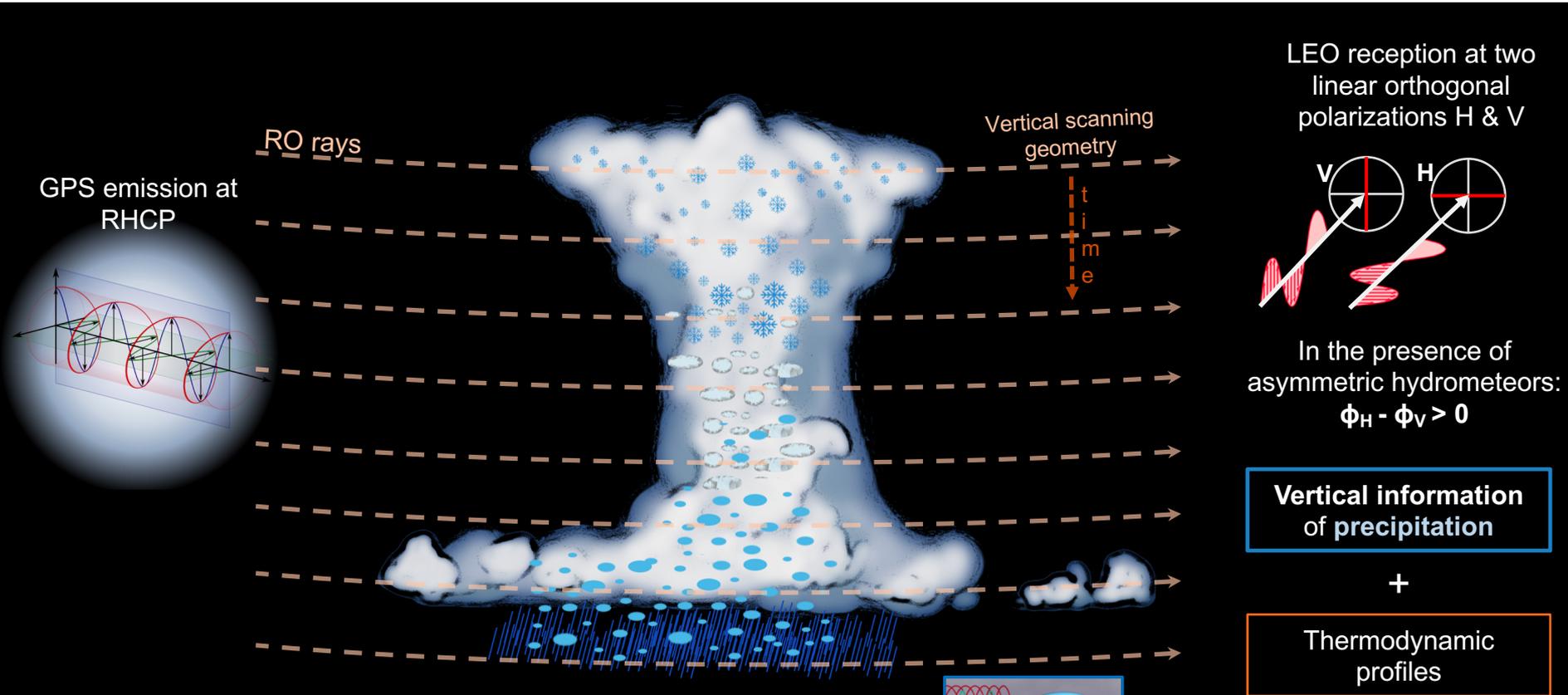
Thermodynamic vertical profiles

Temperature, Pressure,  
water vapor

- Globally distributed
- High vertical resolution
- No calibration required
- Over all surfaces
- Through all clouds
- **No precipitation**

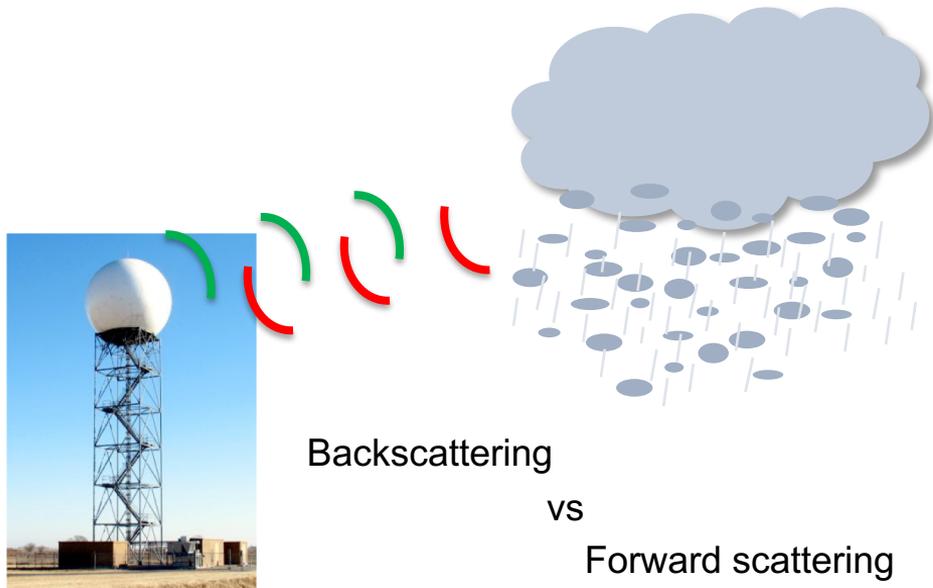
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# Polarimetric Radio Occultations (PRO)

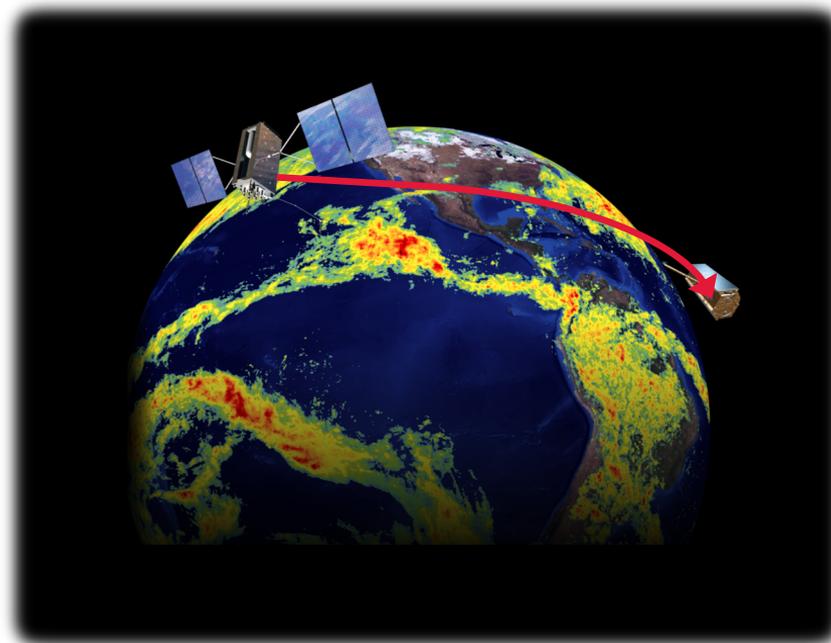


# Polarimetric Radio Occultations (PRO)

Fundamentals behind the concept have been extensively tested by Polarimetric Weather Radars (e.g. modern NEXRAD)



PRO are being tested for the **first time** with the Spanish PAZ satellite



# Outline

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# Timeline

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- **~2006** – Opportunity to include a scientific payload using the GPS receiver in a Spanish satellite to be launched in 2012.
- **2009** – Spanish Ministry for Science and Innovation approves a proposal to include a Polarimetric RO (PRO) payload in PAZ satellite. PI: Estel Cardellach (ICE, CSIC, IEEC)
- **2012-2014** – Theoretical sensitivity analyses show that PRO should be able to detect heavy precipitation (*Cardellach et al. IEEE TGRS*)
- **2013-2015** – Field Campaign in Barcelona: first GNSS signals acquired with a 2-pol antenna for rain detection. First results suggest sensitivity to rain (*Padullés et al. ACP*)
- **2018** – PAZ launch
- **2018** – First PRO collected from space. First evidences that PRO is sensitive to heavy precipitation (*Cardellach et al. GRL*)

# Timeline

- **~2006** – Opp
- **2009** – Spanis
- **2012-2014** – T
- **2013-2015** – F
- **2018** – PAZ la
- **2018** – First P

## **JPL** involvement in the mission and technique:

- Chi Ao, Manuel de la Torre, and Joe Turk in the Science team of the PAZ mission since the beginning
- NASA ROSES (2)
- USPI
- NPP
- IGOR+ receiver designed at JPL and modified by BroadReach (same as in Terrasar X, similar to COSMIC)
- Current proposals using PRO concept
- Processing RO data from PAZ satellite
- Full involvement in the Cal/Val phase of the PAZ mission

receiver in a  
to include a  
SIC, IEEC)  
e to detect  
with a 2-pol  
al. ACP)  
e to heavy

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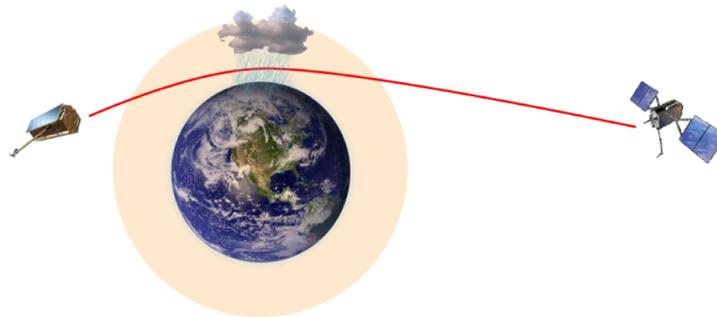
# Simulations and Predicted Performance

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## End to End propagation effects

emission state  
precip intensity  
precip extension  
electron density  
magnetic field  
antenna pattern  
receiver

$$\Delta\Phi = \Delta\Phi(E, K_{dp}, L, n_e, \vec{B}, A, R)$$



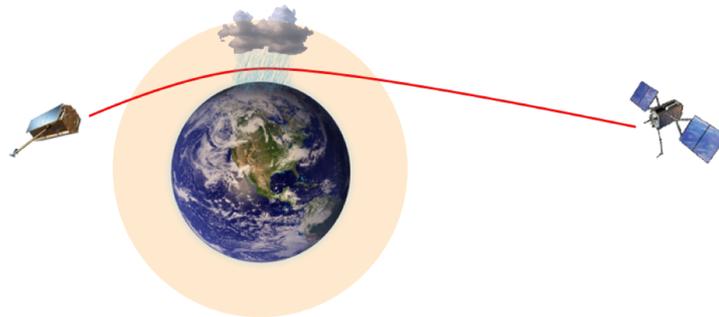
# Simulations and Predicted Performance

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## End to End propagation effects

