

Compact 1.9 THz Multi-Pixel Local Oscillator

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Pasadena, CA

Invited talk for Special Session

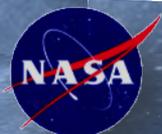
New Developments and Technologies for Space Applications

Asia-Pacific Microwave Conference

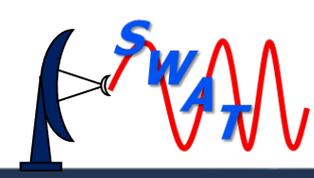
Kyoto, Japan

November 2018

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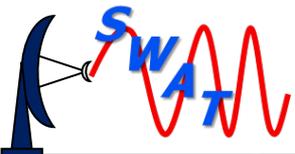
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Outline

- **Multi-pixel Receivers: why do we need them?**
- **Technical Approach**
- **Array Receivers**
 - Mixers
 - LO subsystem
 - Receiver system
- **Summary**





Filamentary Structure and Star Formation

Determining Characteristics of Filaments & Required Probes

KINEMATICS: ^{13}CO

DENSITIES: multiple transitions of ^{13}CO , C^{18}O , HCN & others

TEMPERATURE: ^{12}CO , NH_3

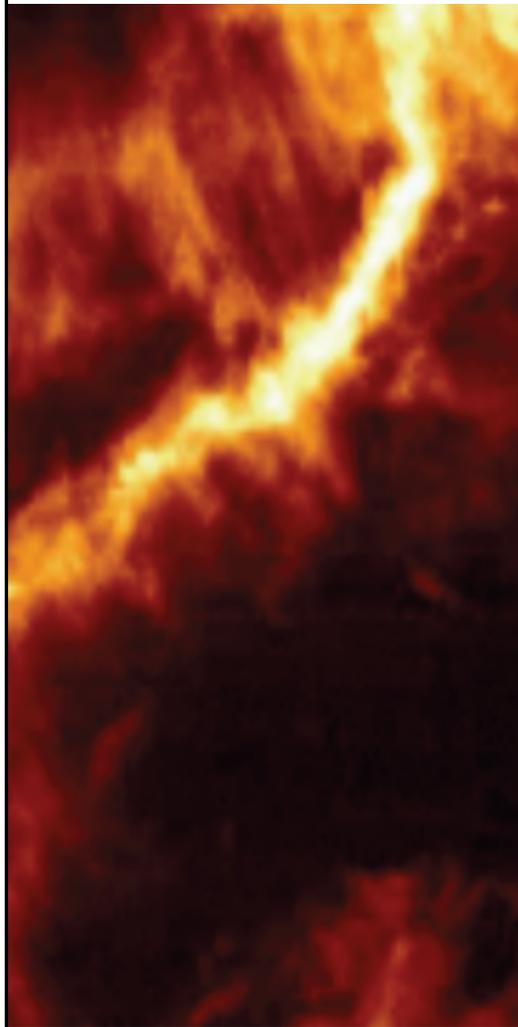
MAGNETIC FIELD: submm dust polarization (HAWC⁺)

MASS ACCRETION: C^+

The extinction in regions around filaments is low so that carbon is likely in the form of C^+

Velocity shifts of few tenths of km/s expected

LARGE-SCALE HIGH VELOCITY RESOLUTION IMAGES OF MANY SQ. ARCMIN. REGIONS ARE ESSENTIAL



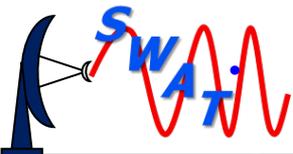
Herschel observations have established the ubiquity of filaments

Stars form in dense condensations within filaments

But what controls formation of filaments and their evolution?

And most importantly, what determines when they get to point of fragmentation into CORES which then form new stars?





Even Nearby Galaxies Require Higher Angular Resolution than 1' Available from Balloons

Spiral Galaxies

Key questions

- How do spiral arms compress gas and initiate star formation?
- What is the nature of the interstellar medium in the interarm regions – the starting point for next generation of stars?
- How much, and where is the “CO-Dark Molecular Gas”?

SOFIA CYCLE 4 “Impact” Project

(J. Pineda, US PI; joint with Germany)

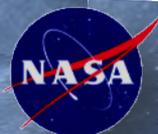
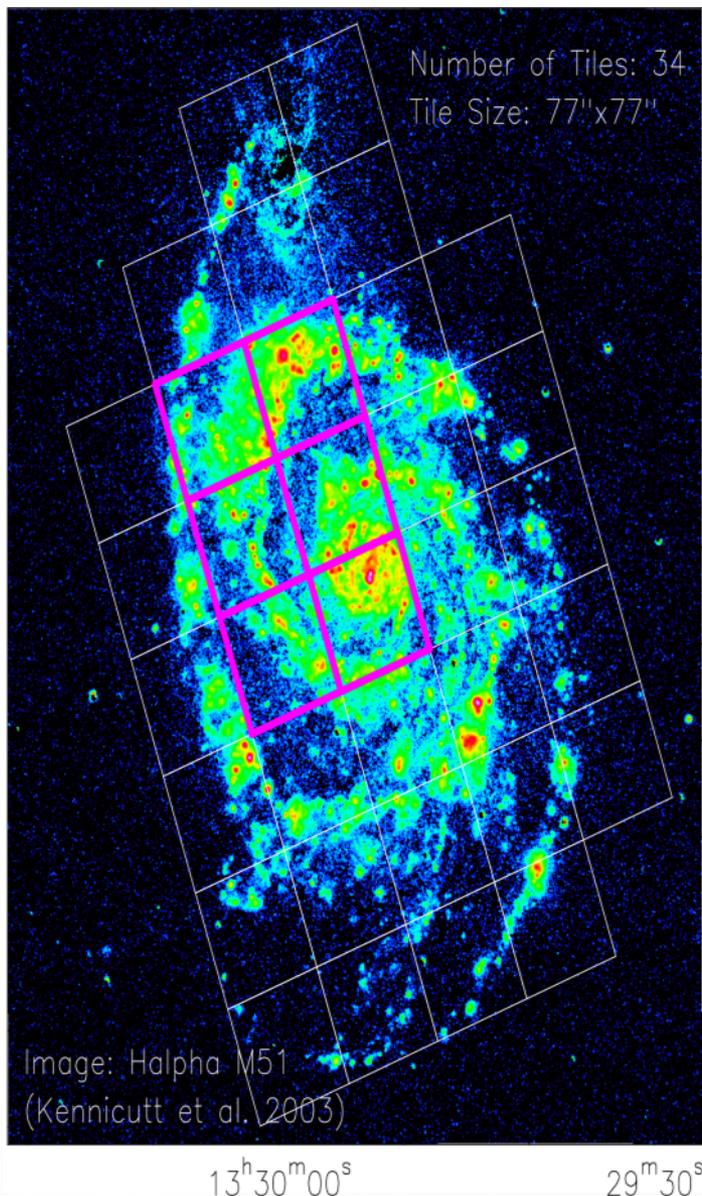
Map M15 in [CII] 158 μm line with upGREAT and FIFI-LS

Ang. Res. = 16" = 540 pc (good but not enough to really resolve interstellar clouds)

60 hr upGREAT+ 15 hr FIFI-LS

2 yr; 15 flights

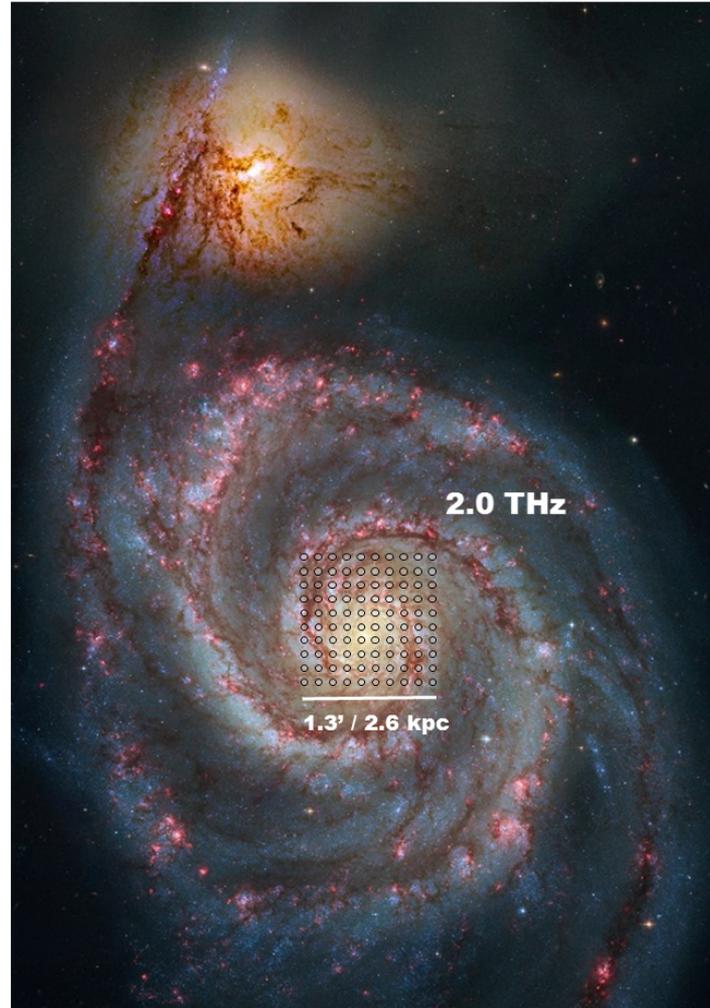
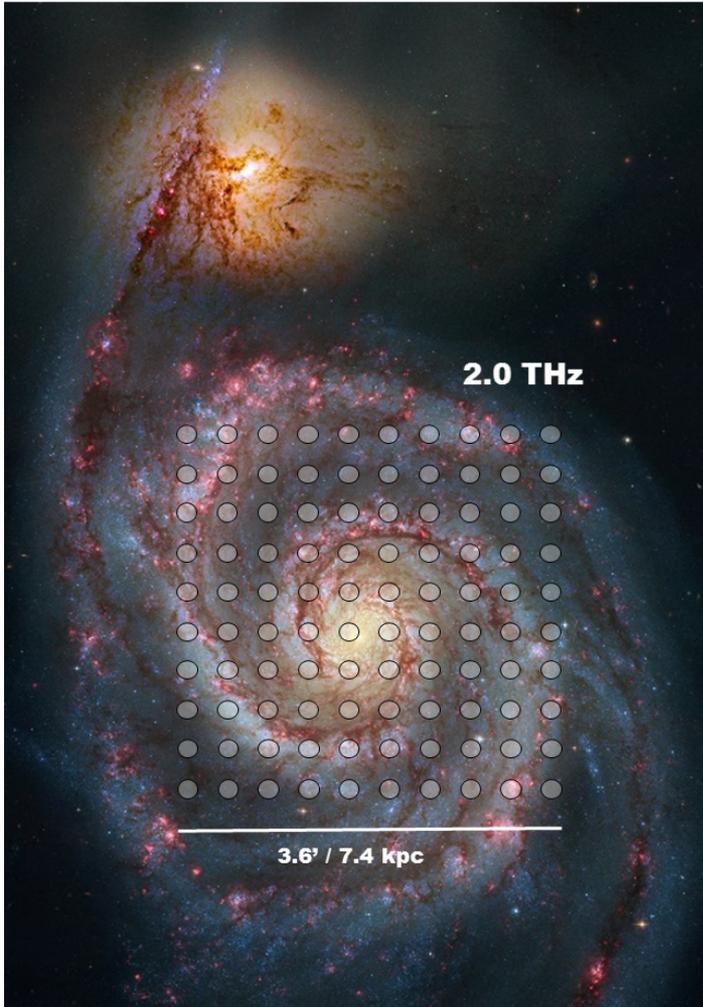
- **ARRAY WITH >> 14 PIXELS WOULD ENABLE IMAGING A COLLECTION OF GALAXIES**





How many pixels to map M51?

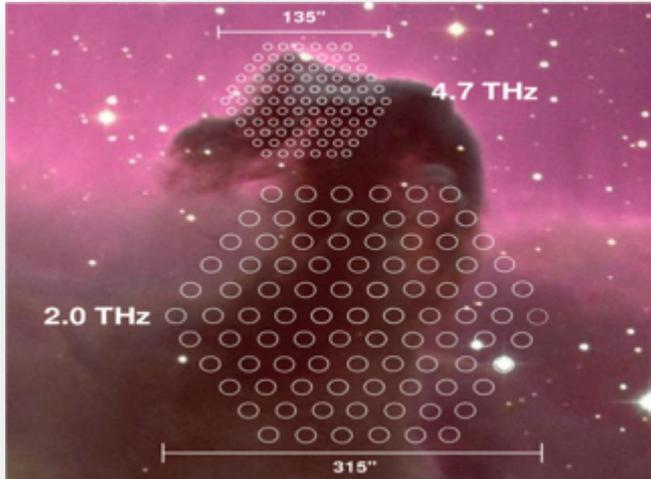
Case study #2: Mapping of the star forming regions



Next frontier in THz instruments



Consider imaging a nearby galaxy with the Cerro Chajnantor Atacama Telescope (CCAT):



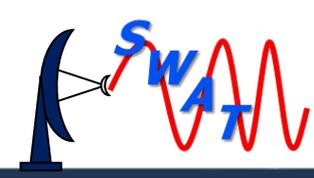
- 25 m telescope at 5600 m altitude above the Chilean Atacama desert.
- The beam size is 6.3" FWHM at 490 GHz.
- $^3P_1 - ^3P_0$ fine-structure transition of neutral carbon, [C I], at 492 GHz from a photon dominated region or a nearby galaxy, we must map regions arc-minutes in size.

This will require $> 10^4$ independent measurements.

No such mapping was carried out by the HIFI instrument on the Herschel Space Observatory; despite its high sensitivity and absence of any atmosphere.

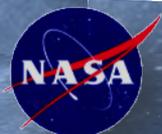
With only a single pixel, mapping times were prohibitively long.