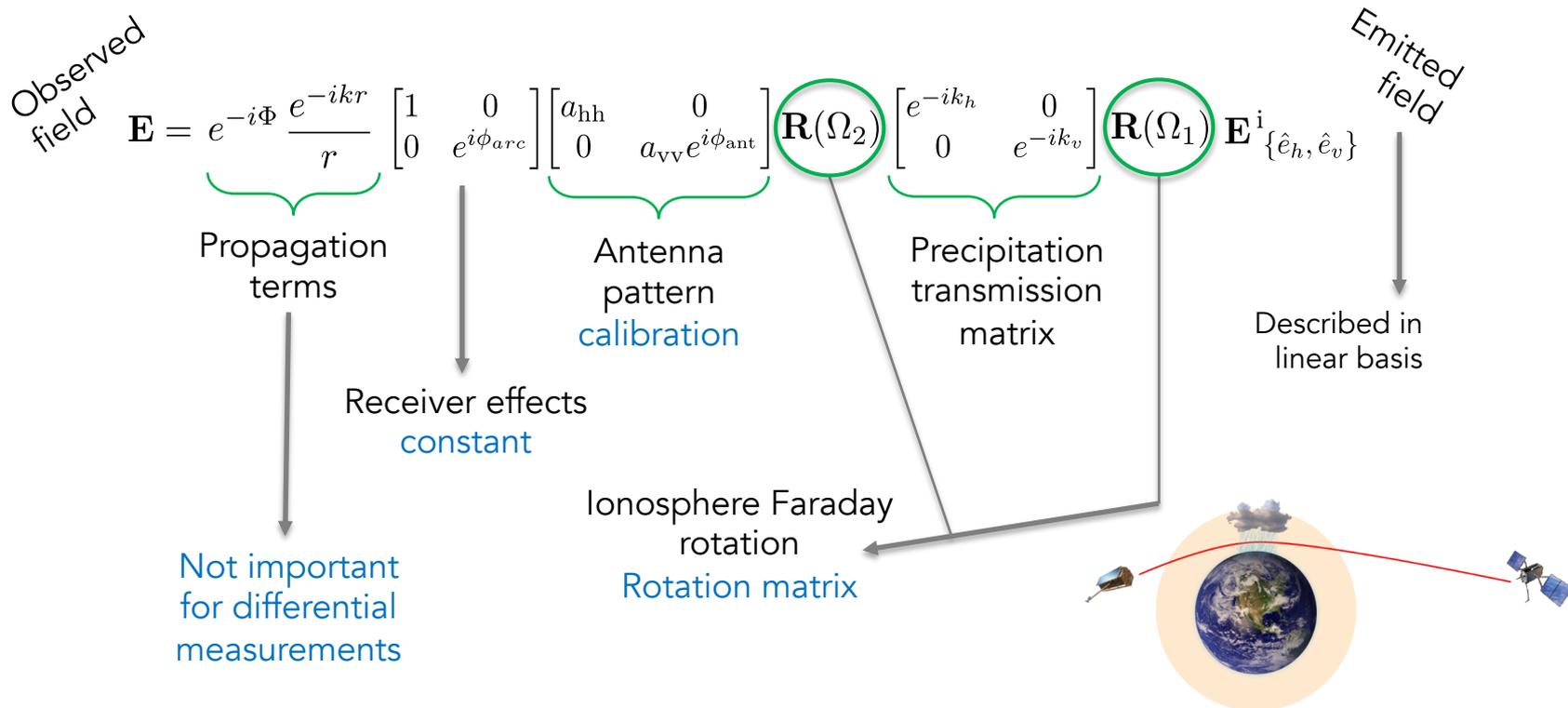
$$\mathbf{E} = e^{-i\Phi} \frac{e^{-ikr}}{r} \begin{bmatrix} 1 & 0 \\ 0 & e^{i\phi_{arc}} \end{bmatrix} \begin{bmatrix} a_{hh} & 0 \\ 0 & a_{vv} e^{i\phi_{ant}} \end{bmatrix} \mathbf{R}(\Omega_2) \begin{bmatrix} e^{-ik_h} & 0 \\ 0 & e^{-ik_v} \end{bmatrix} \mathbf{R}(\Omega_1) \mathbf{E}^i_{\{\hat{e}_h, \hat{e}_v\}}$$

$$\Delta\Phi = \Delta\Phi(E, K_{dp}, L, n_e, \vec{B}, A, R)$$



# Simulations and Predicted Performance

## End to End propagation effects



# Simulations and Predicted Performance

---

Propagation through precipitation introduces a differential phase delay between the H and V components

$$\Phi_H - \Phi_V = \Delta\Phi_{precip} = \int_L K_{dp}(l) dl$$
$$K_{dp} = \frac{\lambda^2}{2\pi} \int_{D_{min}}^{D_{max}} \Re\{S_h - S_v\} N(D) dD$$

# Simulations and Predicted Performance

Propagation through precipitation introduces a differential phase delay between the H and V components

$\Phi_H - \Phi_V = \Delta\Phi_{precip} = \int_L K_{dp}(l) dl$

**Intensity of precipitation**

**Extension of precipitation**

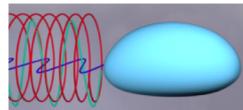
$K_{dp} = \frac{\lambda^2}{2\pi} \int_{D_{min}}^{D_{max}} \Re\{S_h - S_v\} N(D) dD$

**Scattering amplitude matrix**

**Drop Size Distribution**

- Distribution of sizes
- Higher rain rate implies larger rain drops

- Size of precipitation particles
- Axis ratio (how asymmetric particles are)
- Composition of the particles (water, ice, ...)



# Simulations and Predicted Performance

Is the **ionosphere** affecting the differential phase shift?

## Faraday Rotation $\Omega$

$$\text{RHCP: } \begin{bmatrix} 1 \\ 0 \end{bmatrix}_{\{e_R, e_L\}} \rightarrow \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ i & -i \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ i \end{bmatrix}_{\{e_H, e_V\}}$$

$$1): \quad X = \frac{E_V}{E_H} = i \rightarrow \arg(i) = 90^\circ$$

$$2): \quad \begin{pmatrix} \cos(\Omega) & -\sin(\Omega) \\ \sin(\Omega) & \cos(\Omega) \end{pmatrix} \frac{1}{\sqrt{2}} \begin{bmatrix} 1 \\ i \end{bmatrix}_{\{e_H, e_V\}} =$$

$$\frac{1}{\sqrt{2}} \begin{pmatrix} \cos(\Omega) - i \sin(\Omega) \\ \sin(\Omega) + i \cos(\Omega) \end{pmatrix} \rightarrow X = \frac{E_V}{E_H} =$$

$$\frac{\sin(\Omega) + i \cos(\Omega)}{\cos(\Omega) - i \sin(\Omega)} = \frac{i(\sin^2(\Omega) + \cos^2(\Omega))}{(\sin^2(\Omega) + \cos^2(\Omega))} = i$$

$$\rightarrow \arg(i) = 90^\circ$$

For RCHP, the ionosphere (FR) does not induce any differential phase shift

What if non-RHCP?

1) - After rain, the EM wave is no longer RHCP:

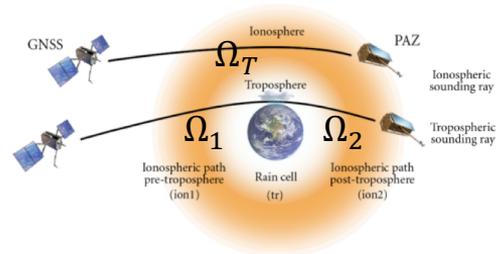
$$\Delta\phi_{measured} \approx [1 - 2\Omega_2^2(t)]\Delta\phi_{rain}(t)$$

2) - The GPS transmission is not perfectly RHCP:

$$\Delta\phi_{measured} \approx 2m \sin(\Omega_{Total}(t)) + [1 - 2\Omega_2^2(t)]\Delta\phi_{rain}(t)$$

\* non-RHCP emitted wave:  $\begin{bmatrix} 1 \\ m e^{i\Delta} \end{bmatrix}_{\{e_R, e_L\}}$

Tolerance up to 10% of the orthogonal component according to official GPS documentation



# Simulations and Predicted Performance

Is the **ionosphere** affecting the differential phase shift?

## Faraday Rotation $\Omega$

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$$\frac{1}{\sqrt{2}} \begin{pmatrix} \cos(\Omega) - i \sin(\Omega) \\ \sin(\Omega) + i \cos(\Omega) \end{pmatrix} \rightarrow X = \frac{E_V}{E_H} =$$

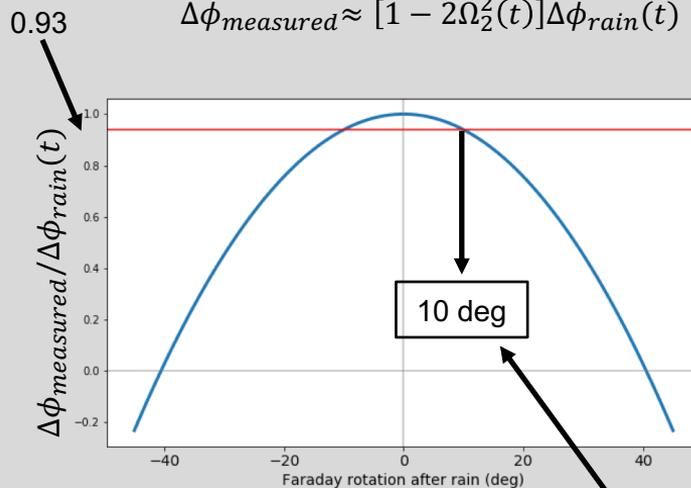
$$\frac{\sin(\Omega) + i \cos(\Omega)}{\cos(\Omega) - i \sin(\Omega)} = \frac{i (\sin^2(\Omega) + \cos^2(\Omega))}{(\sin^2(\Omega) + \cos^2(\Omega))} = i$$

$$\rightarrow \arg(i) = 90^\circ$$

For RCHP, the ionosphere (FR) does not induce any differential phase shift

## simulations

$$\Delta\phi_{\text{measured}} \approx [1 - 2\Omega_2^2(t)]\Delta\phi_{\text{rain}}(t)$$



Maximum observed Faraday Rotation  $\Omega_2$  : 10 degrees

# Simulations and Predicted Performance

## End to End propagation effects

Observed  
field

$$\mathbf{E} = e^{-i\Phi} \frac{e^{-ikr}}{r} \begin{bmatrix} 1 & 0 \\ 0 & e^{i\phi_{arc}} \end{bmatrix} \begin{bmatrix} a_{hh} & 0 \\ 0 & a_{vv}e^{i\phi_{ant}} \end{bmatrix} \mathbf{R}(\Omega_2) \begin{bmatrix} e^{-ik_h} & 0 \\ 0 & e^{-ik_v} \end{bmatrix} \mathbf{R}(\Omega_1) \mathbf{E}^i_{\{\hat{e}_h, \hat{e}_v\}}$$

Emitted  
field

We are confident that:

- We are able to correct most of the effects
- The residual ionospheric effect is small

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# ROHP – PAZ experiment

## Radio Occultations and Heavy Precipitation with PAZ

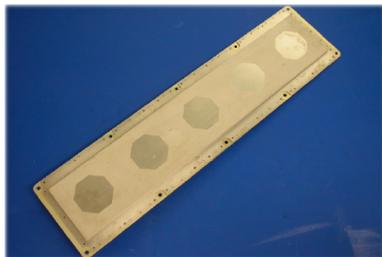
- Proof of concept mission on the Spanish PAZ satellite
- Main PAZ payload: SAR
- PAZ launched **Feb 22, 2018**, from VAFB
- Sun-synchronous dusk/dawn polar orbit
- **Polarimetric experiment** activated on May 10, 2018