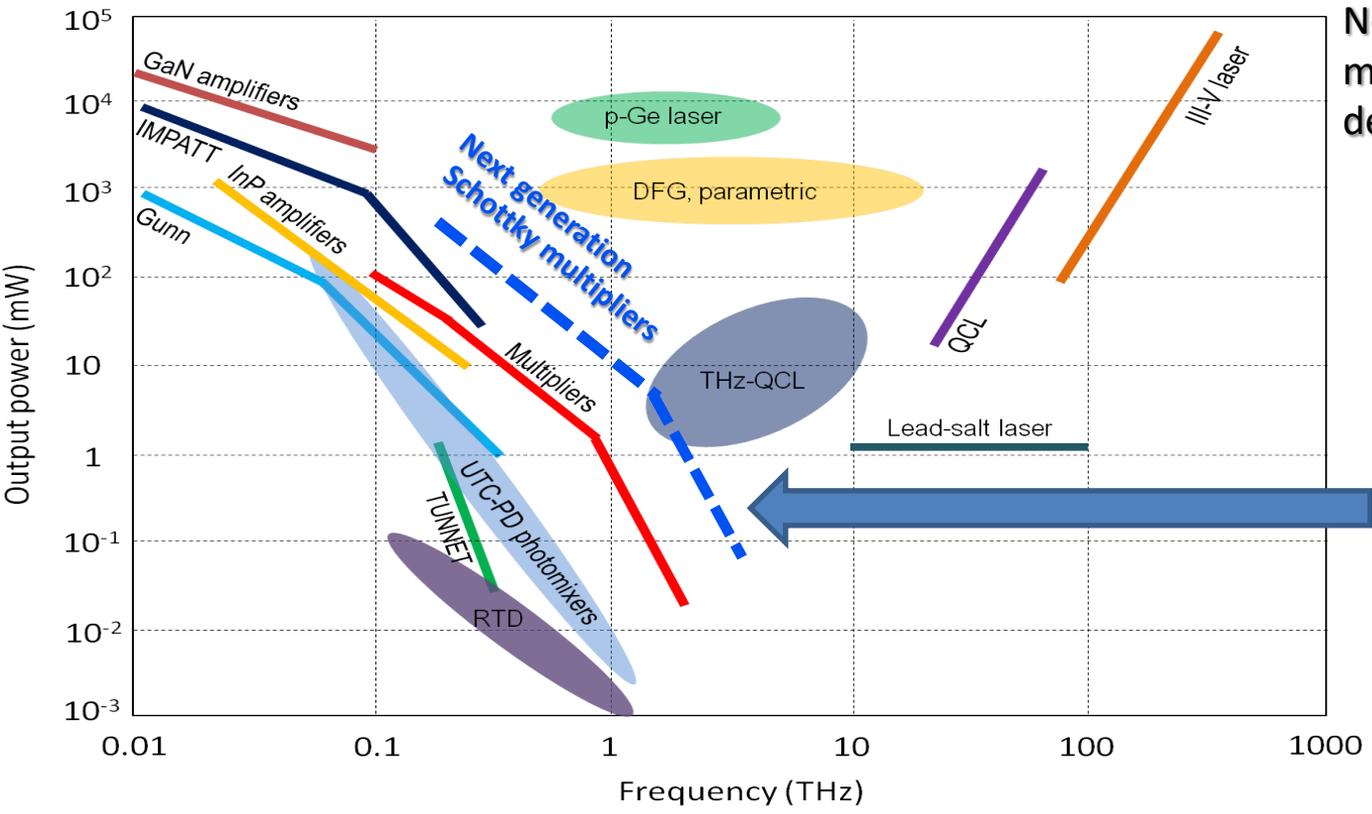
THz Array receivers

- Must Haves
 - 100's of pixels
 - 10-100 uW of output power
 - Electronic tuning
 - No spurious noise
 - **Reduced DC power**
 - Modularity (building, testing, & calibration)
- Should Haves
 - Robust to thermal variation
 - Simple packaging but consistent with best practices
 - Redundancy and/or lack of single point failure items

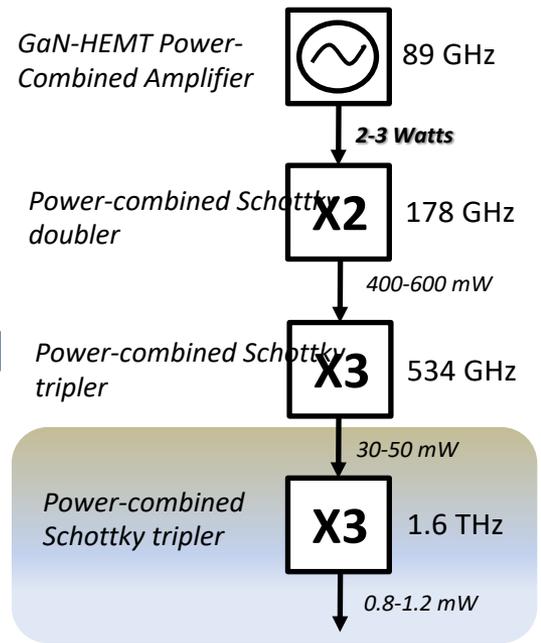




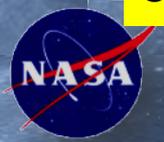
THz Sources

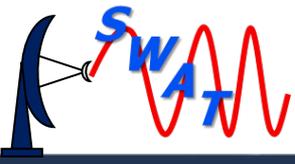


Next generation Schottky multiplied LO sources could deliver:

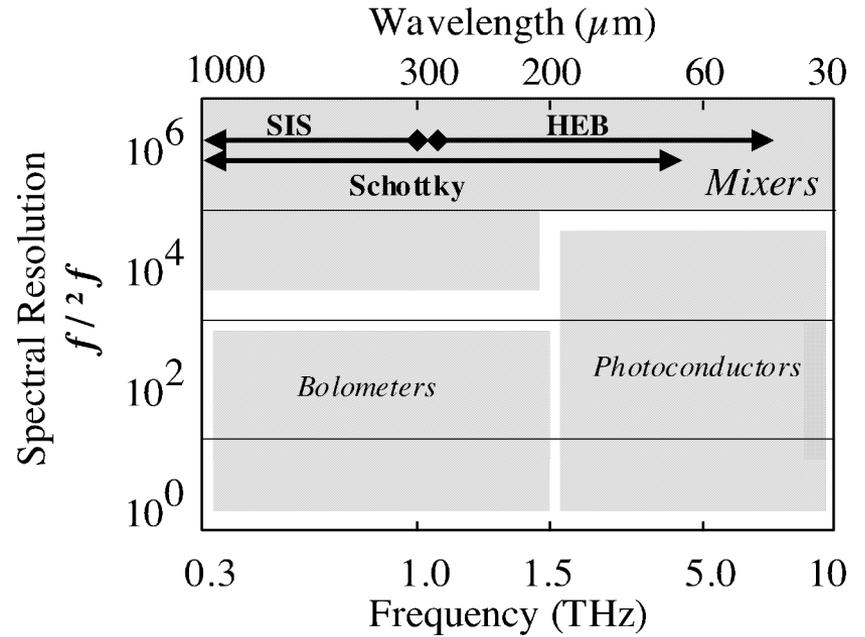
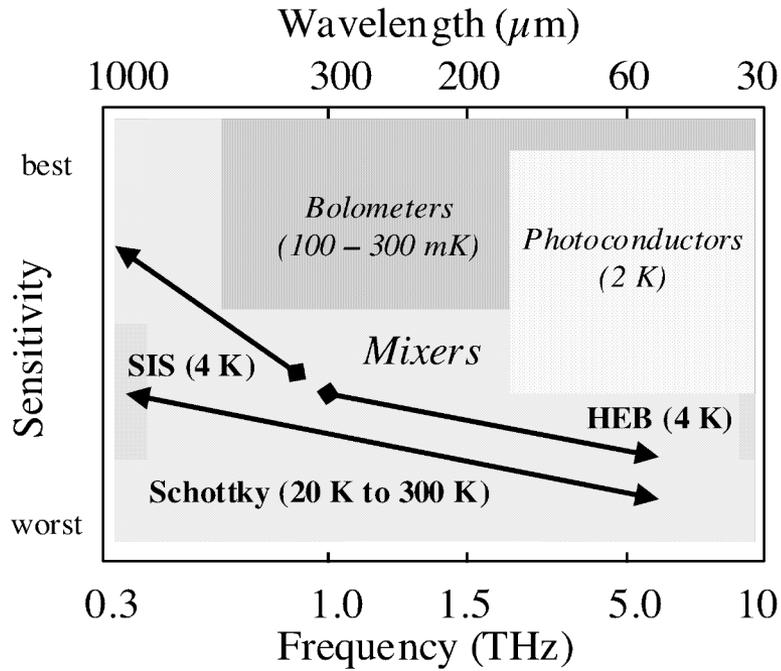


- Challenges:**
1. Develop multi-pixel LO schemes
 2. Improve overall DC-to-RF efficiency
 3. Enable sources beyond 2.7 THz





What Detector should be used?



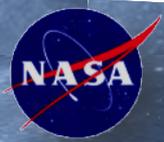
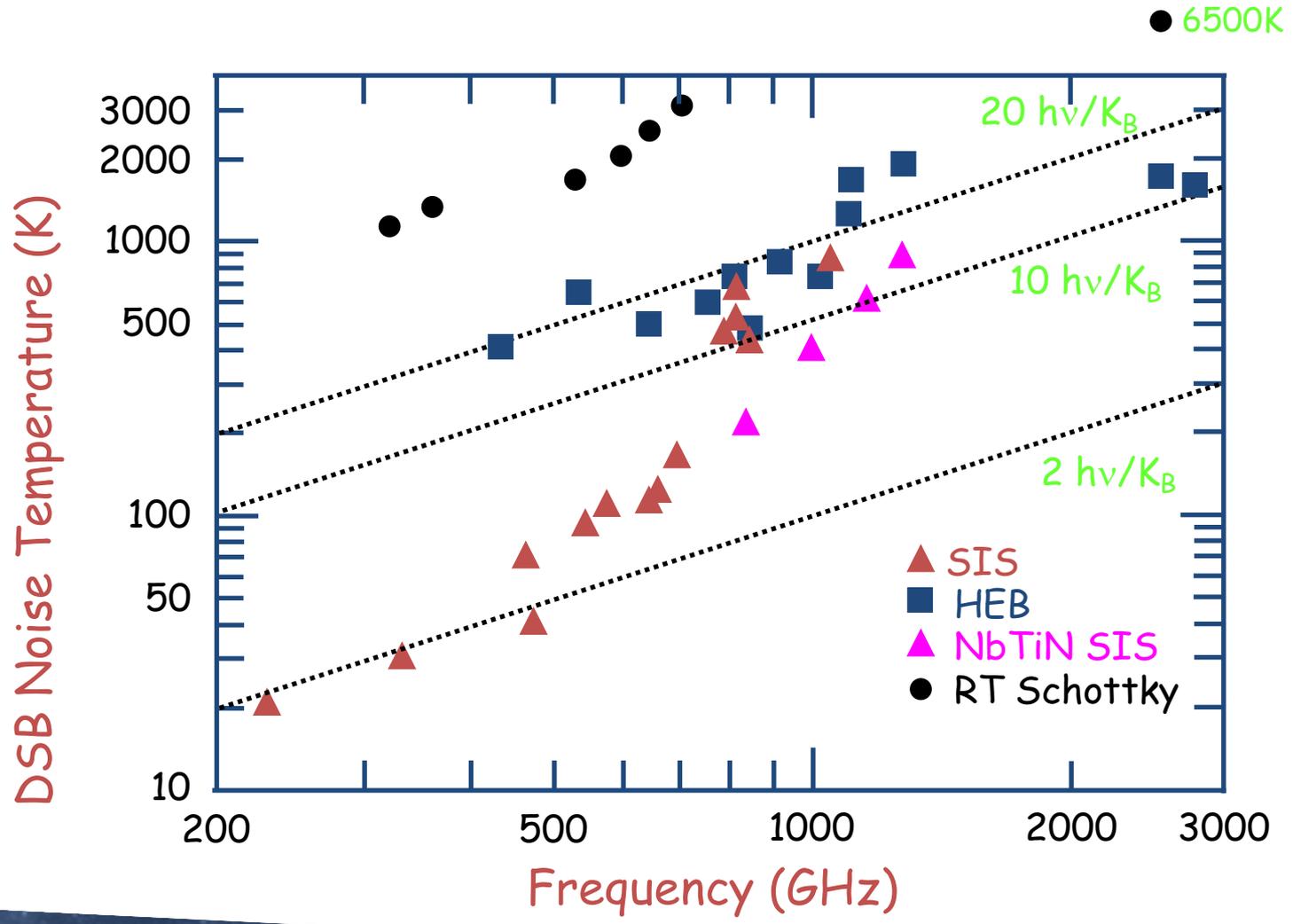
Trade-off

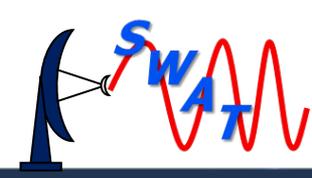
- Sensitivity vs Operating Temperature
- Spectral resolution
- Scientific goals and objectives





Heterodyne Mixers

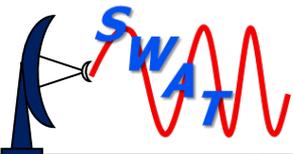




What are we trying to accomplish

1. Hardware to pump 100's of pixels from 500 GHz to 4.7 THz
2. Large bandwidth a 'should have'
3. Output power to be about 10 microwatt per pixel
4. Control of power per pixel a 'should have'
5. Electronic tuning a 'must have'
6. Phase noise better than or equal to HIFI approach
7. Thermal stability a 'must have'
8. Production consistent with best flight hardware development
9. Scalable in pixel count
10. Redundancy and/or lack of single point failure items

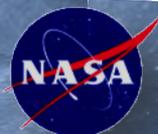


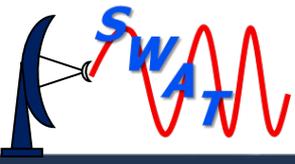


What is the best way to couple LO?

	Via grating	QO (pixel to pixel)	Waveguide
Pros	<ul style="list-style-type: none">• Single LO beam	<ul style="list-style-type: none">• Per pixel power control	<ul style="list-style-type: none">• Better interface
Cons	<ul style="list-style-type: none">• Single point failure• No per pixel power control• Coupling loss	<ul style="list-style-type: none">• More complicated LO scheme• Larger volume ?	<ul style="list-style-type: none">• Insertion loss• Thermal break

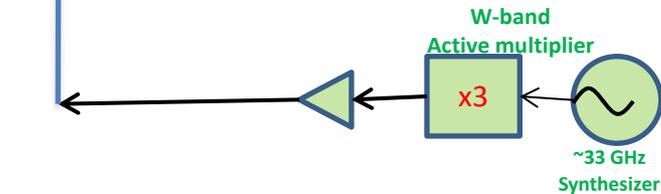
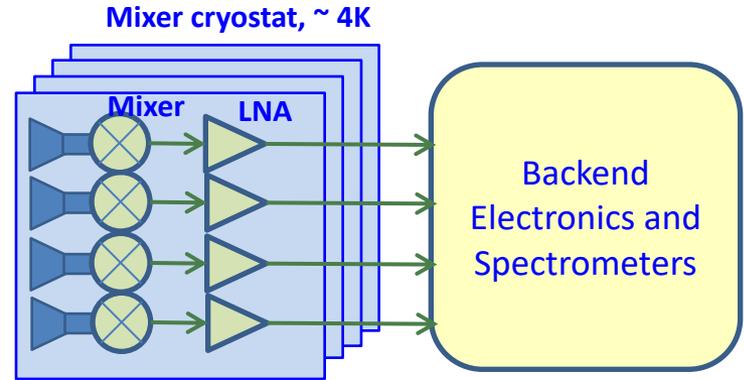
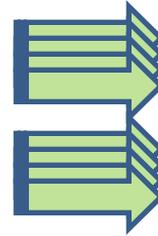
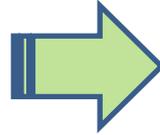
Ultimately, will depend on array size and implementation capabilities



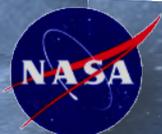


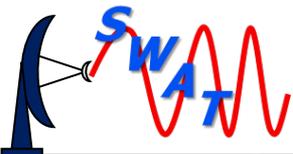
Single source via grating

QO LO Distribution Scheme

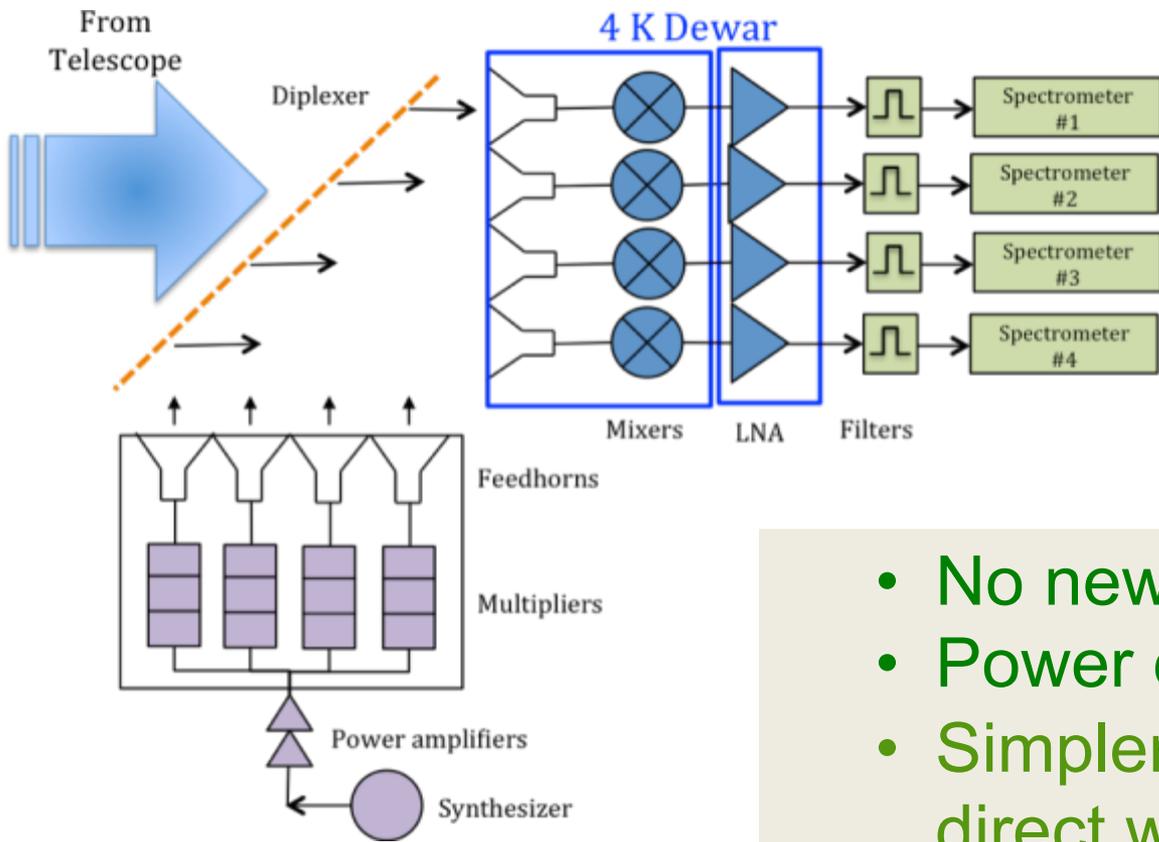


- Fairly similar to existing technology
- Simple implementation
- Single point failure
- No control over pump power per pixel
- Modularity is limited
- Concern about thermal load on initial stages
- Limited bandwidth