PAZ artistic view



Credit: Hisdesat

PAZ PRO antenna



Credit: Hisdesat

PAZ satellite deployment



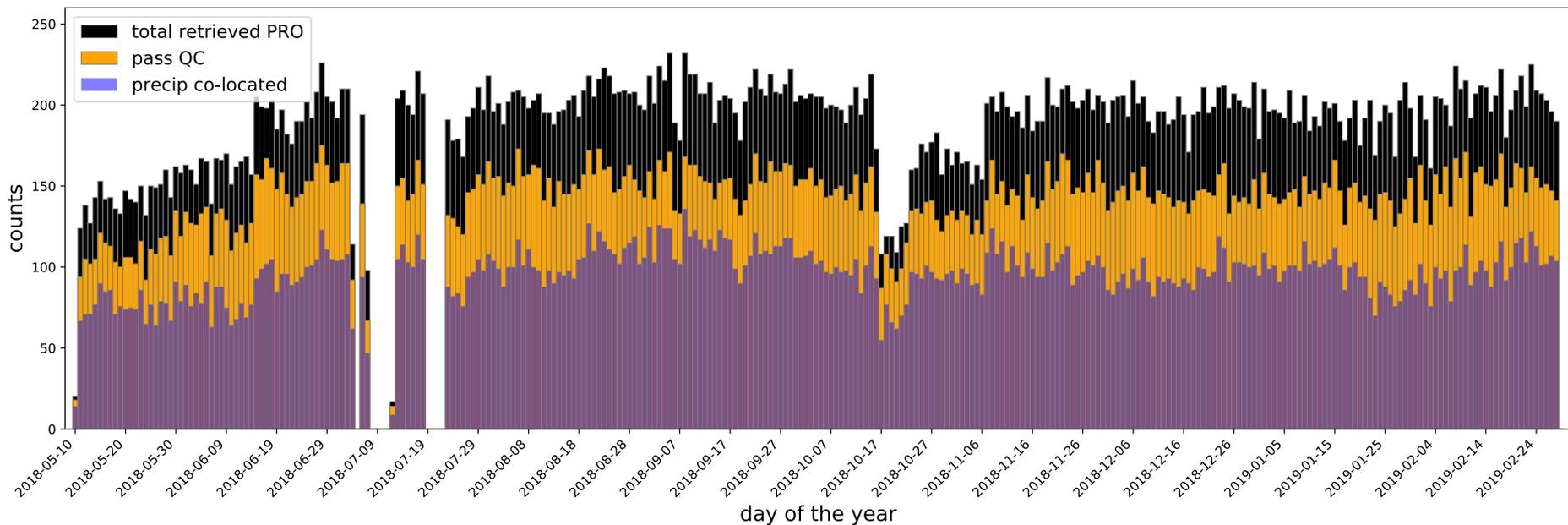
Credit: SpaceX



Credit: SpaceX

# ROHP – PAZ experiment

## Number of collected PROs

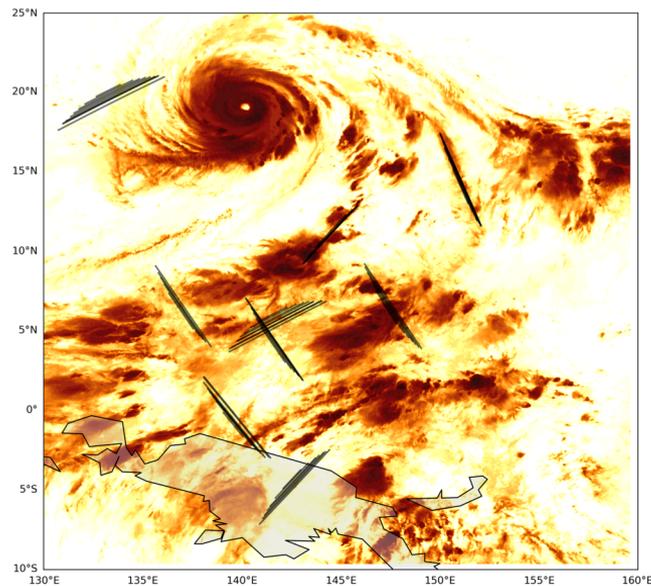


# ROHP – PAZ experiment

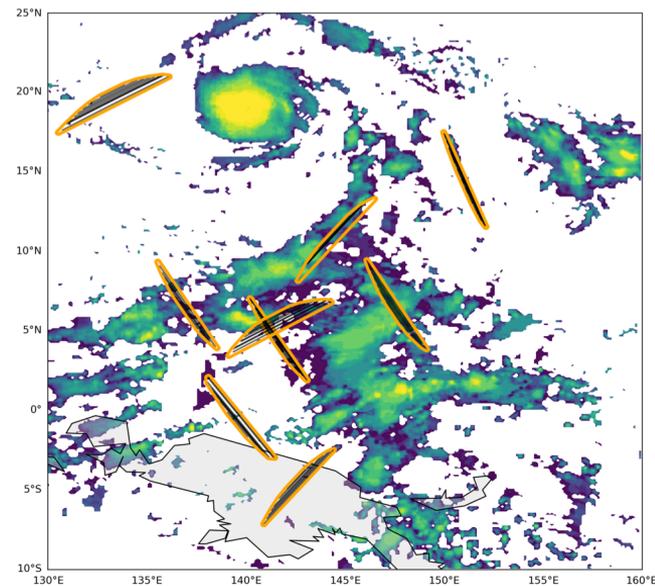
## Validation using GPM constellation products

- Each RO is linked to a  $T_{\min}$  and  $R_{\text{mean}}$
- Precipitation values:  
Precip in the region sensed by PRO
- Coverage between  $\pm 60$  deg latitude

NCEP / CPC Brightness Temperature

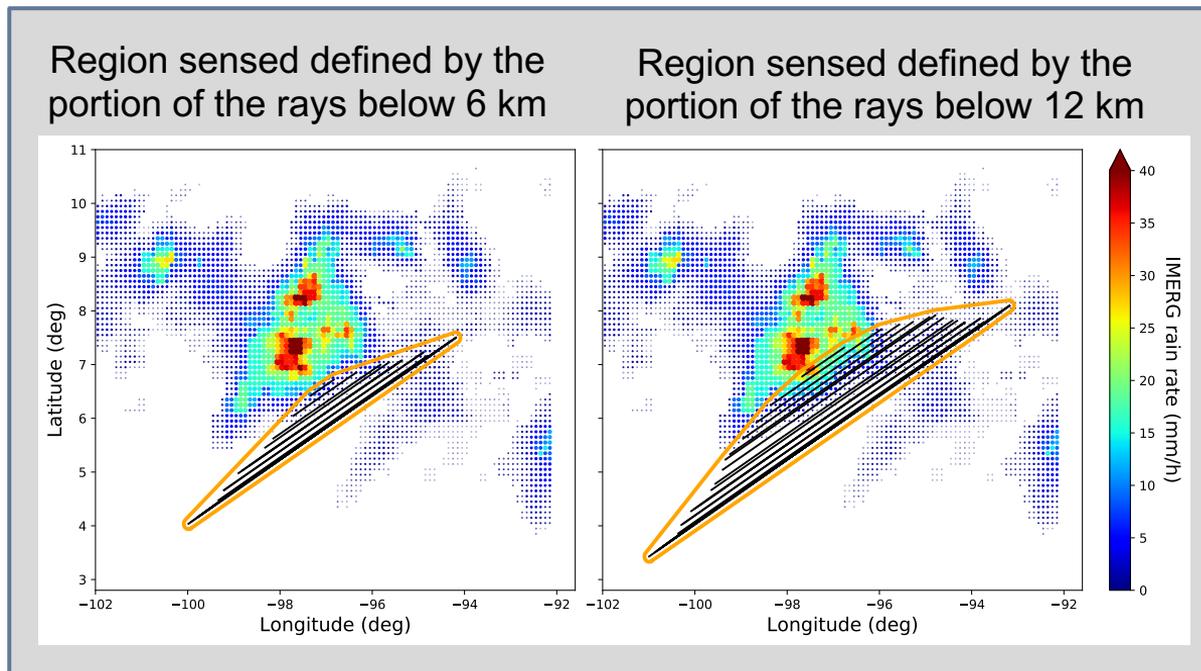


IMERG precipitation



## Validation using GPM constellation products

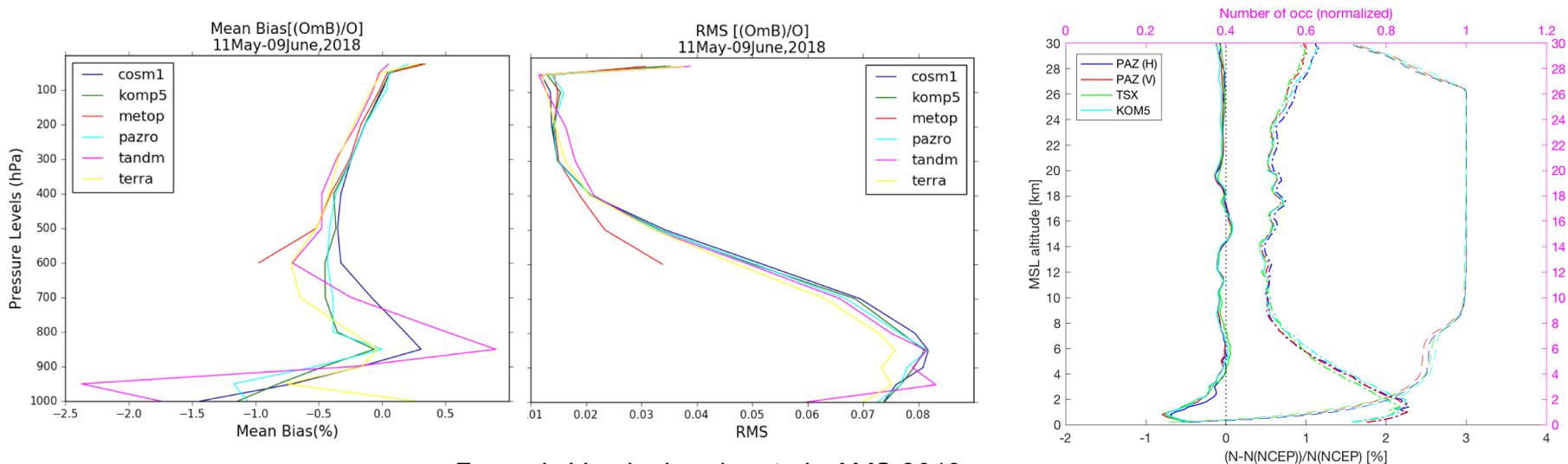
- Each RO is linked to a  $T_{\min}$  and  $R_{\text{mean}}$
- Precipitation values:  
Precip in the region sensed by PRO
- Coverage between  $\pm 60$  deg latitude



# ROHP – PAZ experiment

## Standard products quality

- Comparable to TerraSar-X and Kompsat-5 (similar satellite, similar receiver)
- **Good news** => using a 2-pol antenna does not impact quality of standard products