Individual LO per pixel (QO)

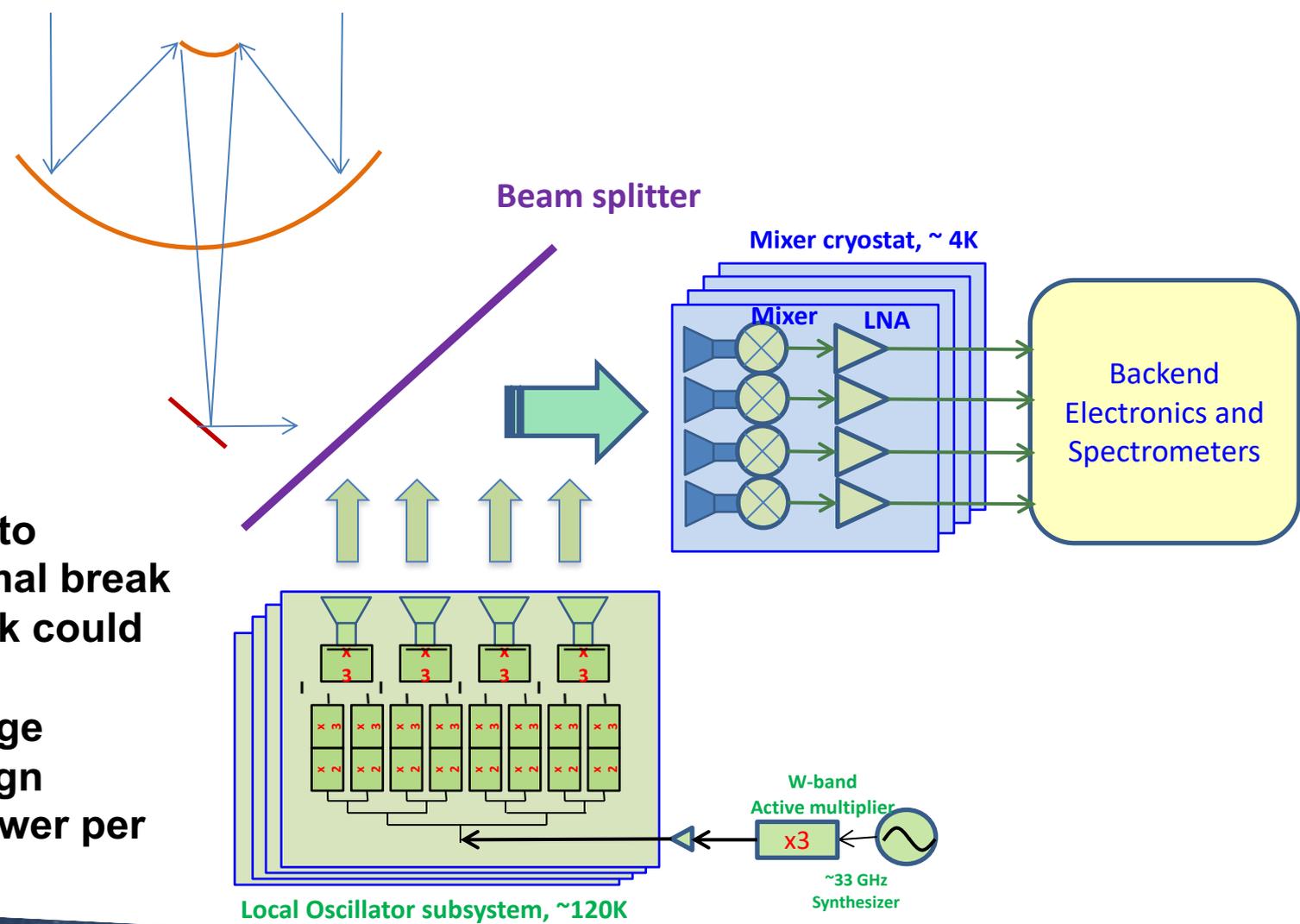


- No new technology
- Power control per pixel
- Simpler imaging optics or direct waveguide interface
- High DC power requirement
- Large H/W part count





Modular approach

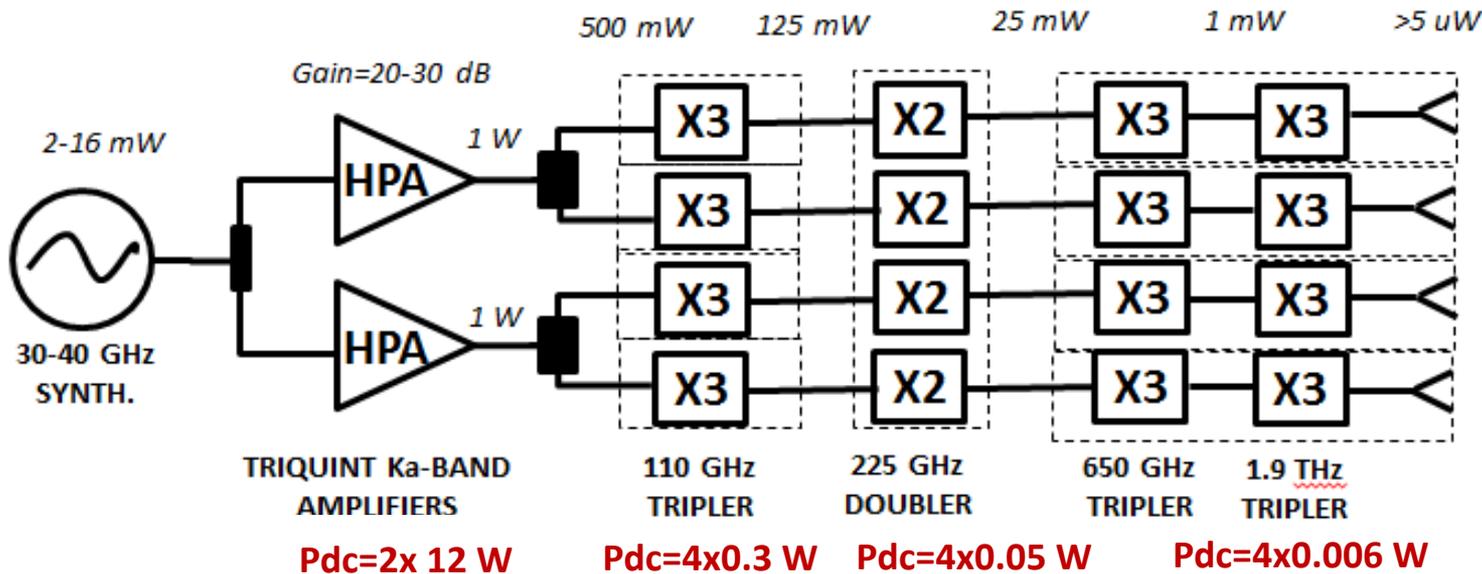


- ✓ QO coupling to provide thermal break
- ✓ Thermal break could be at the first multiplier stage
- ✓ Modular design
- ✓ Control of power per pixel

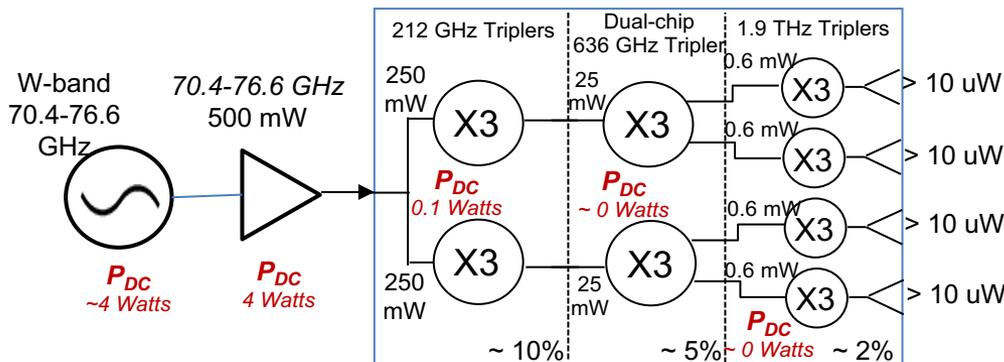




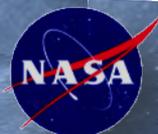
DEVELOPMENT of MULTI-PIXEL LO AT 1.9 THz

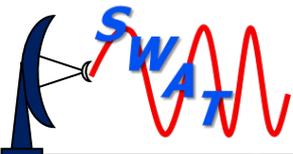


POWER BUDGET
6.35 W/pixel



POWER BUDGET
~2 W/pixel





Power combined dual-chip 265-340 Tripler

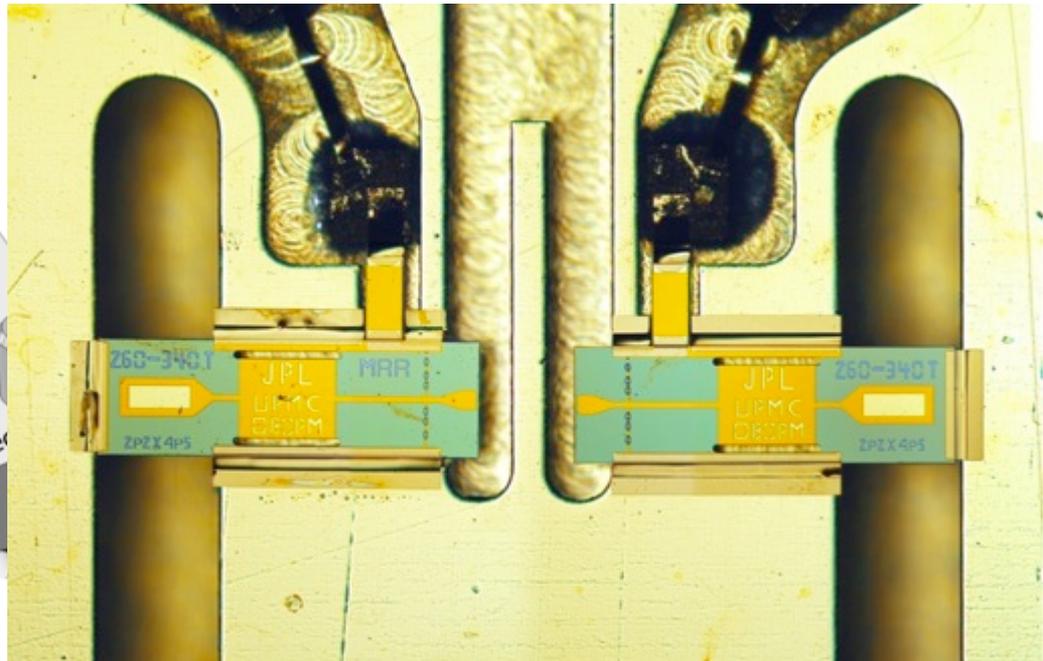
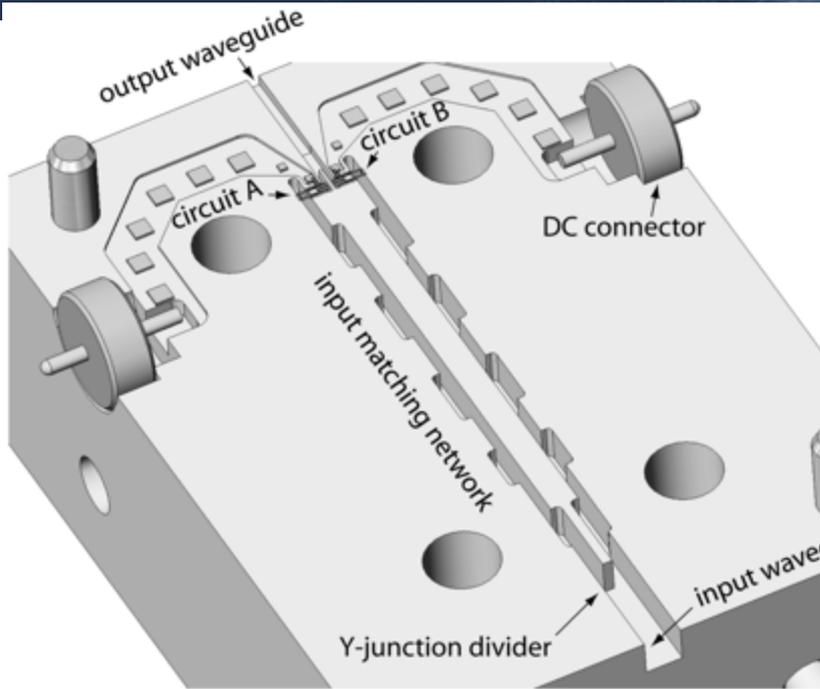
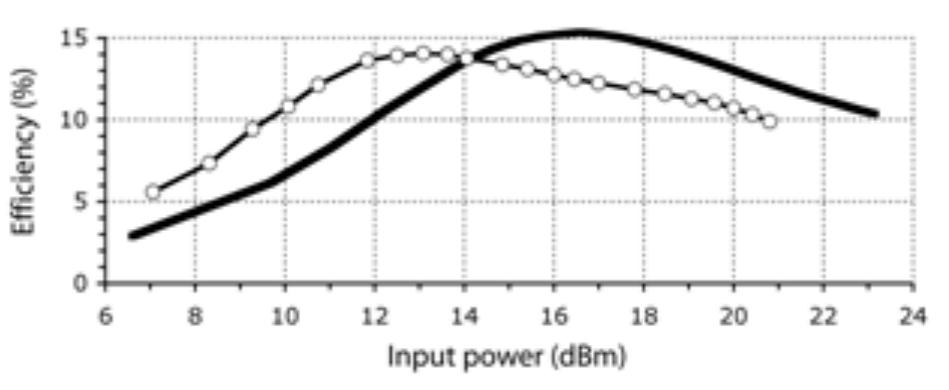
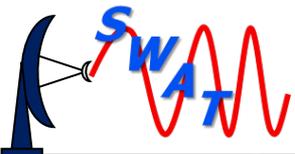


Fig. 1. 3D schematic view of the bottom half of the power-combined 260-340 GHz frequency tripler based on two mirror image integrated circuits

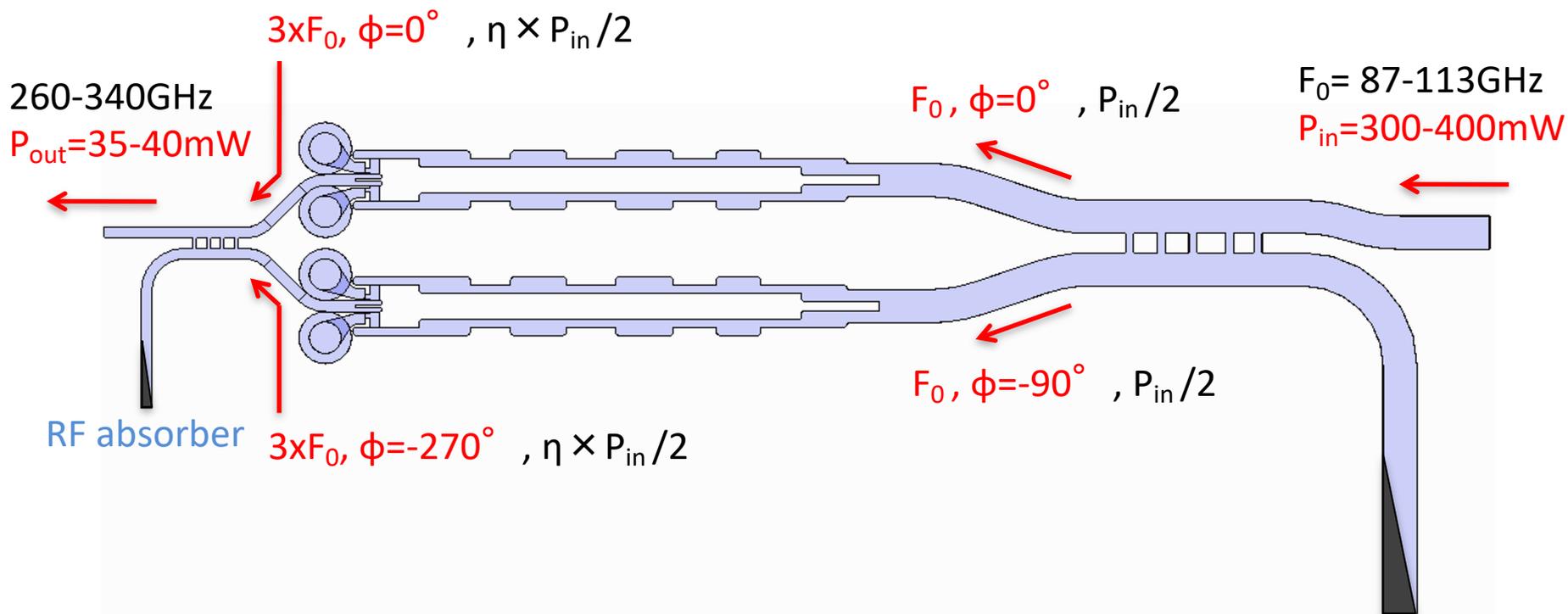


>30 mW at 320 GHz



Quad-chip 260-340GHz Balanced Tripler

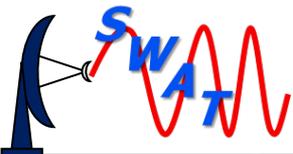
- Two dual-chip triplers combined with 90° hybrid couplers.
- 24 Schottky anodes
- 4 independent bias lines



Quad-chip tripler designed by John Ward at Jet Propulsion Laboratory

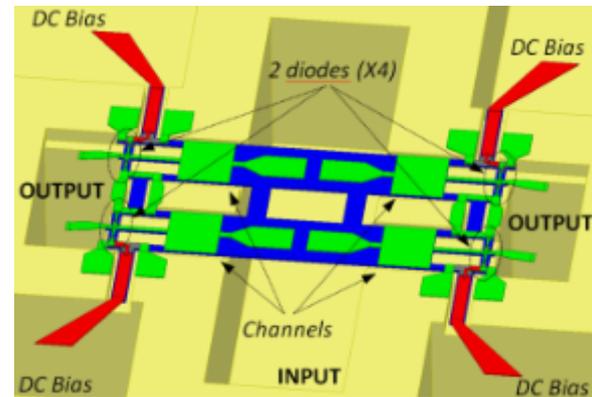
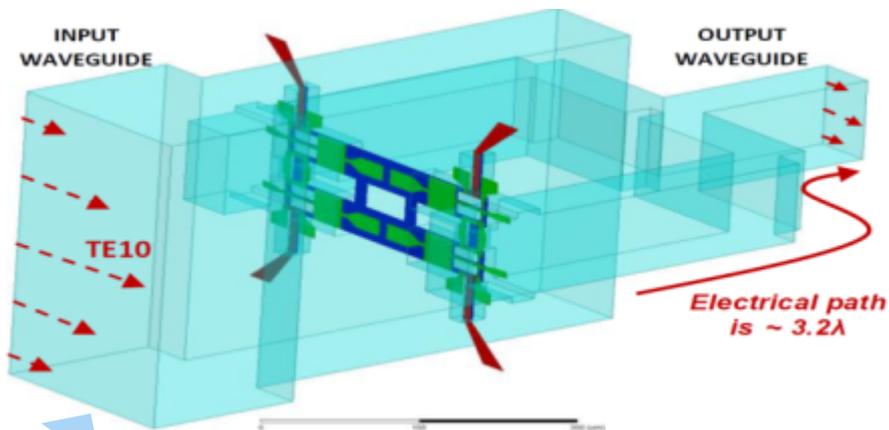
Chip designed by Alain Maestrini, Observatory of Paris

RF absorber



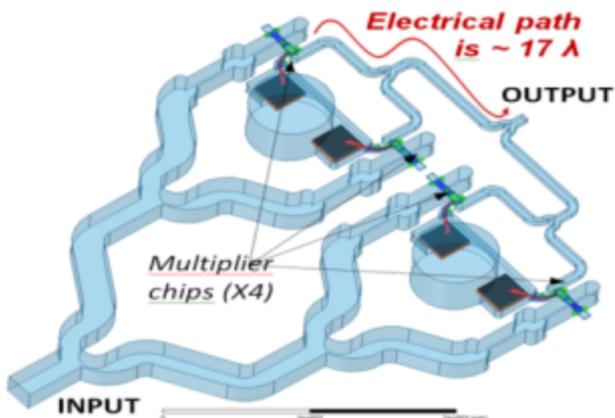
ON-CHIP POWER COMBINED FREQUENCY MULTIPLIERS

- Power x4
- Size /10
- Losses /5
- Lithographic accuracy x10

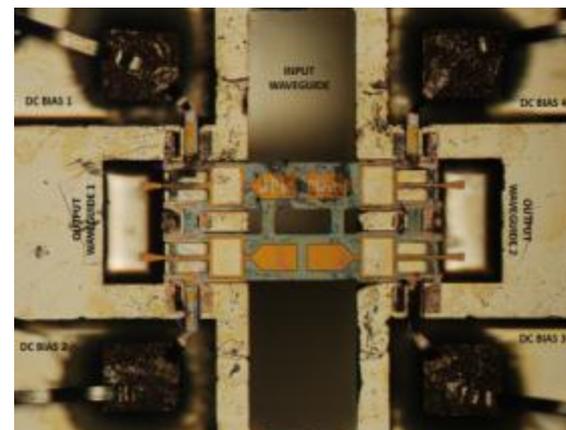
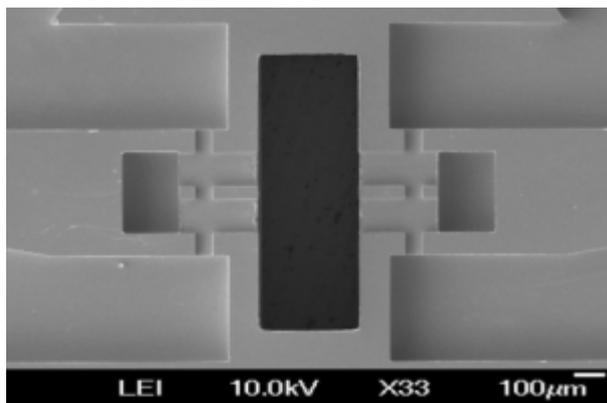


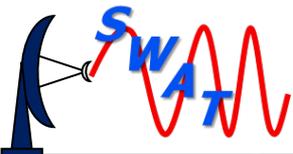
U.S patent (CIT 5953-P), Jet Propulsion Laboratory, California Institute of Technology.

- Lithographic precision for alignment and machining
- No need for waveguide power-dividing



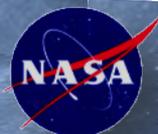
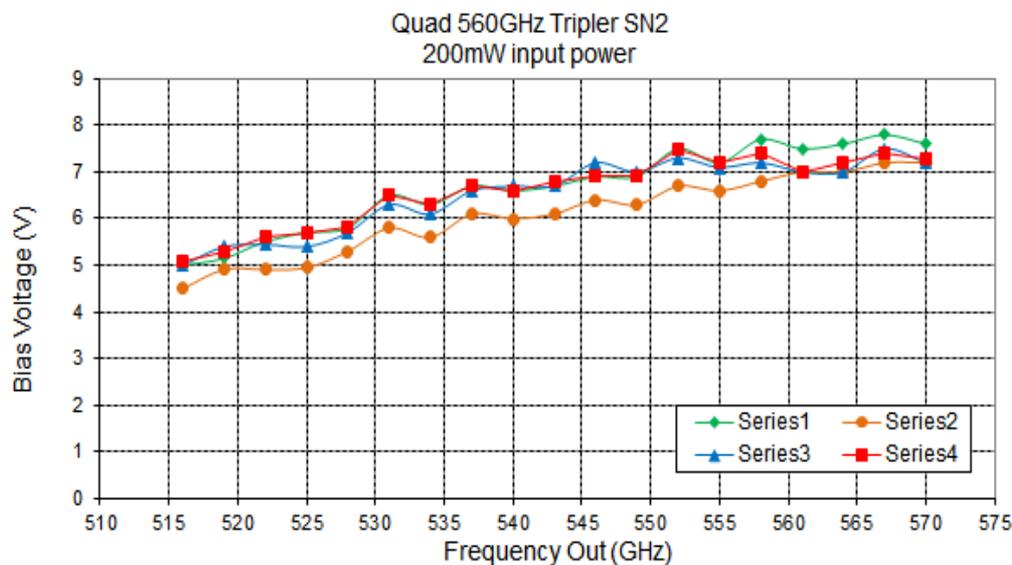
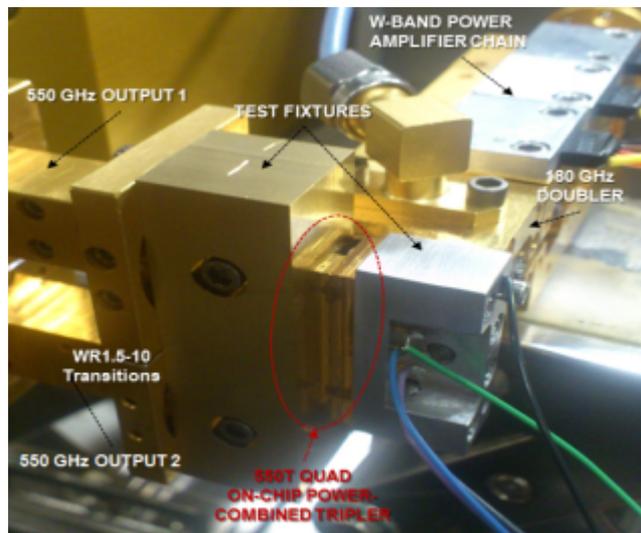
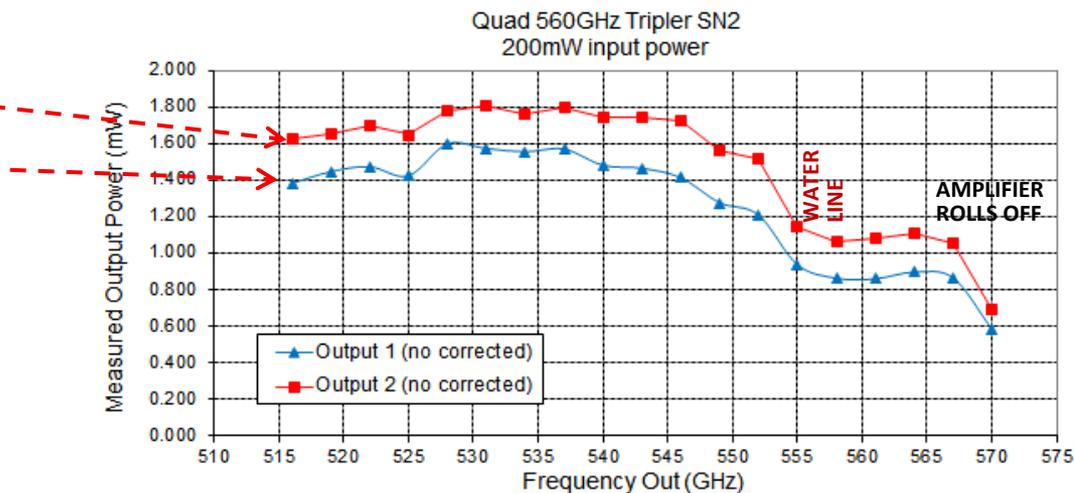
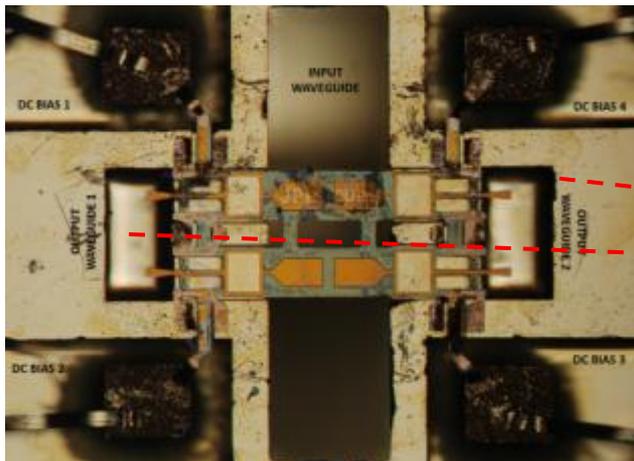
Metal split-block (20mmx20mmx8mm)

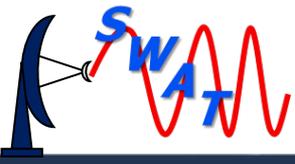




Quad Chip Performance

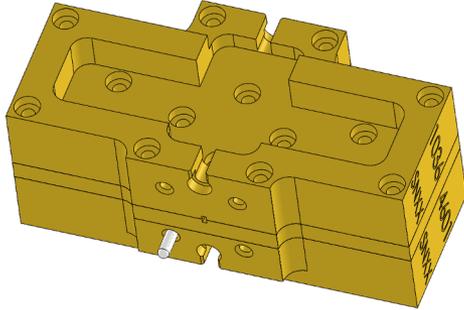
510-570 GHz On-Chip Power-Combined Tripler



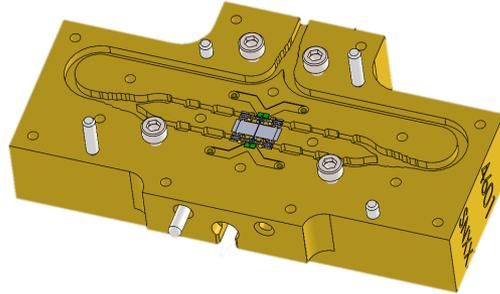


Power Combined Quad Chip (176 GHz DOUBLER)