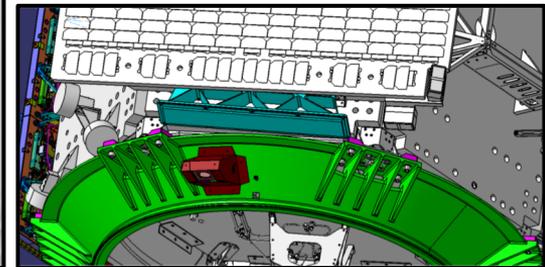
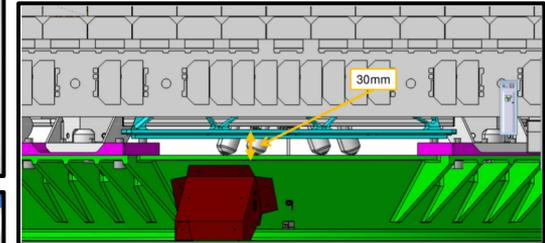
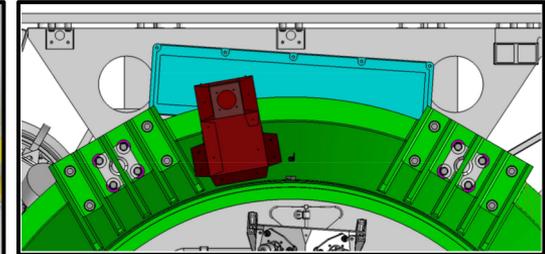
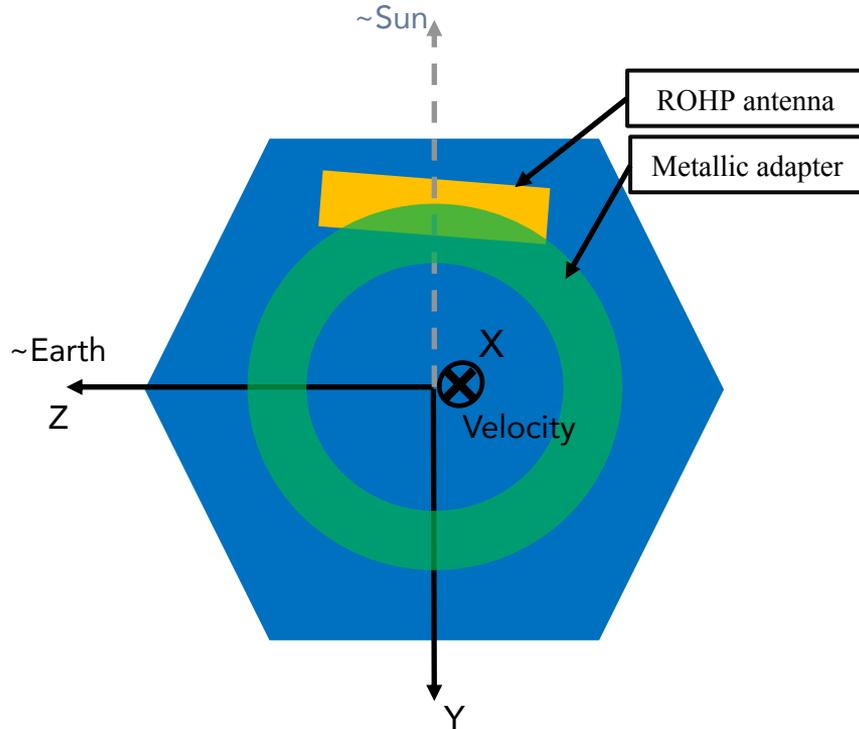


François Vandenberghe et al., AMS 2019

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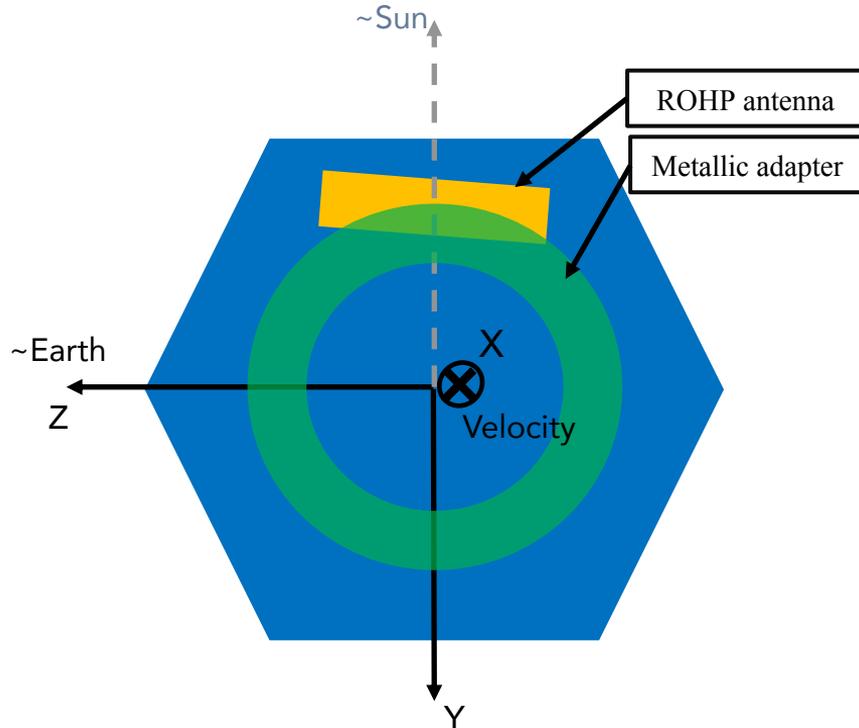
# ROHP – PAZ experiment