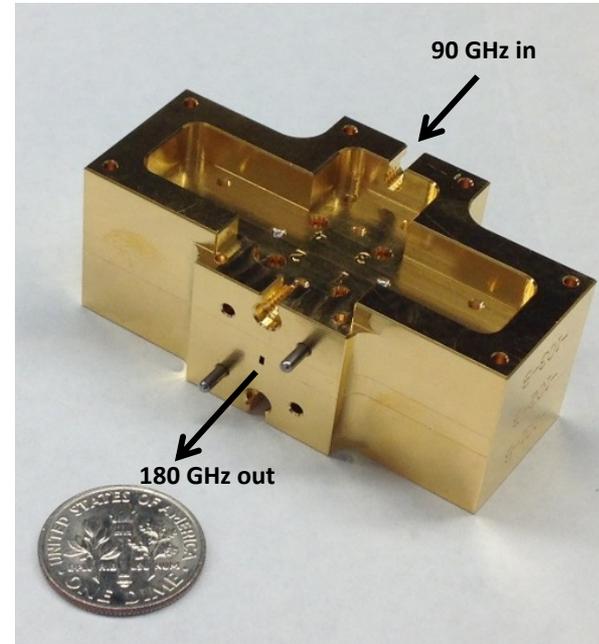
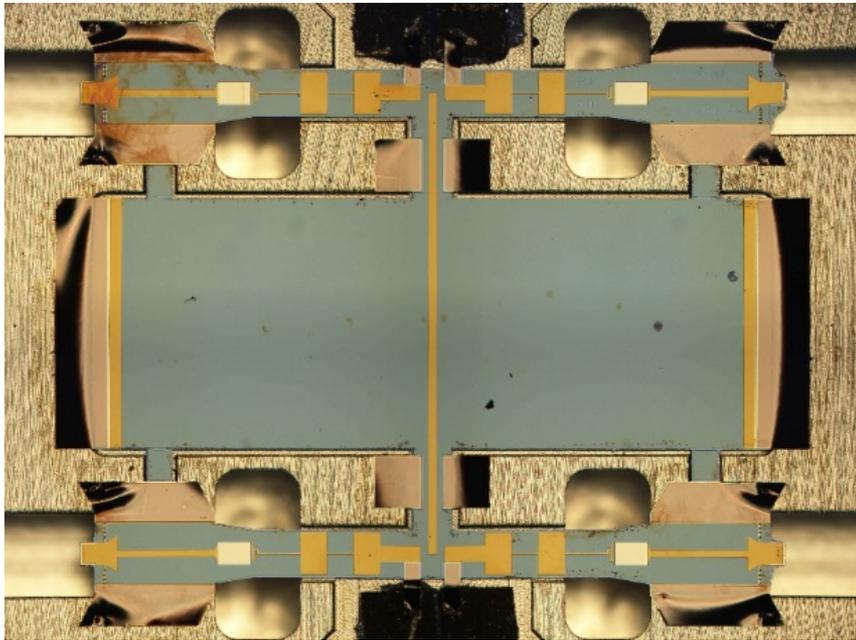
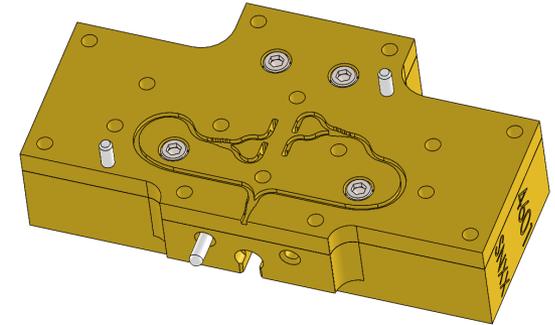
quad-doubler block



input power dividing

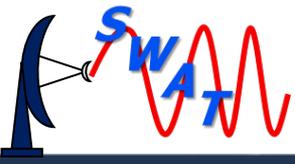


output power combining



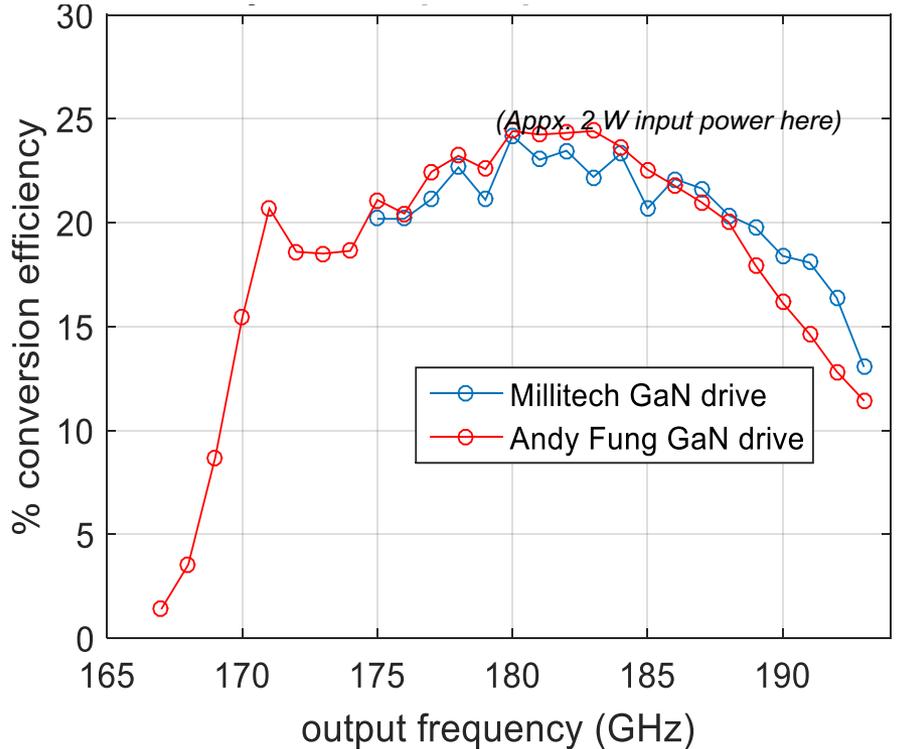
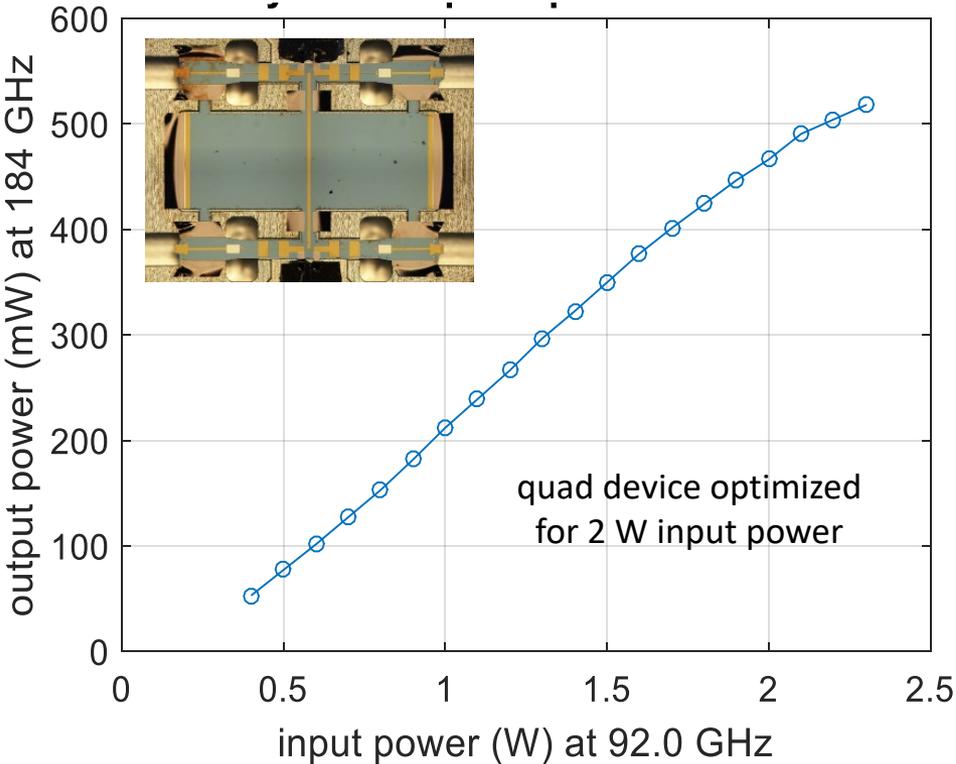
**Quad on-chip
power-
combined
GaAs 180 GHz
doubler design
together with
compact
housing able to
handle more
than 2 Watts
and produce
around 500 mW
output**



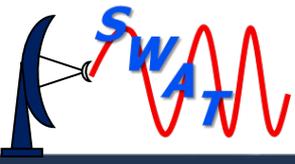


Power Combined Quad Chip (176 GHz DOUBLER)

- **World-record output power achieved at 189 GHz (94.5 GHz input): >500 mW output!**
- **Fairly flat response with ~23% conversion efficiency (2 W input) over >10 GHz bandwidth.**



x10 state of the art. Herchel/HIFI devices delivered 50 mW with max input power of 200 mW. Requirement for this Project was 400 mW.



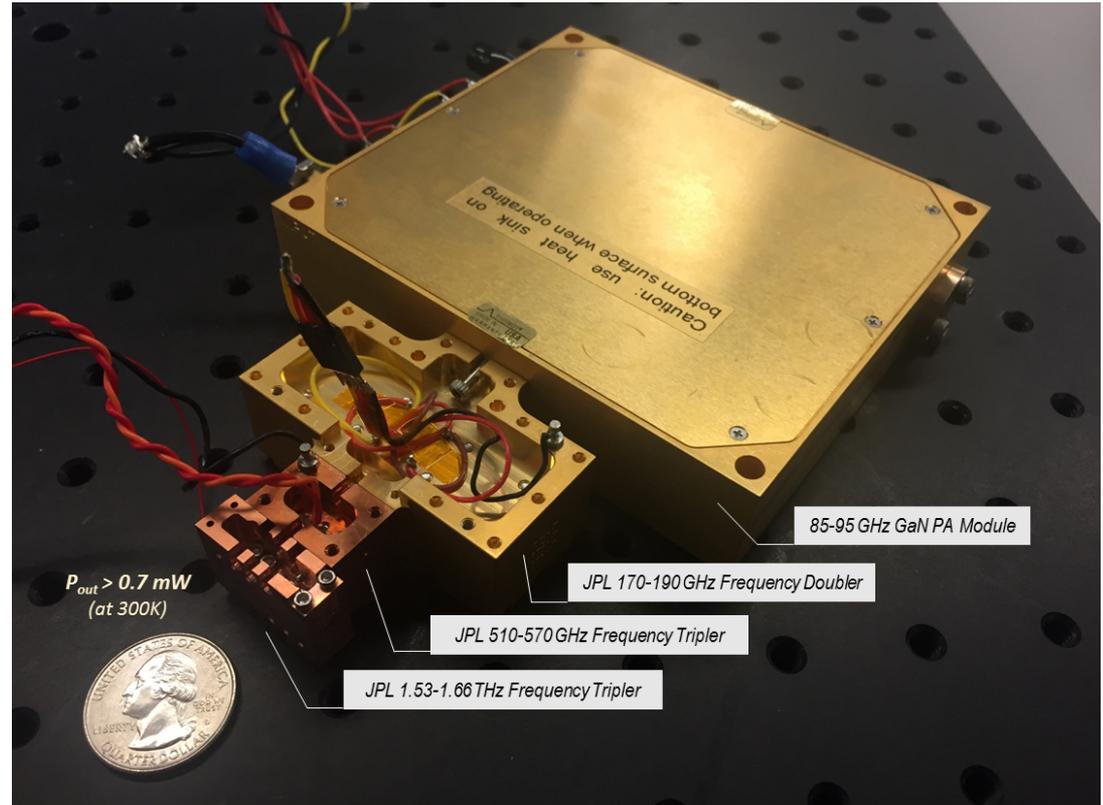
CLOSING THE “TERAHERTZ GAP” WITH ROOM-TEMPERATURE ALL-SOLID-STATE LO SOURCES

Total dc power consumption is only ~ 20 W

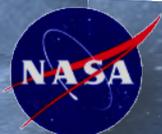
No cooling needed, works at 300 K

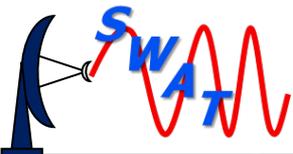
Large bandwidth, Gaussian beam, temperature stable, frequency stable, no need

Fig: High-power 1.6 THz frequency multiplied LO source recently demonstrated at JPL, exhibiting a world record output power of 0.7 mW at room-temperature operation. 10+ times improvement over the previous state-of-the-art.

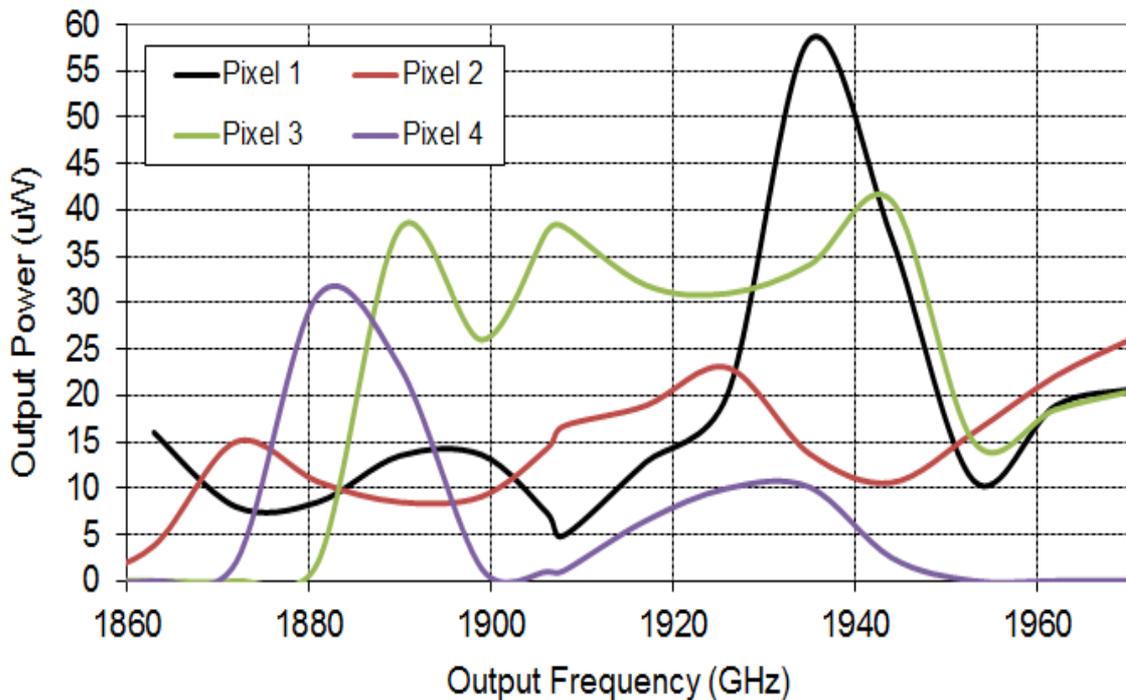
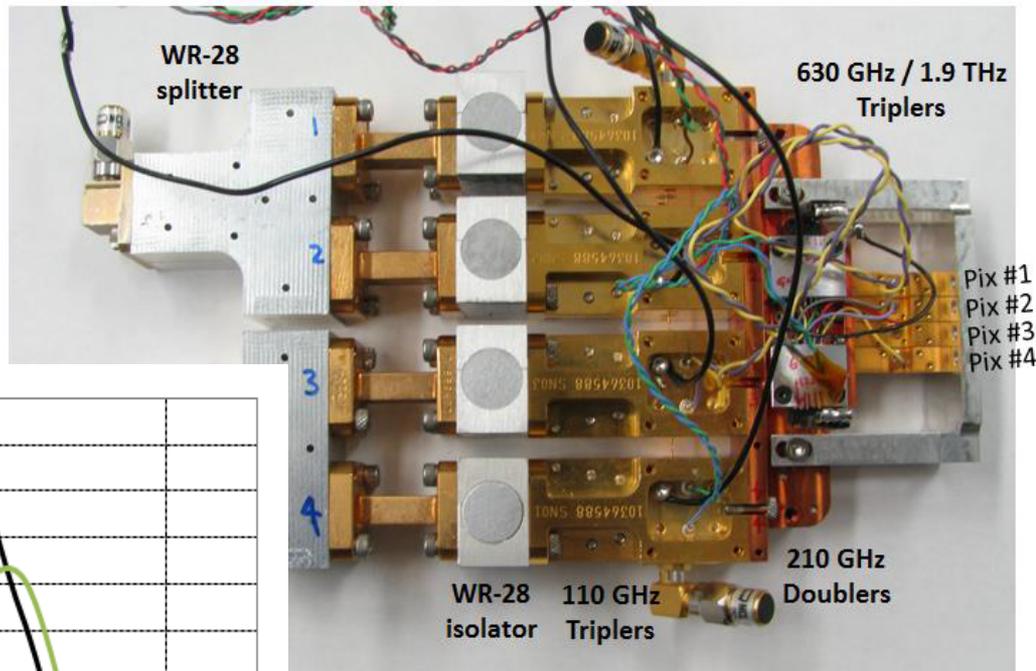


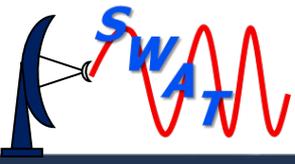
	PREVIOUS STATE-OF-THE-ART			THIS WORK		
	Max. Input	Max. Output	Peak Efficiency	Max. Input	Max. Output	Peak Efficiency
110 GHz Frequency Tripler	-	-	-	900 mW	200 mW	30%
180 GHz Frequency Doubler	250 mW	50 mW	25%	2.5 Watts	550 mW	25 %
230 GHz Frequency Tripler	240 mW	22 mW	14%	700 mW	130 mW	21 %
340 GHz Frequency Tripler	150 mW	10 mW	10%	> 300 mW	40 mW	15 %
527 GHz Frequency Tripler	50 mW	2.5 mW	7%	> 500 mW*	> 30 mW*	7 %*
1.6 THz Frequency Tripler	3 mW	50 uW	2 %	> 40 mW*	> 1 mW*	3 %*





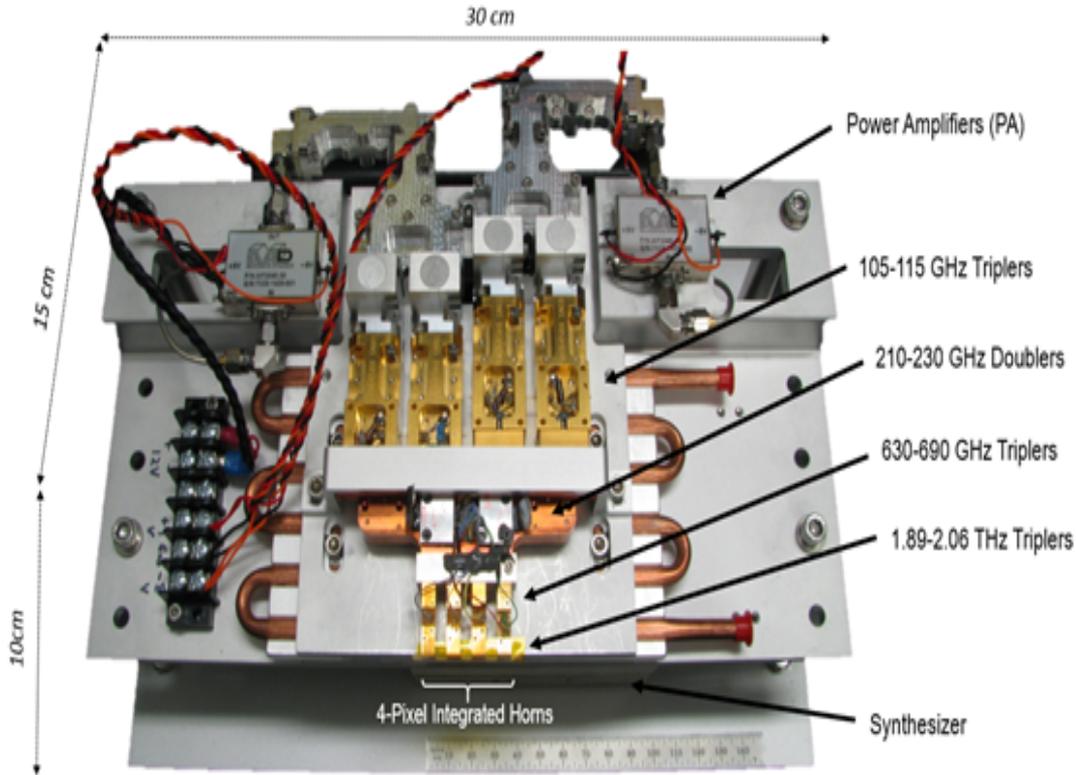
DEVELOPMENT of MULTI-PIXEL LO AT 1.9 THz: BIASLESS 1.9 THz TRIPLERS





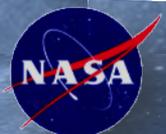
4-pixel Chain

X3X2X3X3 architecture

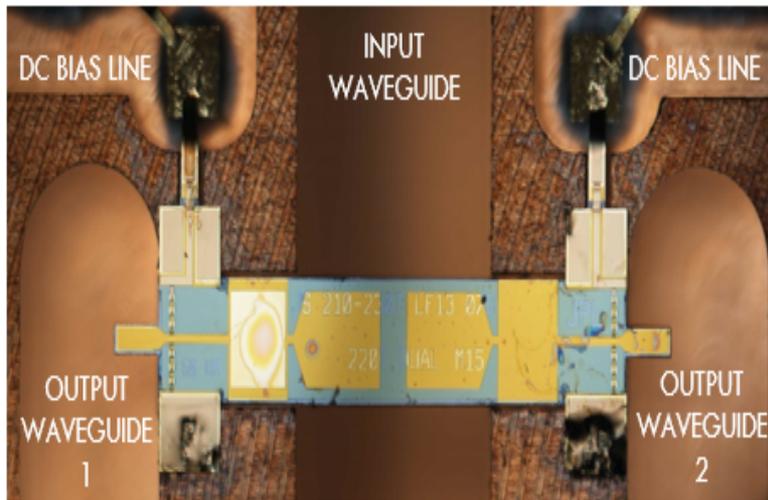


1.9 THz 4-Pixel Frequency Multiplied Chain delivered by JPL for NASA's Stratospheric Terahertz Observatory, STO-2 (Dec. 2016).

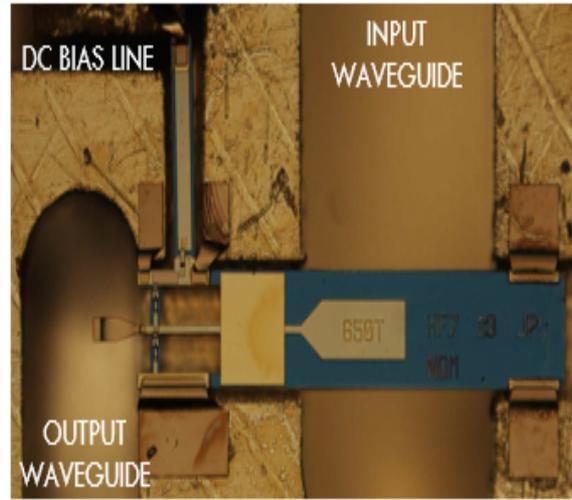
Power Consumption= 28 Watts/pixel



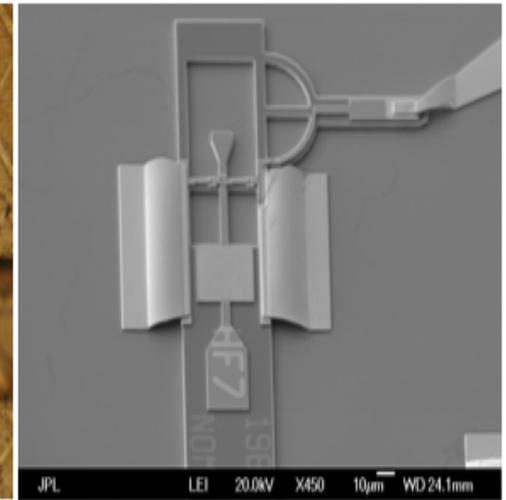
SWAT Optimized Device Designs for Power and Efficiency



1st STAGE: On-chip Power Combined 210-240 GHz Tripler (JPL/Caltech patented technology)

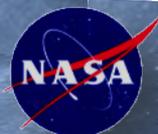


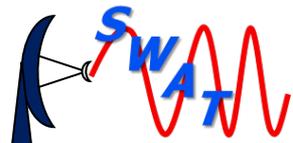
2nd STAGE: High-Power 600-700 GHz Tripler (State-of-the-art)



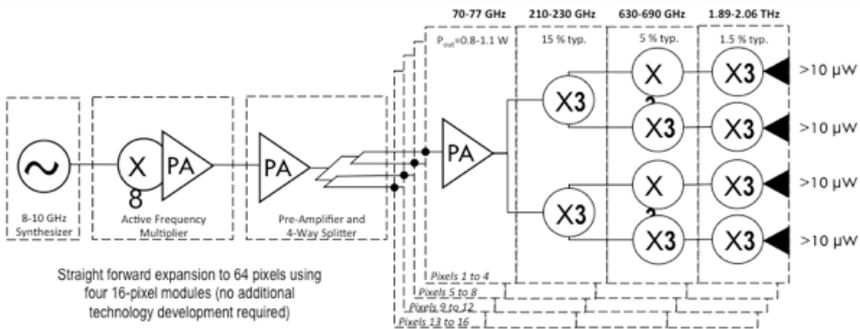
3rd STAGE: Biasable 1.9-2.06 THz Tripler (First biasable tripler demonstrated beyond 1.5 THz)

All required multipliers (x3x3x3) needed for the 16-pixel LO source have been designed, fabricated and tested.

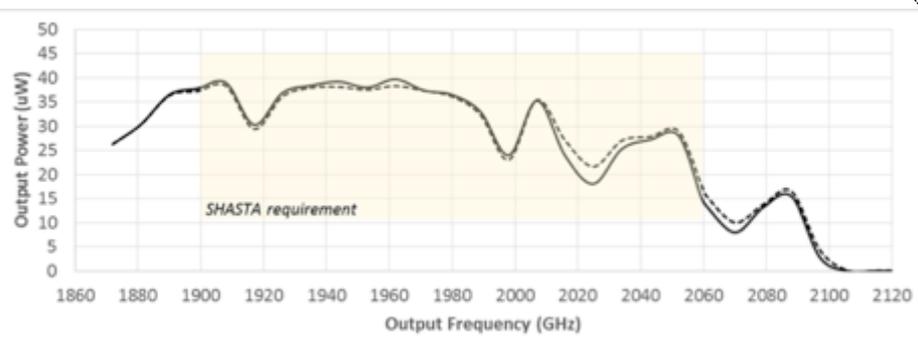
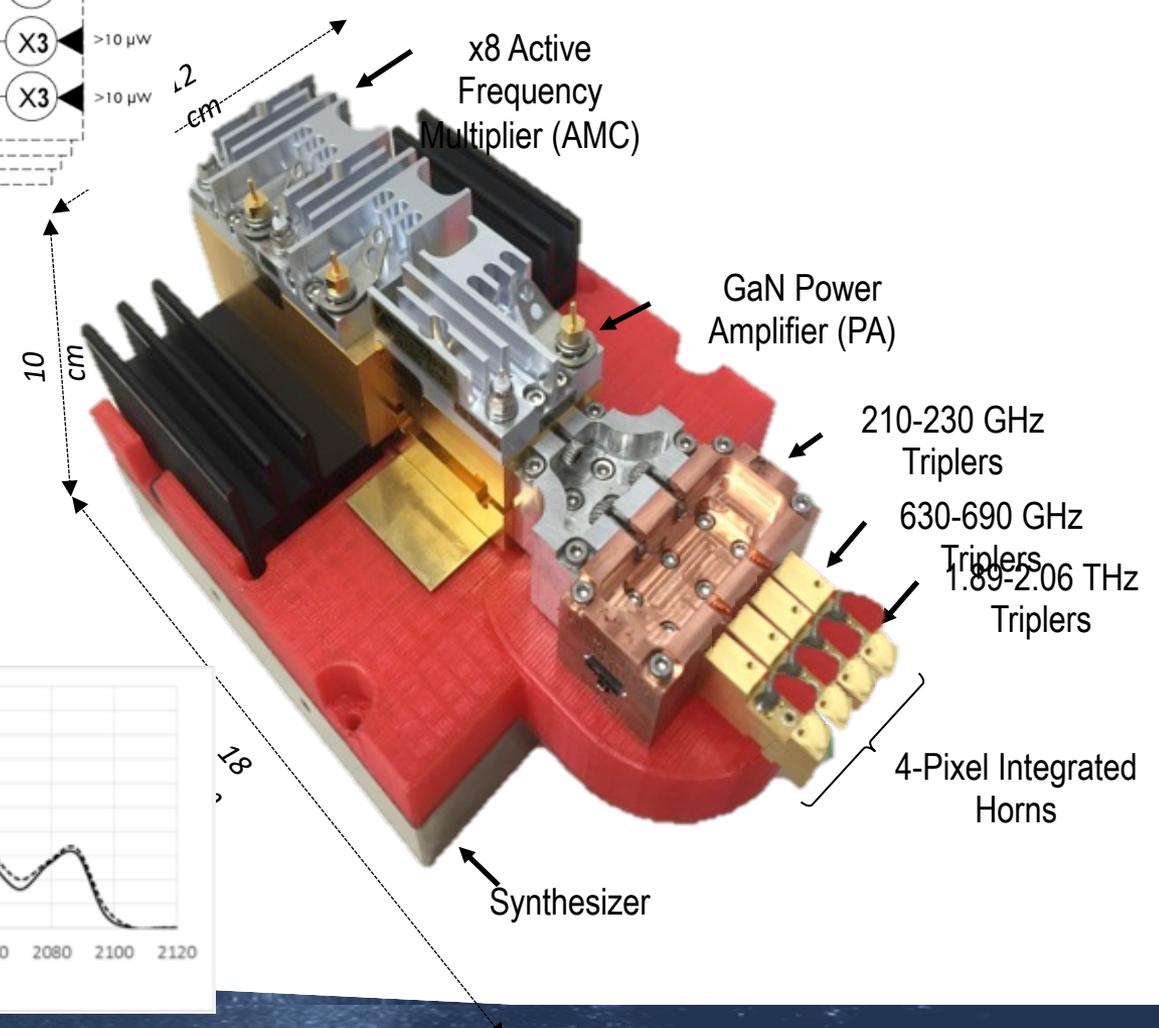