## Antenna obstruction

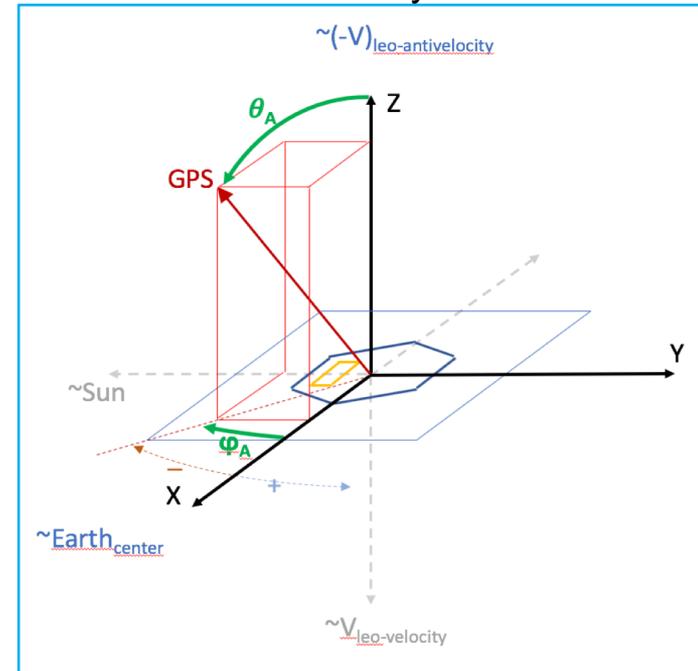


# ROHP – PAZ experiment

## Antenna obstruction



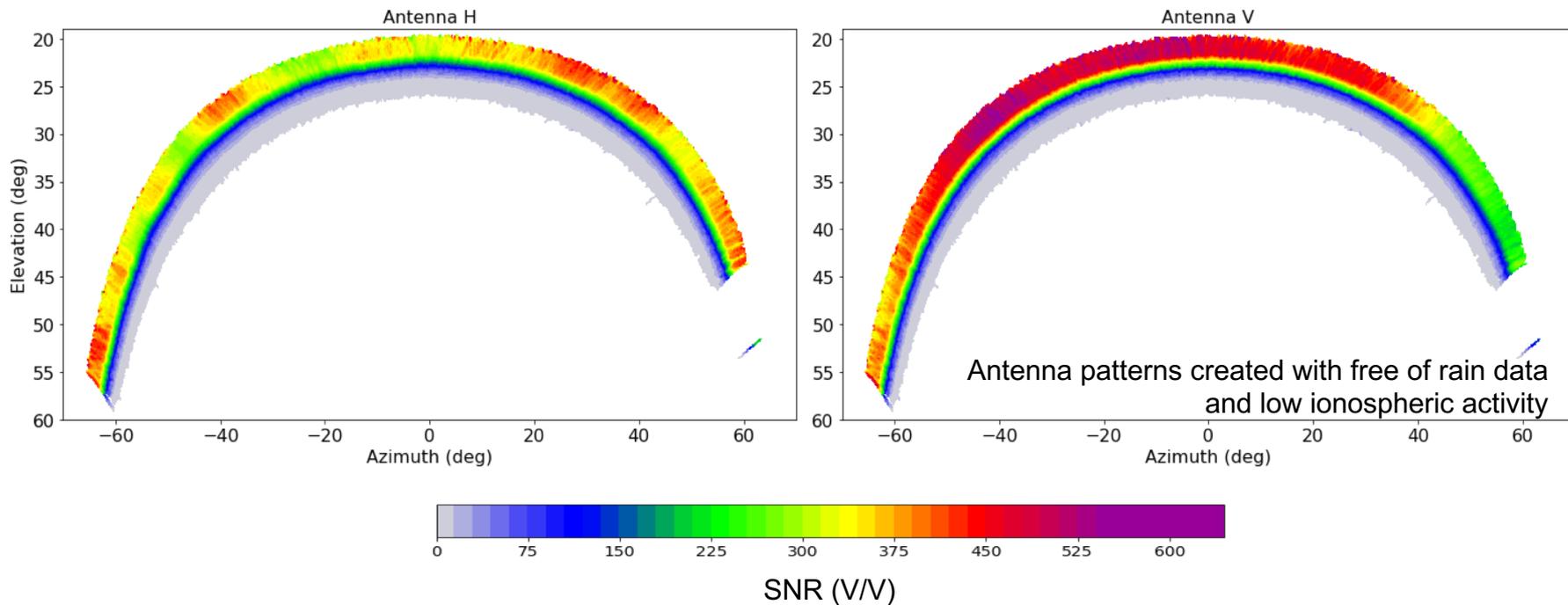
## Reference system



# ROHP – PAZ experiment

## Antenna pattern

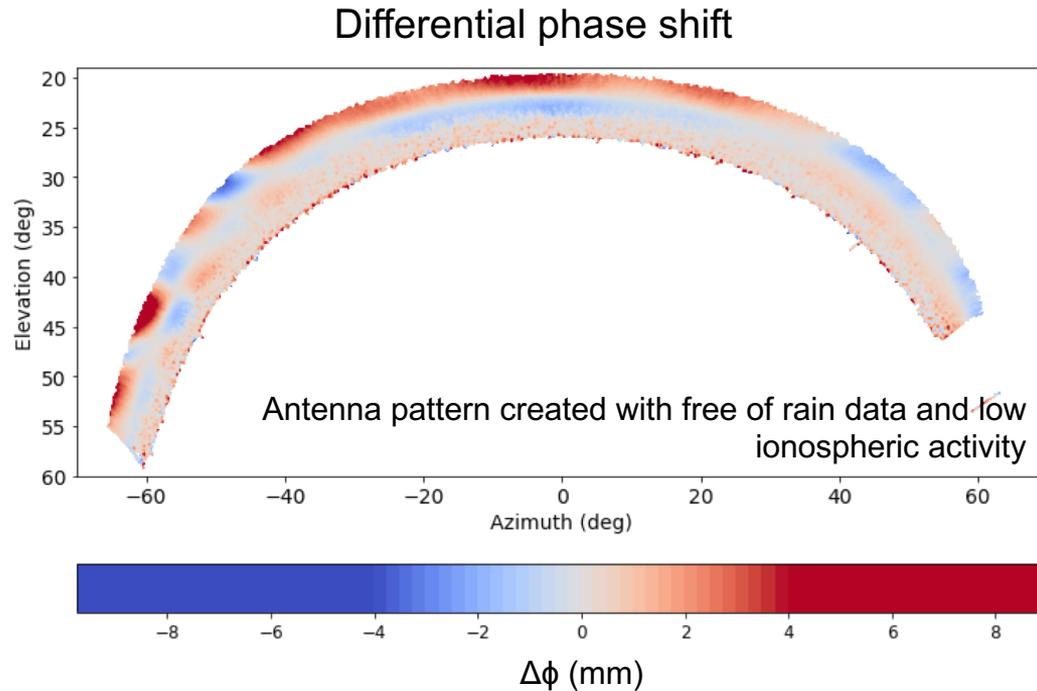
### Signal to Noise Ratio



# ROHP – PAZ experiment

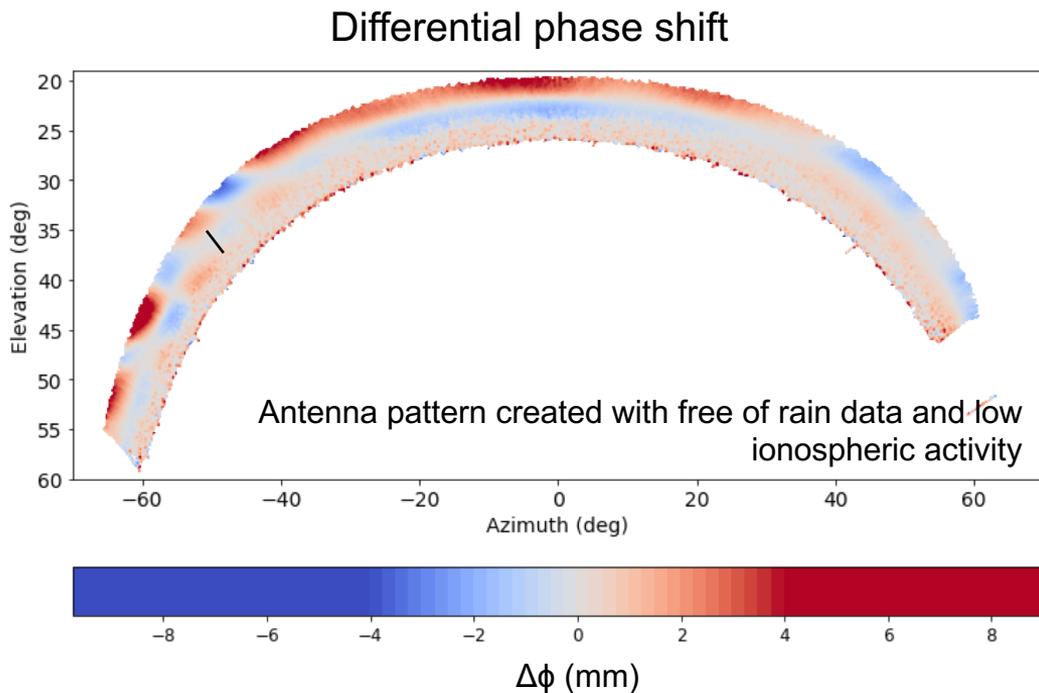
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## Antenna pattern

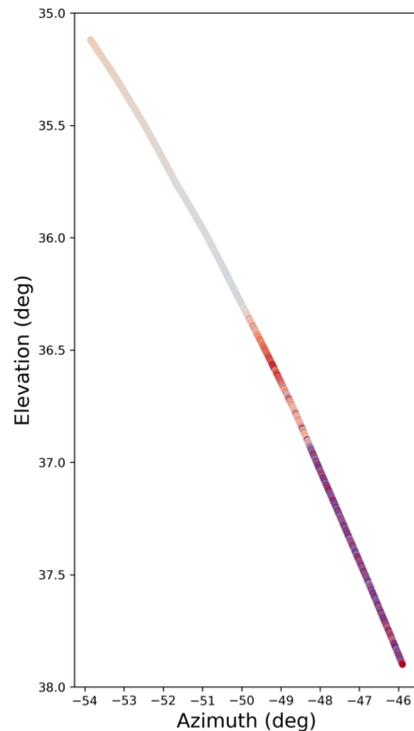


# ROHP – PAZ experiment

## Antenna pattern – correction of the data



2018-10-24-14:03paz\_gps68

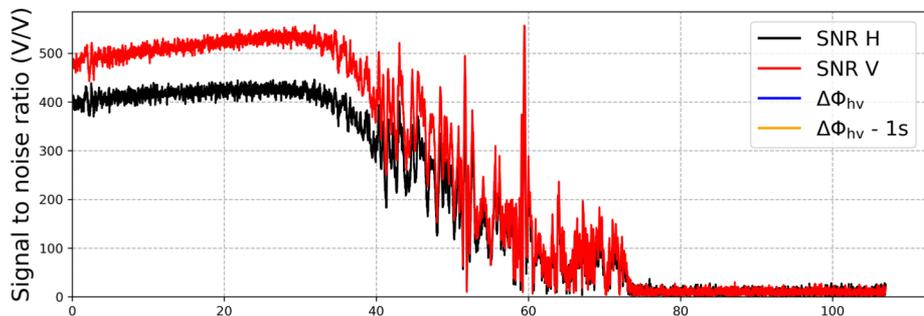


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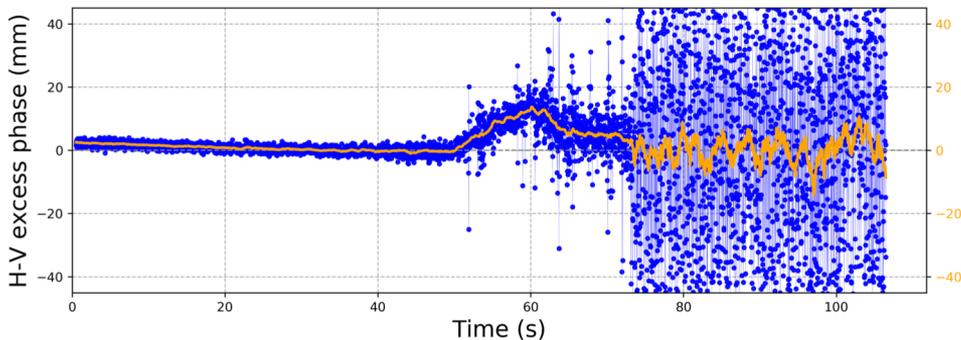
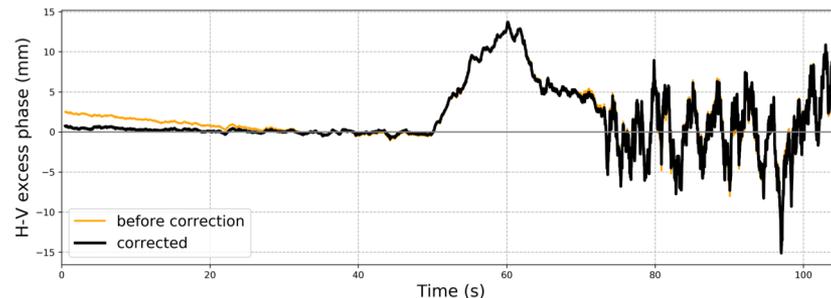
## Calibration and Validation

2018-10-24-14:03paz\_gps68

### Observation



### Corrected with antenna pattern

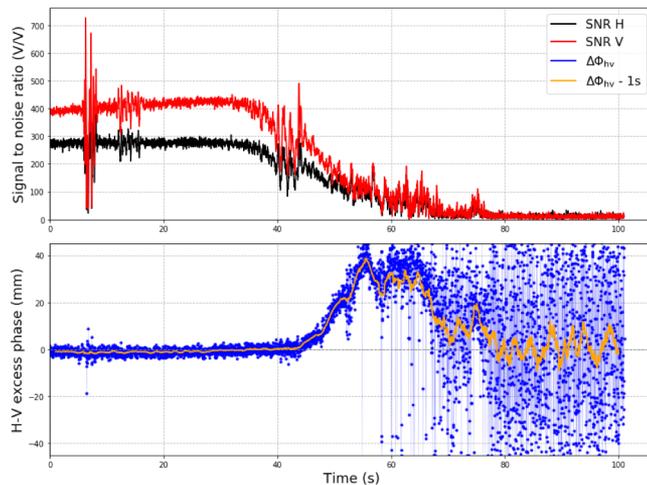
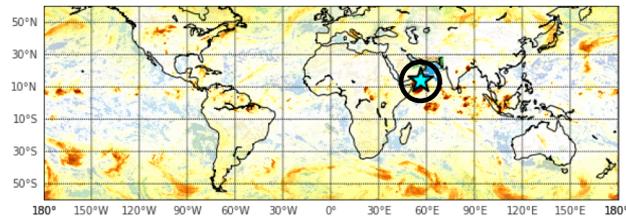
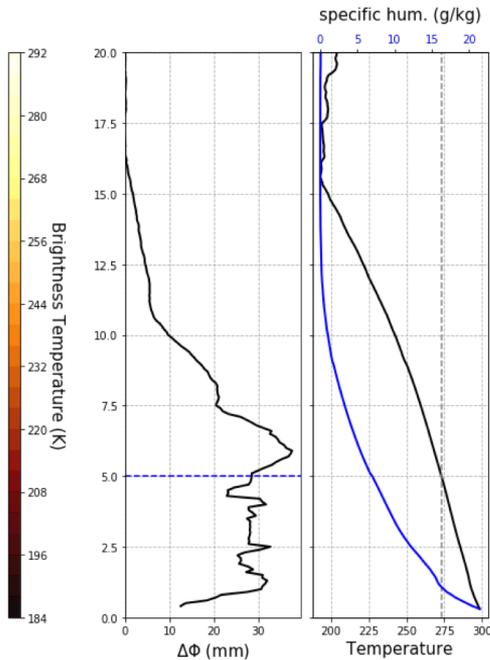
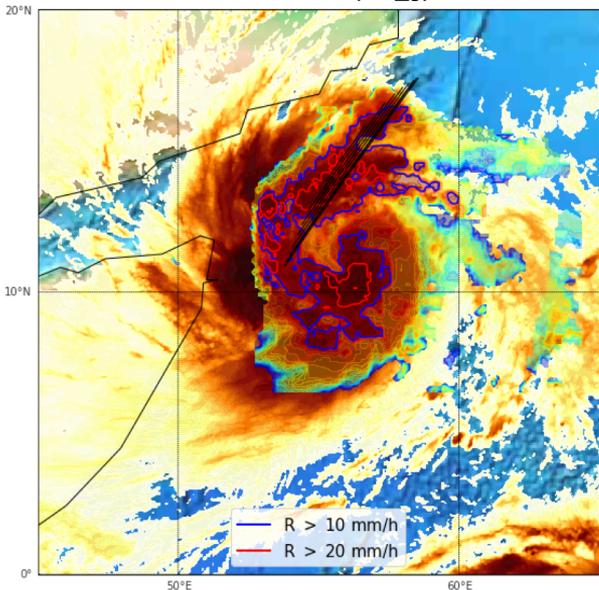


Smoothing procedure:

- 1-s weighted average with SNR

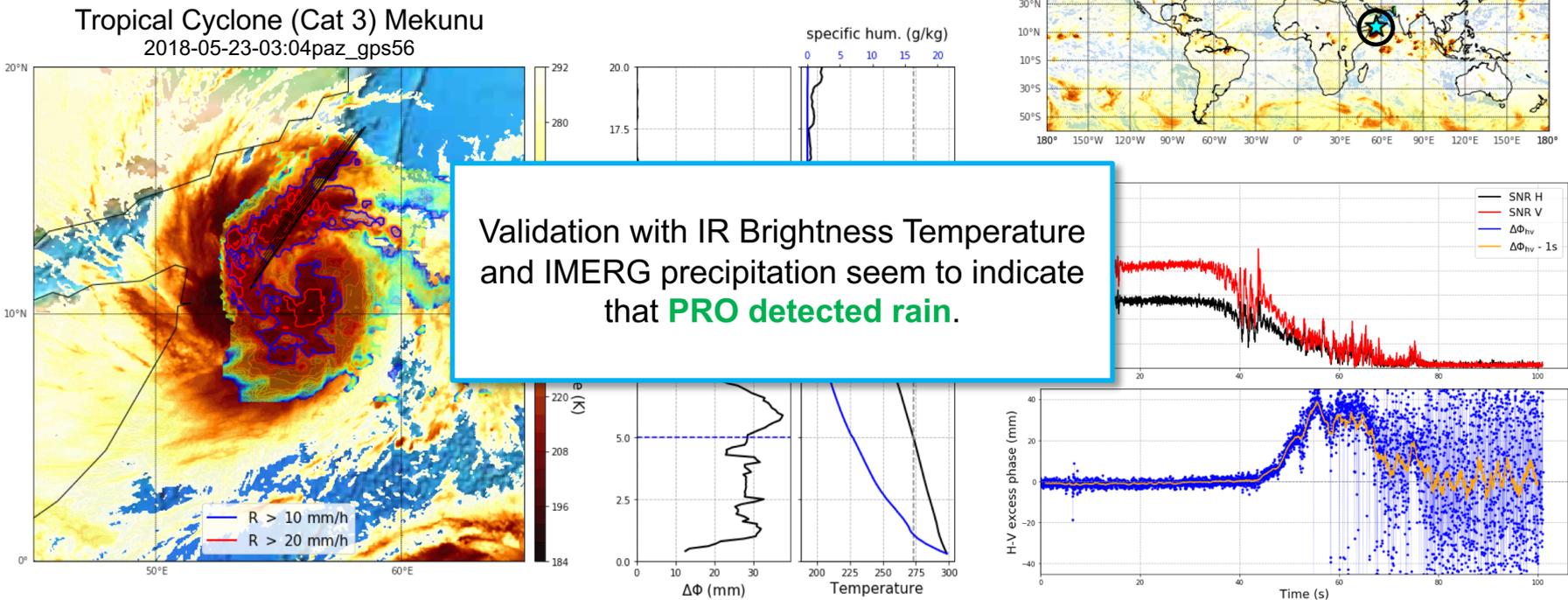
## Calibration and Validation: Example

Tropical Cyclone (Cat 3) Mekunu  
2018-05-23-03:04paz\_gps56

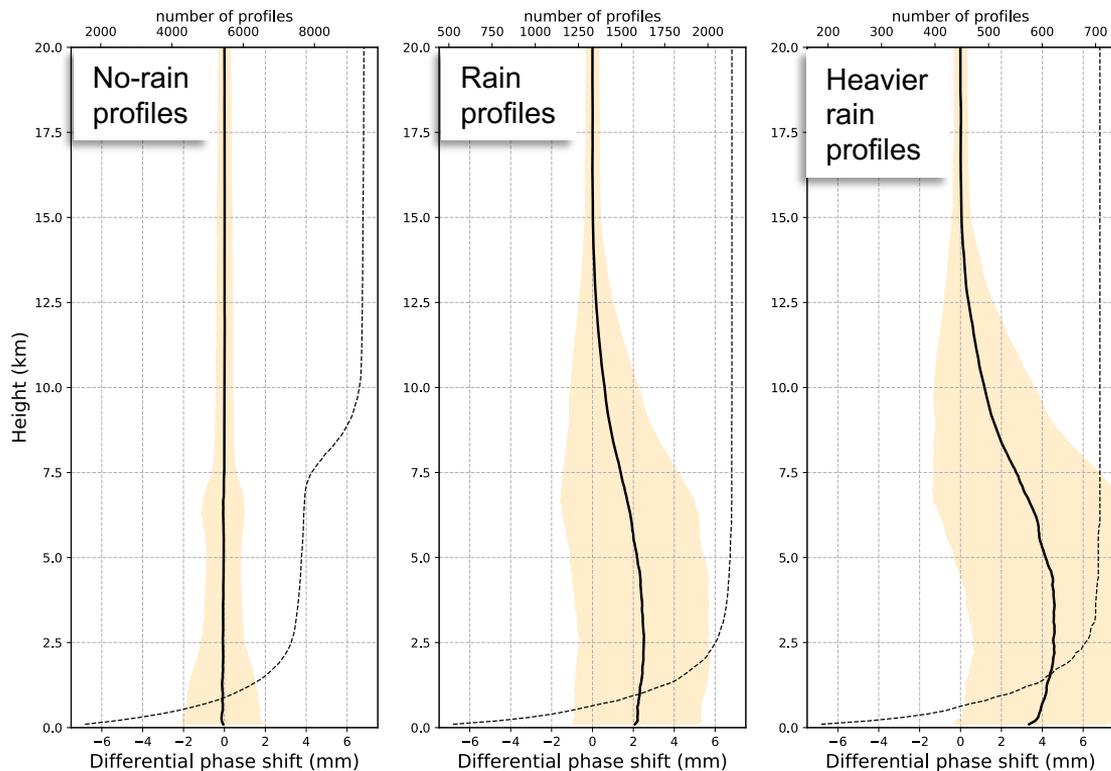


# ROHP – PAZ experiment

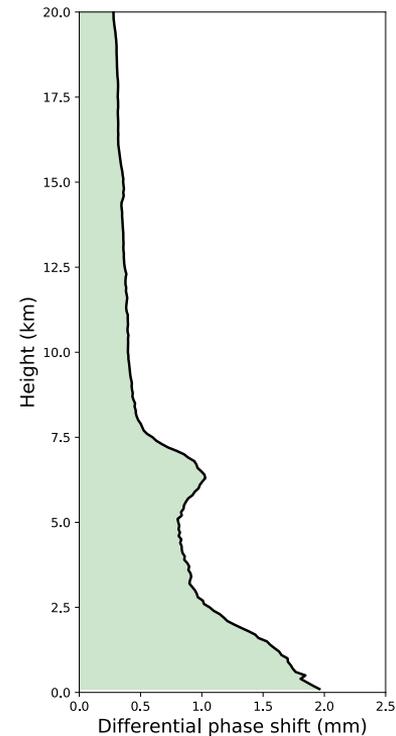
## Calibration and Validation: Example



## Calibration and Validation : Results



Standard deviation of no-rain profiles



## Calibration and Validation : Results

### Almost no false positives

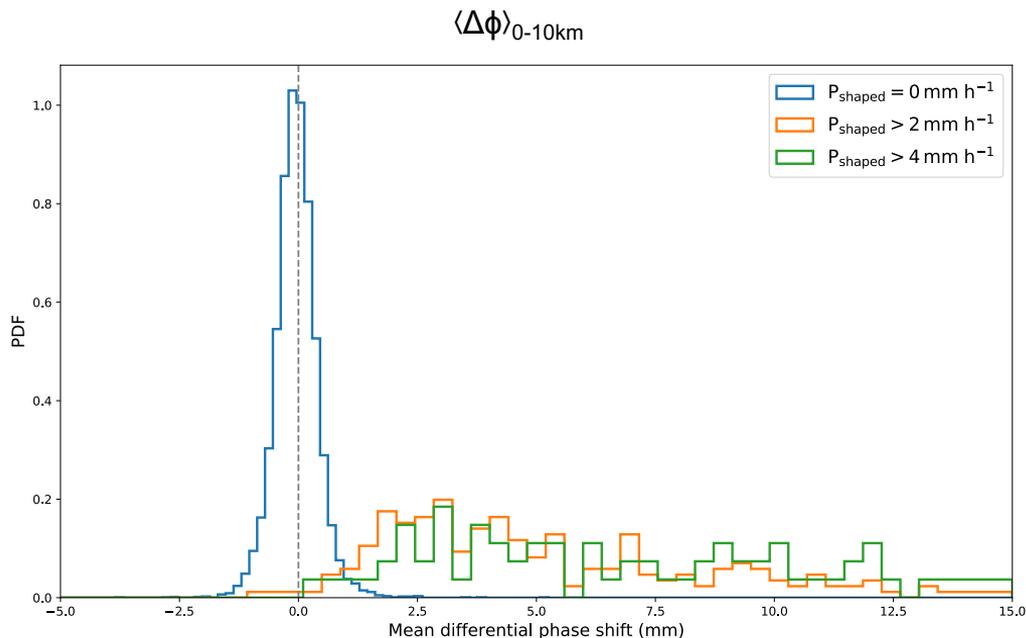
No rain profiles with  $\langle \Delta\phi \rangle_{0-10\text{km}} > 1 \text{ mm}$ : **0.3 %**

No rain profiles with  $\langle \Delta\phi \rangle_{0-10\text{km}} > 2 \text{ mm}$ : **0.02 %**

### Very few false negatives

Rain profiles with  $\langle \Delta\phi \rangle_{0-10\text{km}} < 1 \text{ mm}$ : **2 %**

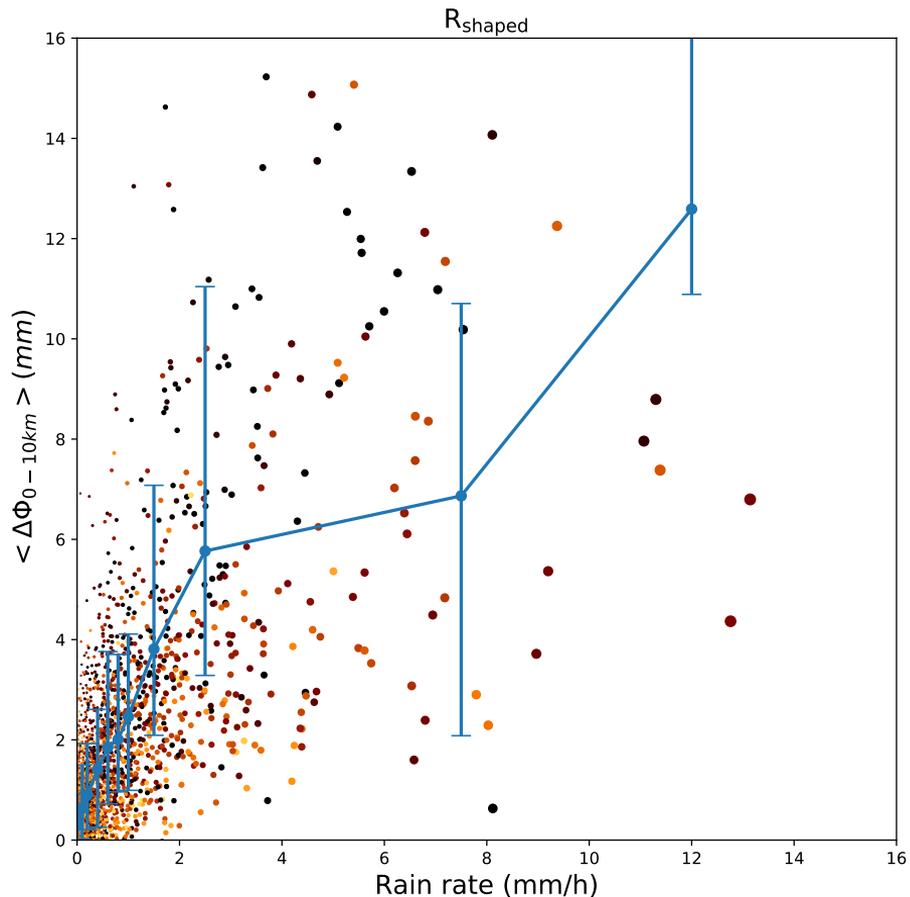
Rain profiles with  $\langle \Delta\phi \rangle_{0-10\text{km}} > 2 \text{ mm}$ : **8 %**