Multi-pixel LO source for 1.9-2.06 THz

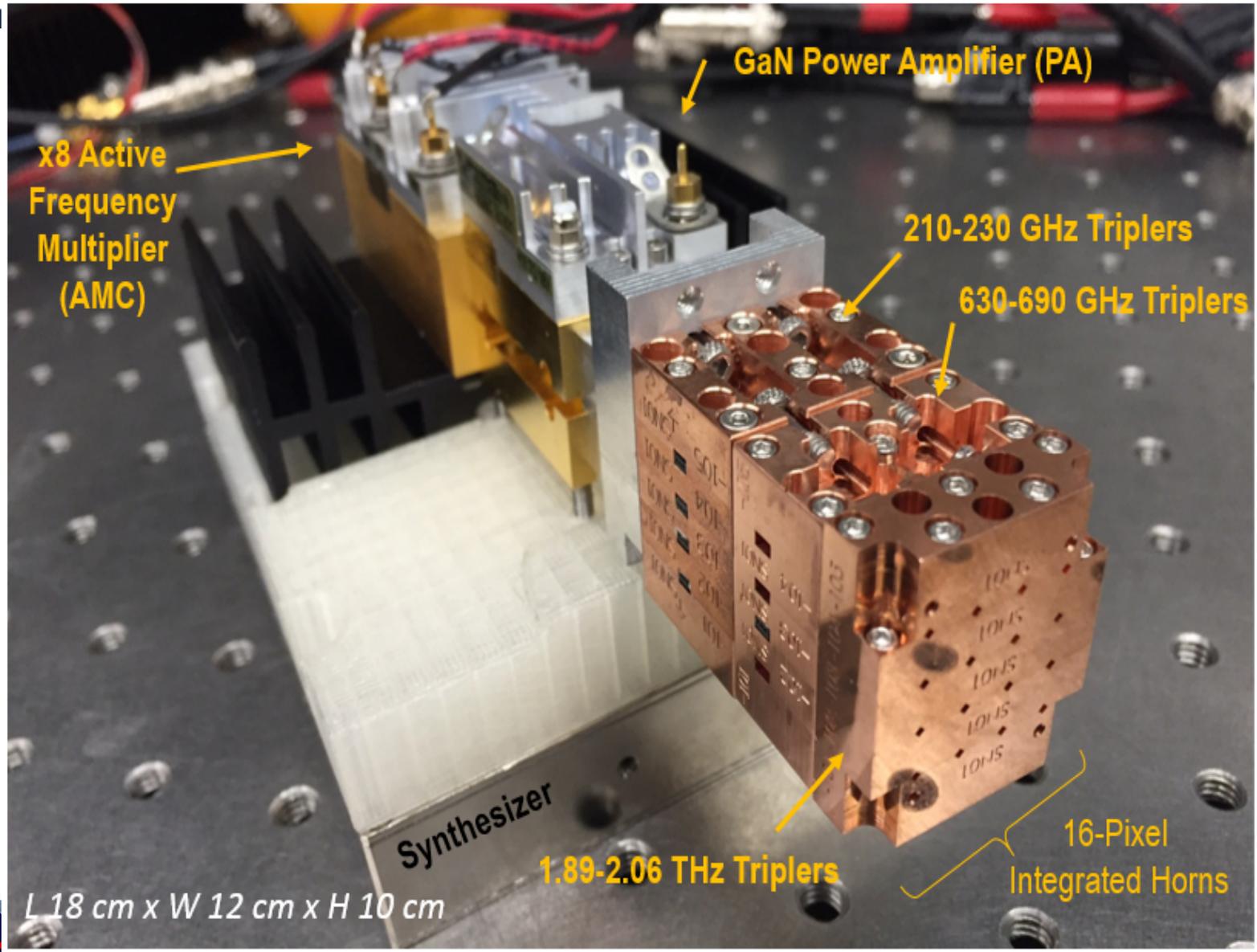


4-Pixel, 15 μ W/pixel at 1.9 THz
dc power consumption: \sim 5.9 W/pixel





16-Pixel 1.9-2.06 THz LO subsystem

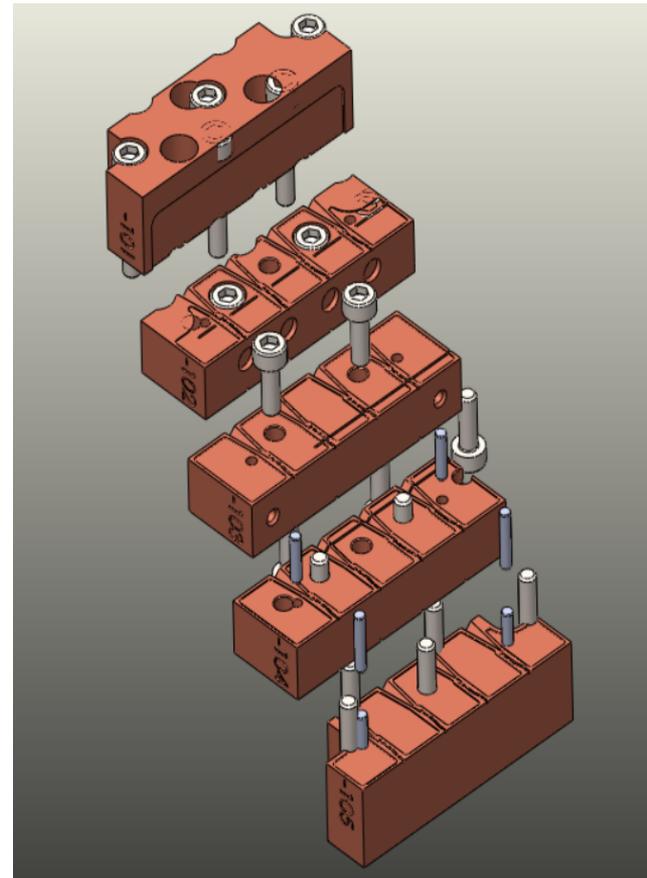
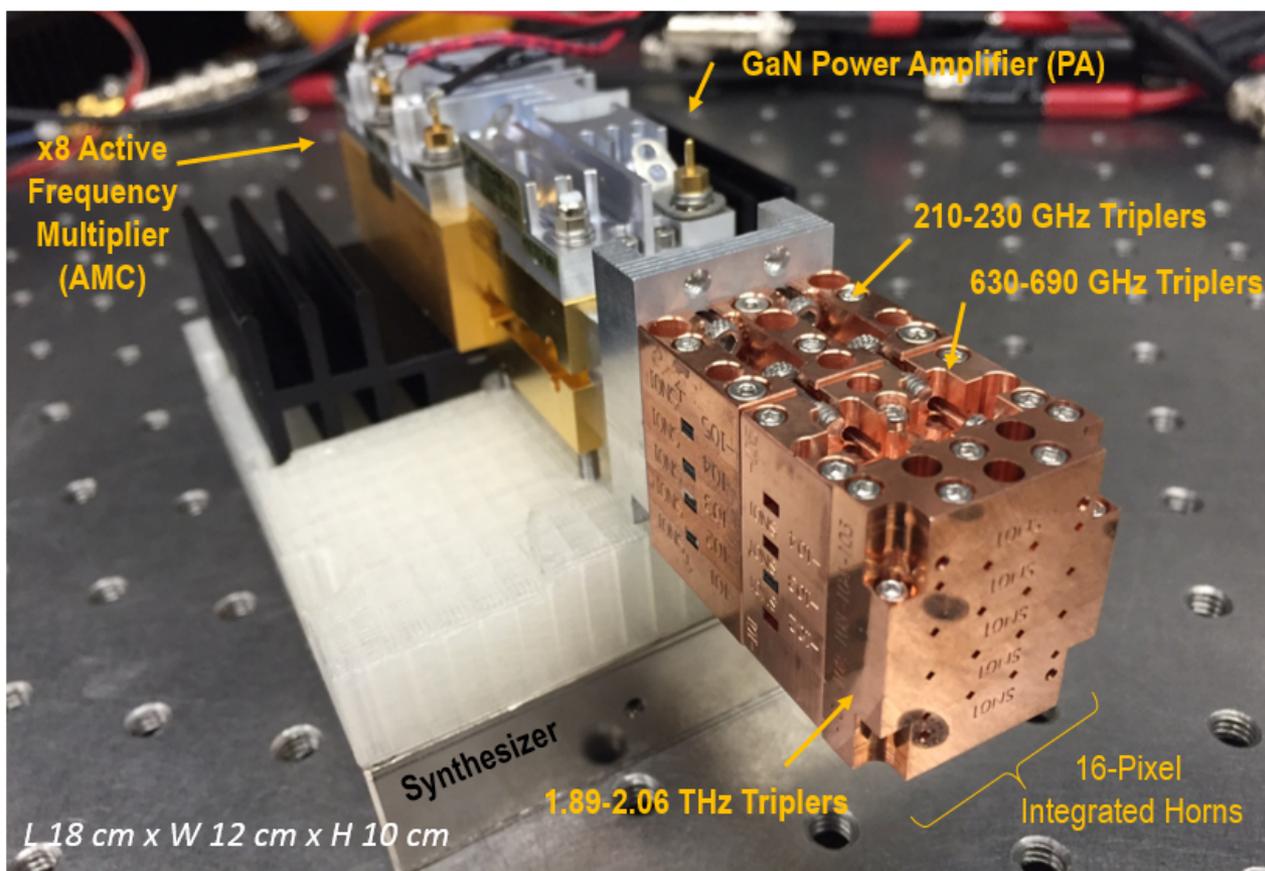


L 18 cm x W 12 cm x H 10 cm

Power Consumption = 2.3 Watts/pixel
California Institute of Technology



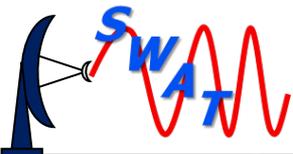
SW 47 16-pixel 1.9 THz LO system: STACKING



The LO module can be mounted with either two or four 1x4 pixel layers vertically stacked to form 8-pixels or 16-pixel configurations..

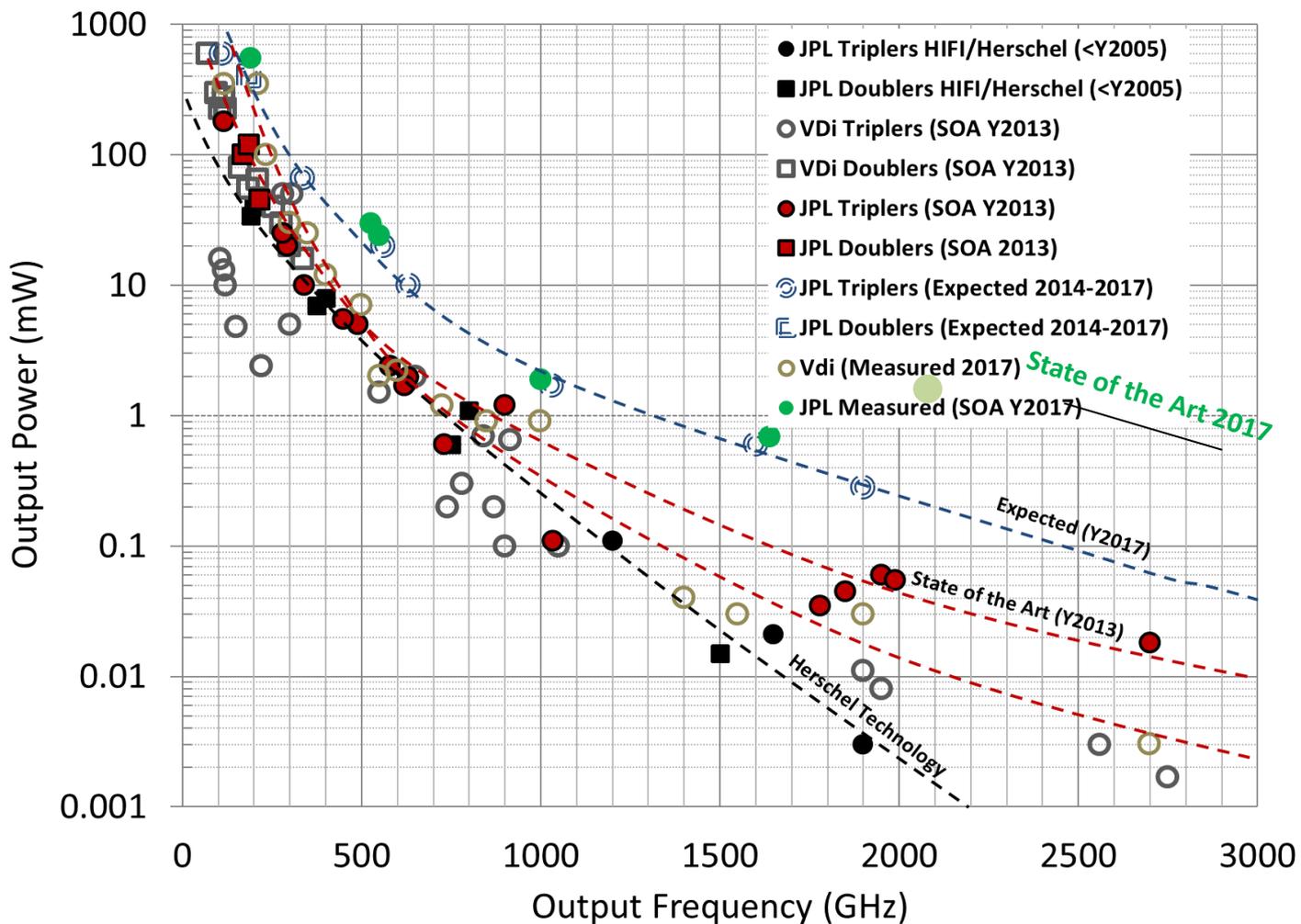
Power Consumption= 2.3 Watts/pixel or 1.25 Watts/pixel using W-band CMOS synthesizers

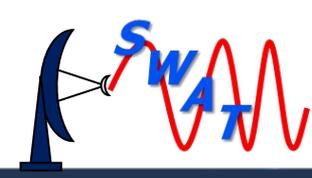
X3X3X3 Architecture



Room Temperature THz Sources--SOA

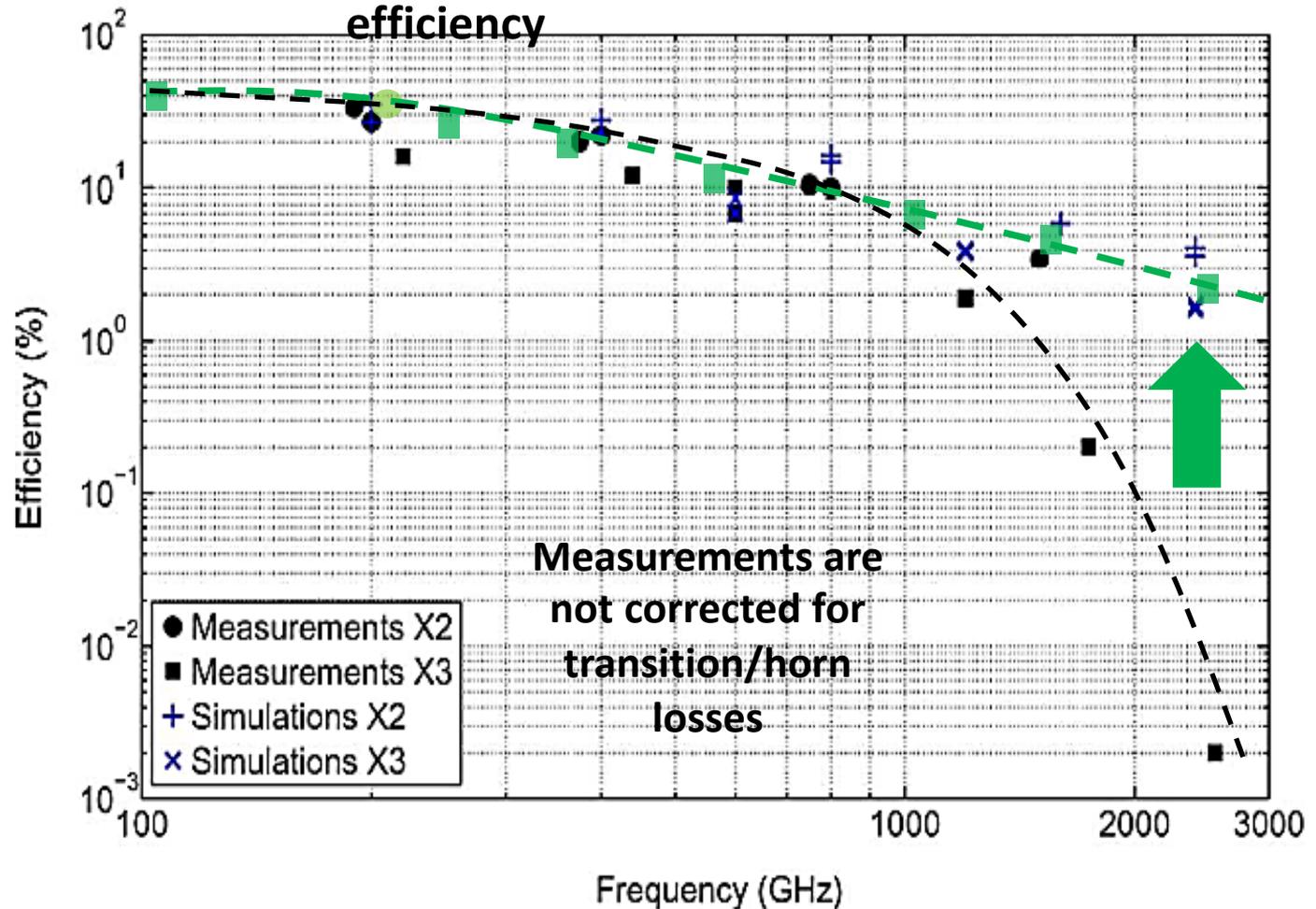
Multi-pixel heterodyne are now possible in the THz range