# ROHP – PAZ experiment

## Calibration and Validation : Results

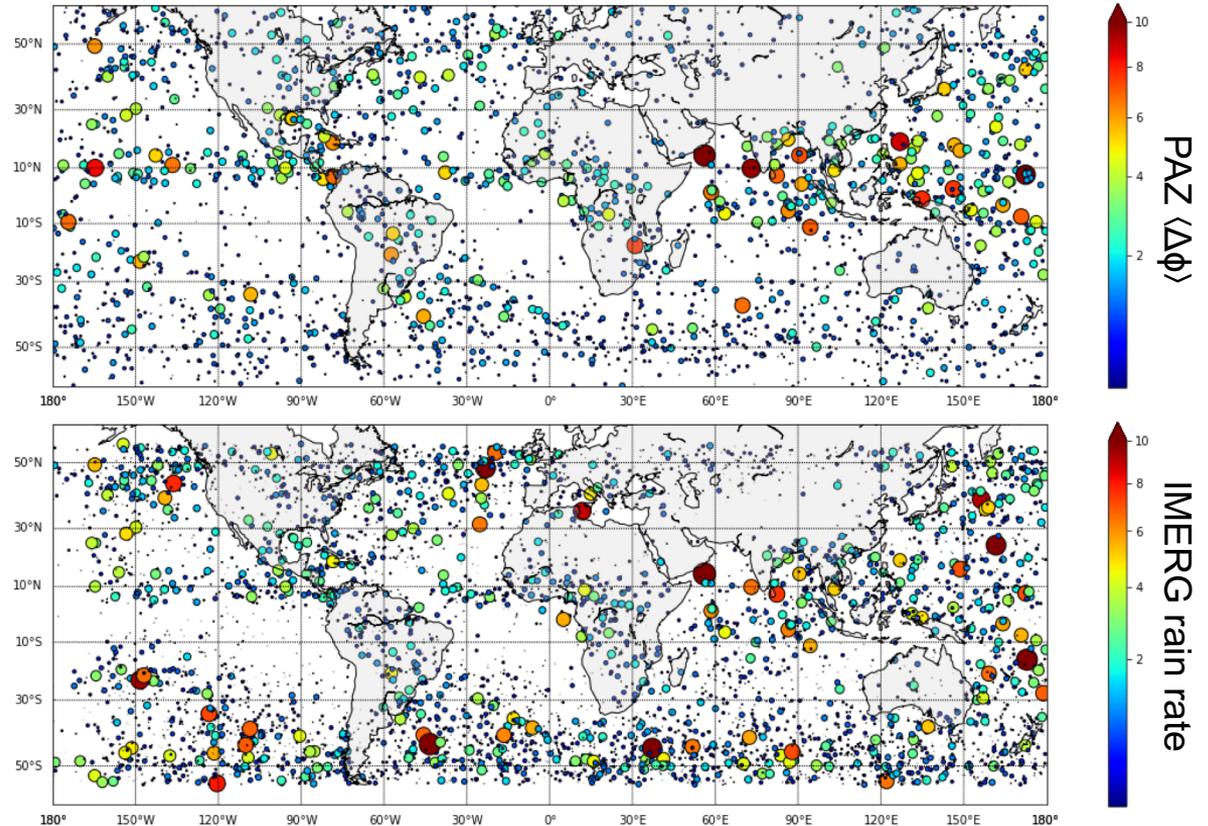
- Each PRO has:
  - $\langle \Delta\phi \rangle_{0-10\text{km}}$ , R, location, etc...
- $\langle \Delta\phi \rangle$  increases as rain rate increases
- Not only “detection”, but sensitivity to intensity



# ROHP – PAZ experiment

## Sensitivity to precipitation

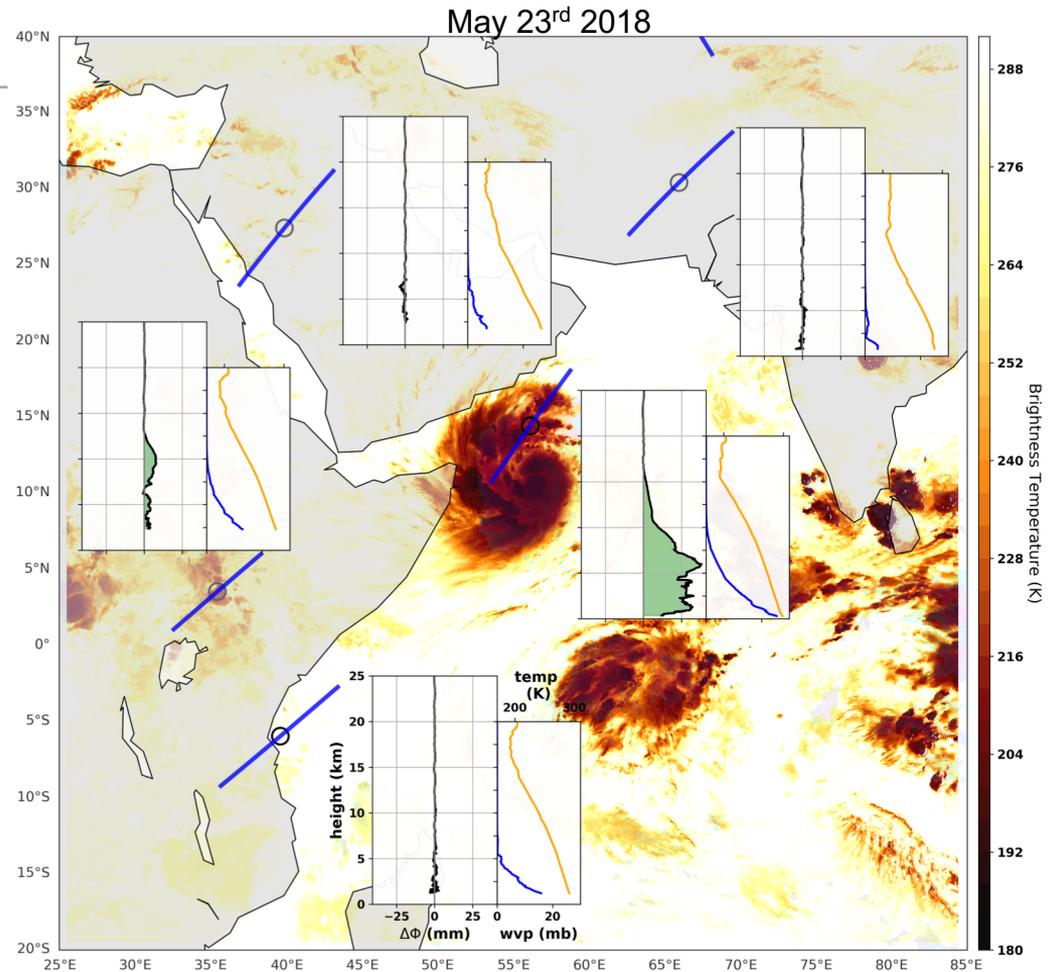
- Precipitation patterns can be easily recognized using PAZ data
- Overall, good agreement between  $\langle \Delta\phi \rangle$  and R
- Disagreement in the magnitude [still working on  $\langle \Delta\phi \rangle \rightarrow R$ ]



# ROHP – PAZ experiment

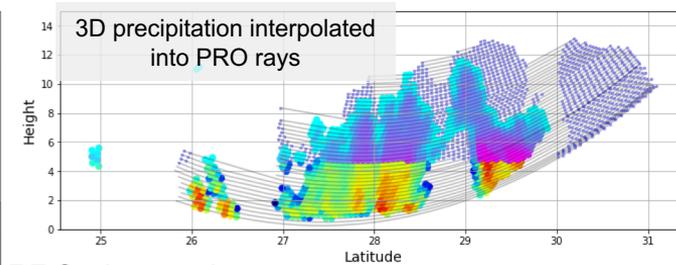
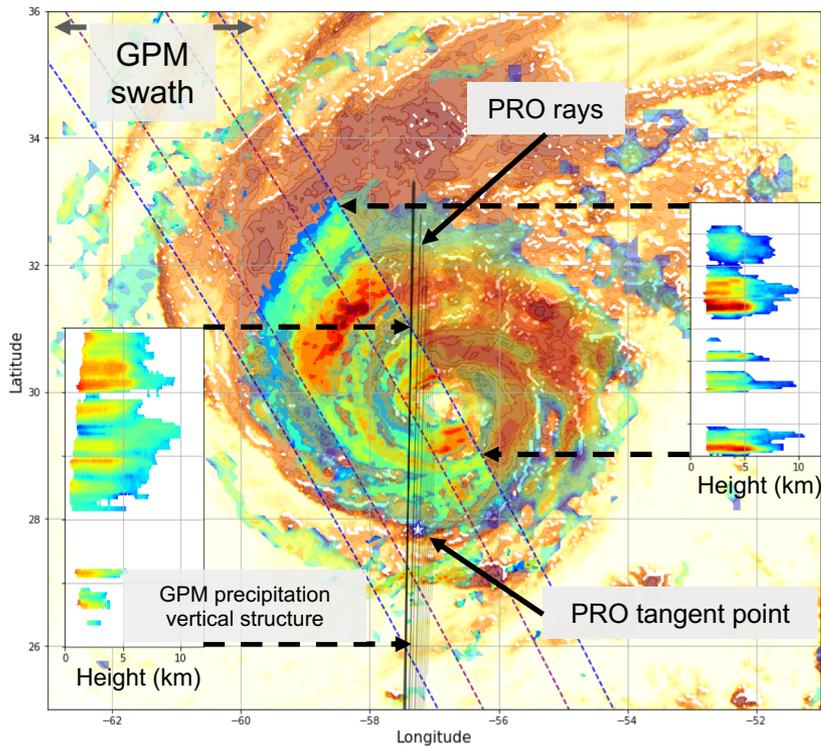
## Sensitivity to precipitation

- Coincident precipitation and thermodynamic profiles
- With the ability to detect precipitation, we can distinguish the thermodynamic profiles that have been obtained under different precipitation conditions

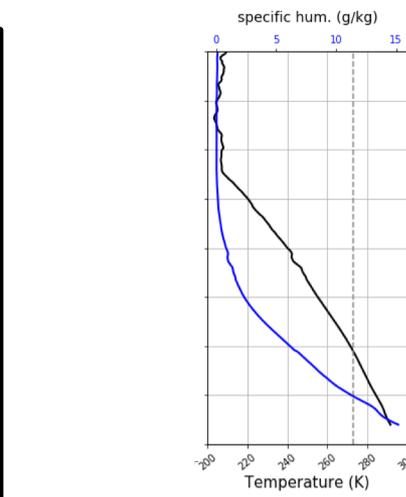
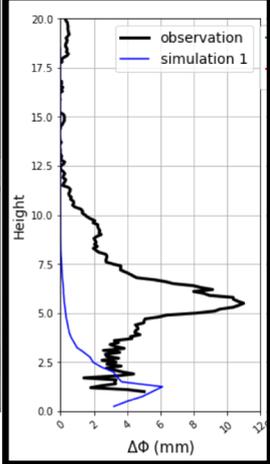


## Sensitivity to precipitation: vertical structure

Hurricane Leslie + Coincidence with GPM core satellite overpass



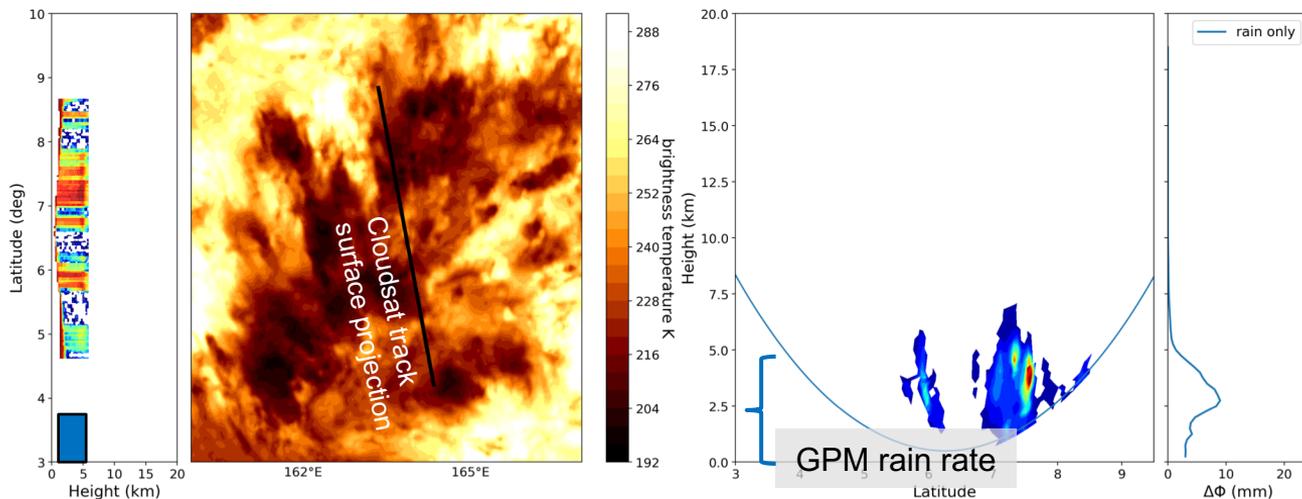
### PRO observations



# ROHP – PAZ experiment

## Sensitivity to precipitation – But also something else?

Simulations of  $\Delta\phi$  based on coincident GPM and Cloudsat observations



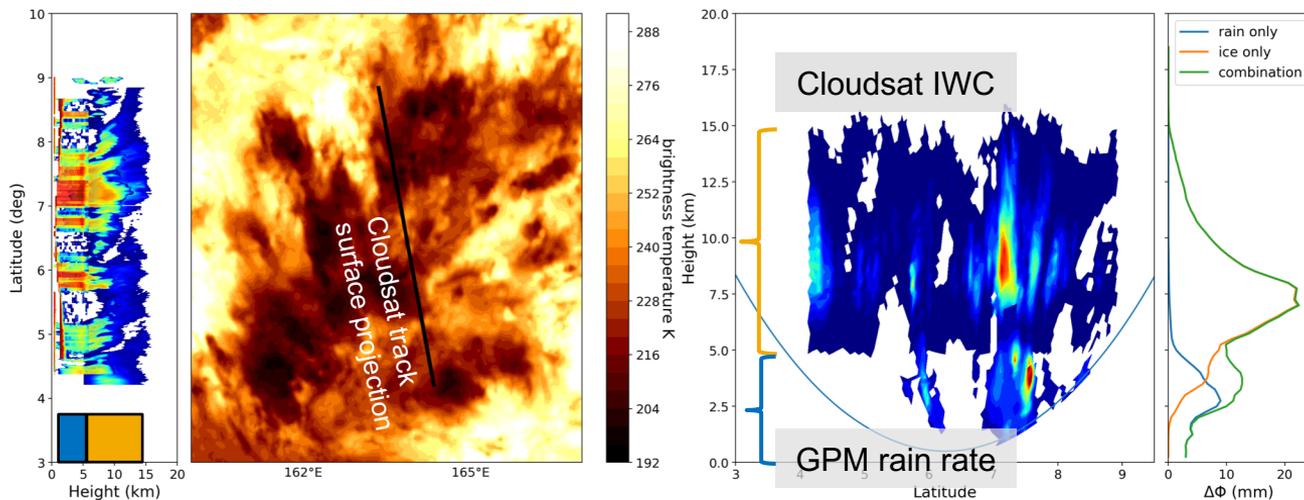
GPM and Cloudsat reflectivity  
GPM : below Freezing Level  
Cloudsat: above Freezing Level

Simulations of PRO observations using  
rain only (GPM provided), ice only  
(cloudsat provided), and the sum of both

# ROHP – PAZ experiment

## Sensitivity to precipitation – But also something else?

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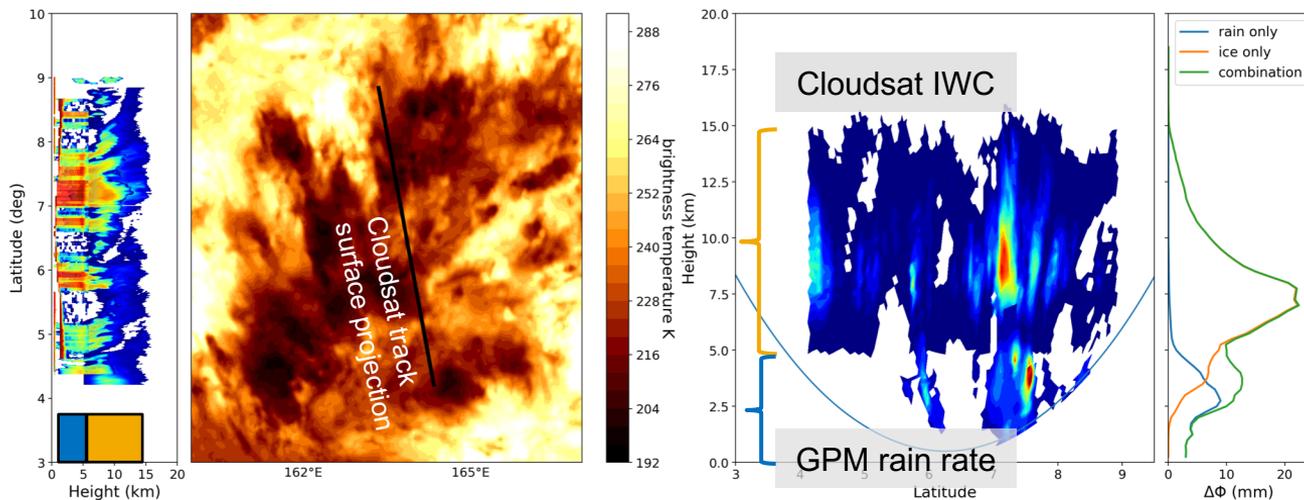
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# ROHP – PAZ experiment

## Sensitivity to precipitation – But also something else?

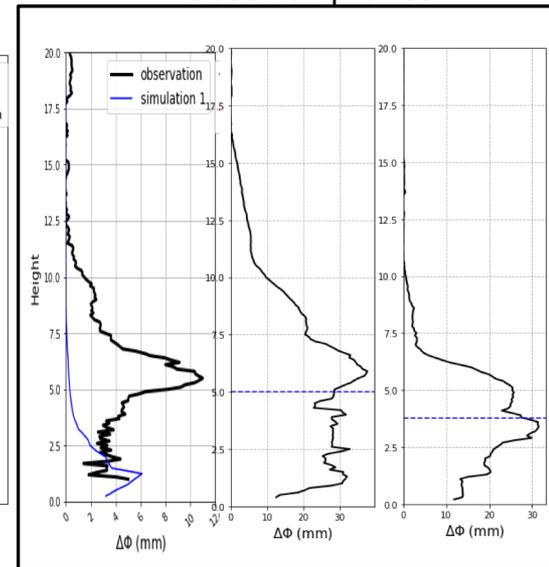
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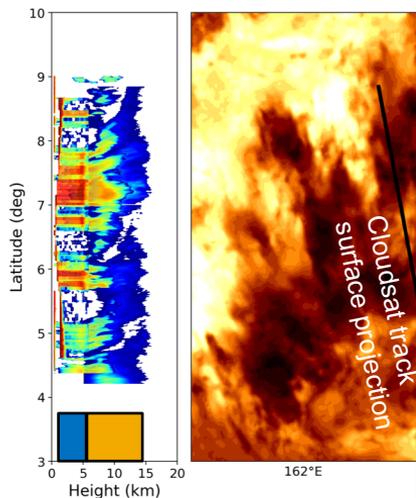
Observed profiles



# ROHP – PAZ experiment

## Sensitivity to precipitation – But also something else?

Simulations of  $\Delta\phi$  based on coincident GPM and Cloudsat observations



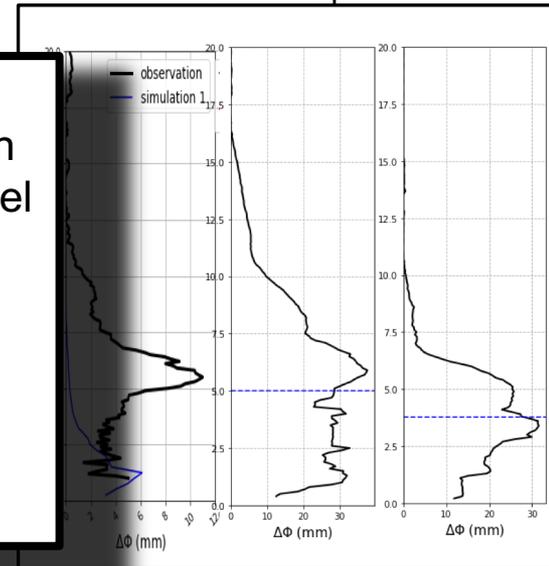
We believe that ice and melting particles can explain the signatures above the freezing level that we see in PRO profiles.

This means that once we understand how these particles induce the  $\Delta\phi$ , we can characterize vertical cloud structures

**GPM** and **Cloudsat** reflectivity  
GPM : below Freezing Level  
Cloudsat: above Freezing Level

Simulations of PRO observations using  
**rain only** (GPM provided), **ice only**  
(cloudsat provided), and the **sum of both**

Observed profiles



# Summary

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- **PAZ** Polarimetric Radio Occultation observations are sensitive to rain
- The standard products (i.e. bending angles, refractivity, and thermodynamics) have nominal quality, comparable to TerraSar-X, Kompsat5.
- We can distinguish whether these profiles were obtained inside heavy precipitation or not
- Potential vertical cloud structure – ice, melting particles, ...
- Relationship between  $\Delta\phi$  and Rain Rate



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