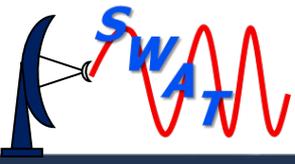




Room Temperature THz Sources--SOA

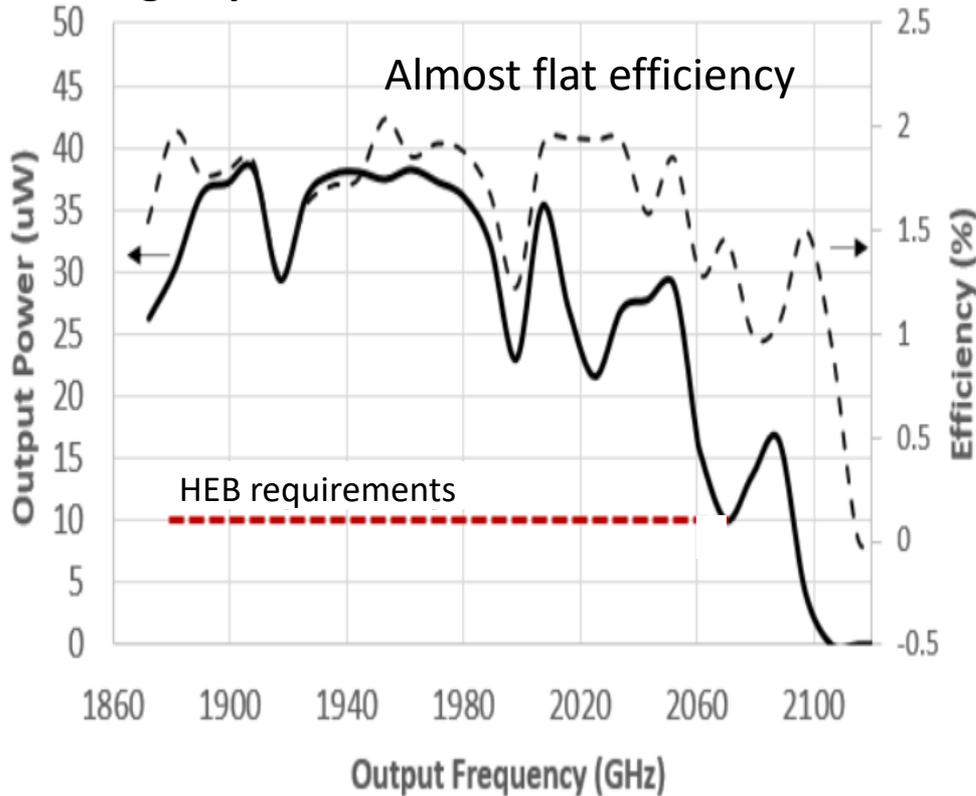
Optimized designs and precise manufacturing leads to improvement in



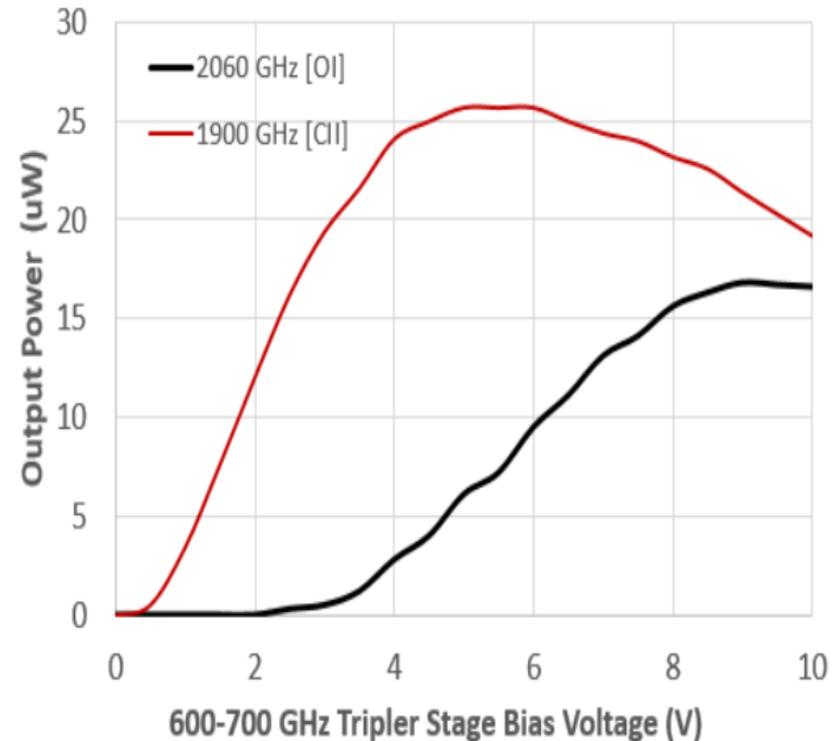


LO Chain Typical Performance

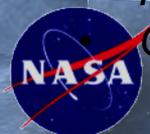
High power/large bandwidth source with no cooling required



Individual output power per pixel adjustment

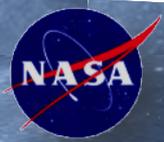
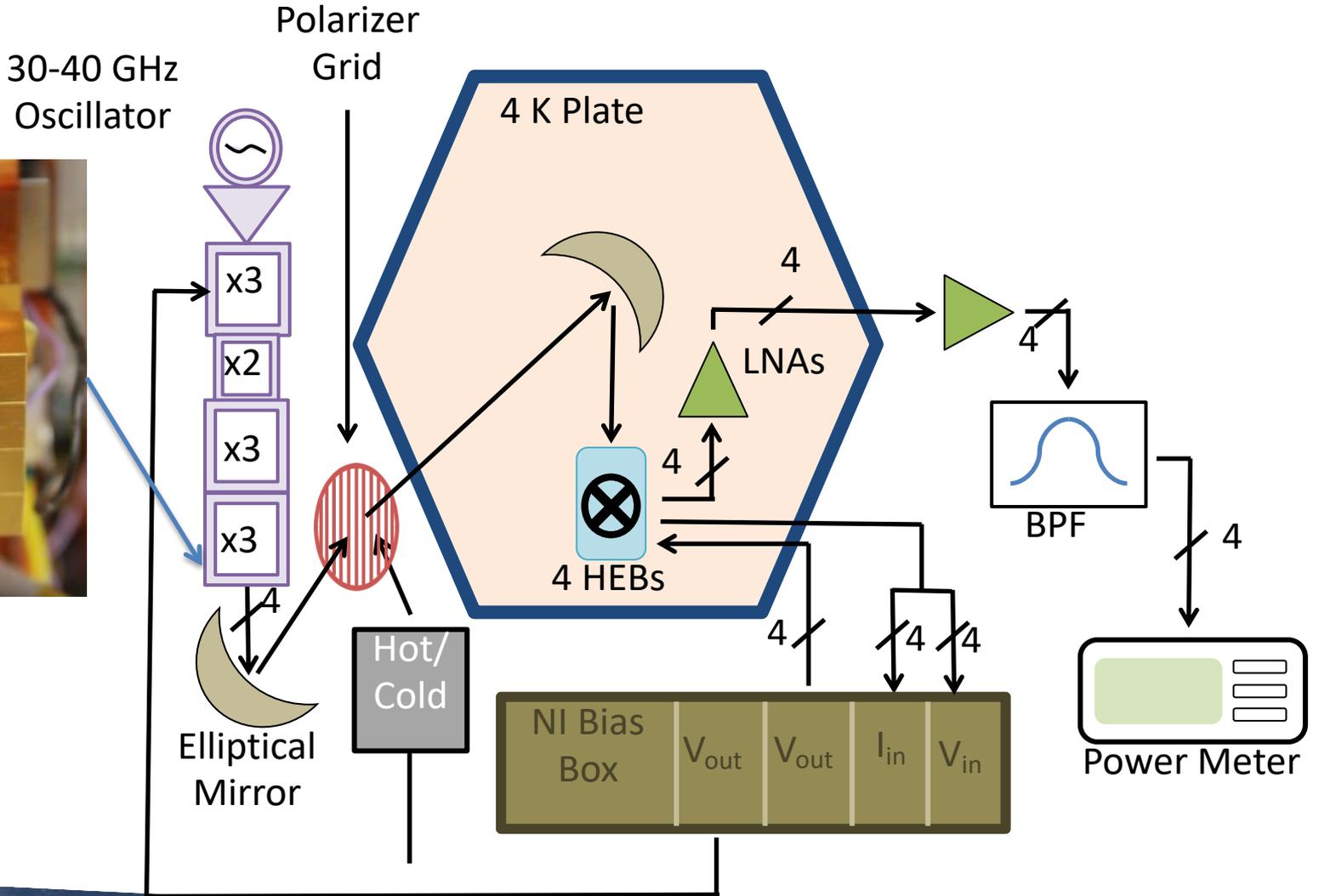


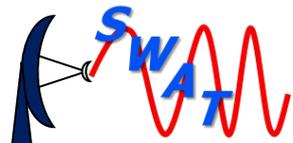
- Substantial power margin, i.e., reduces critical alignment tolerances
- Improves system level stability
- Reduces LO noise contribution
- Output power control via bias (adjust power per pixel)



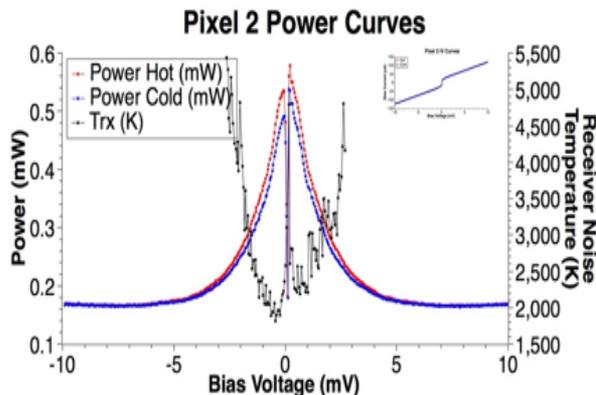
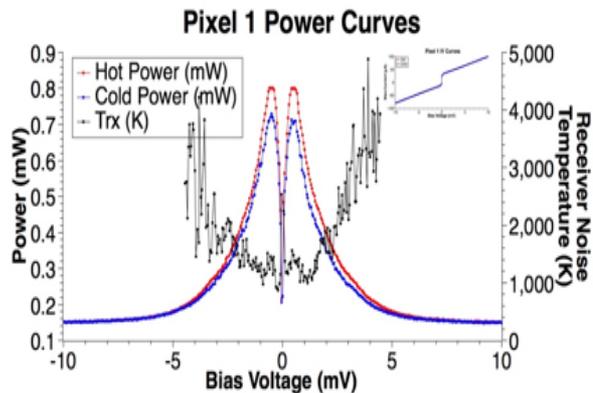


Receiver Setup



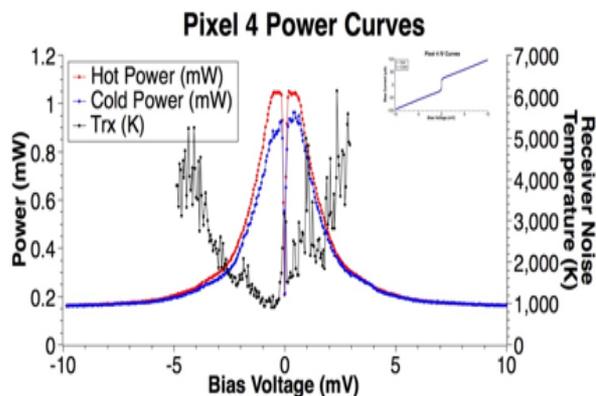
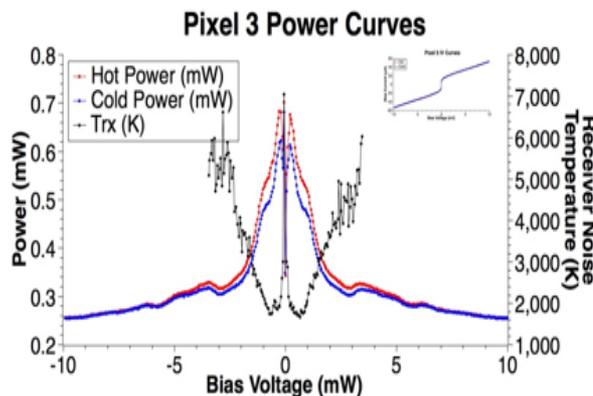


Multi-pixel Receiver measurements



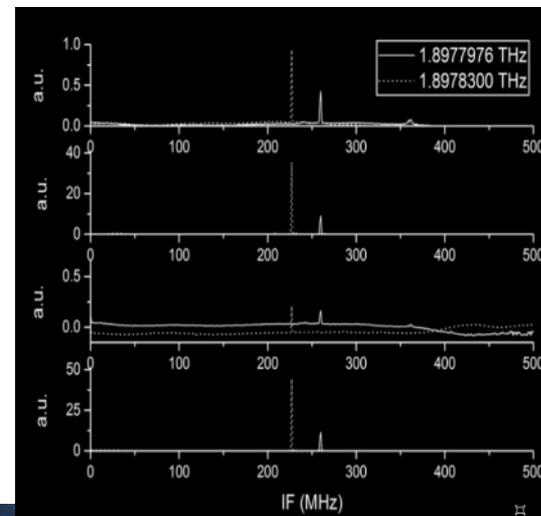
Best Y-Factor: 1.21, $T_{rx} = 900$ K

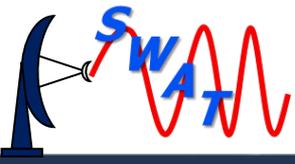
Best Y-Factor: 1.11, $T_{rx} = 1800$ K



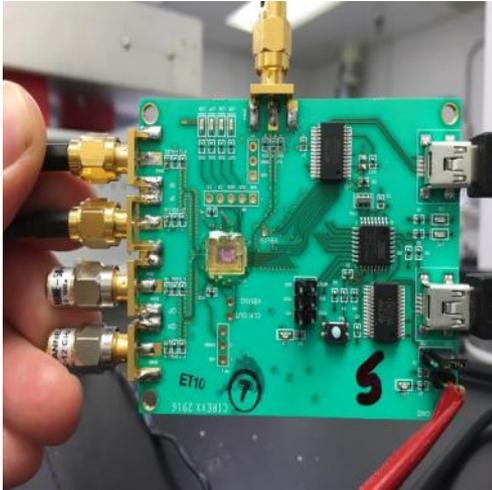
Best Y-Factor: 1.12, $T_{rx} = 1700$ K

Best Y-Factor: 1.21, $T_{rx} = 900$ K

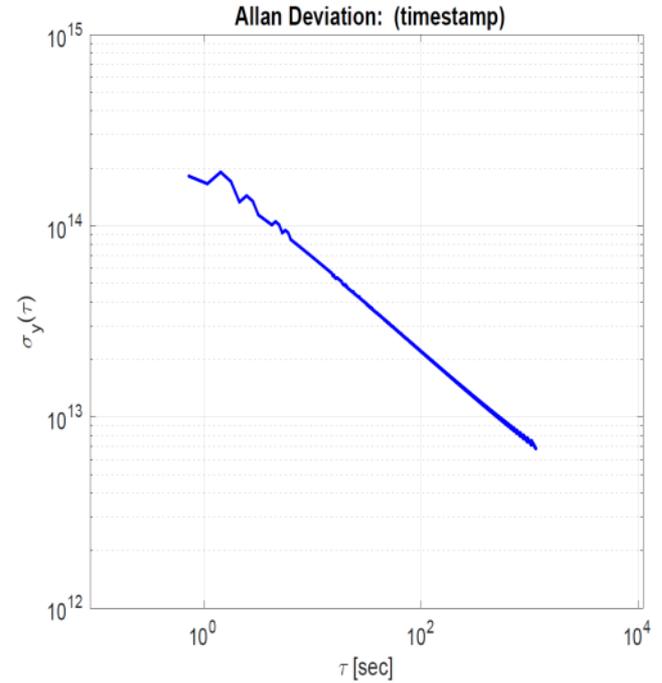
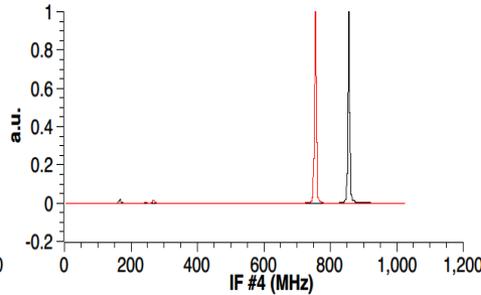
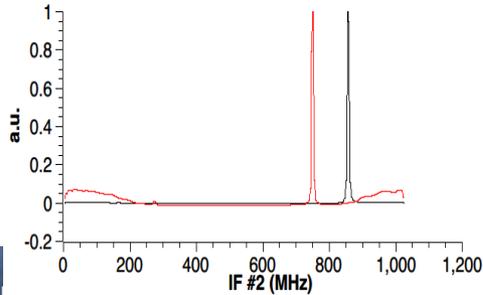
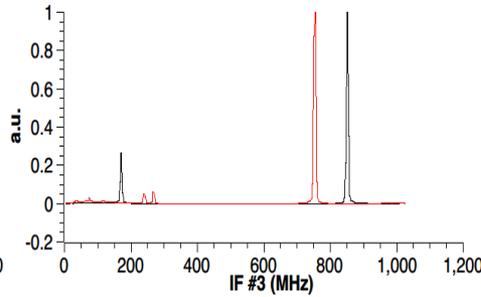
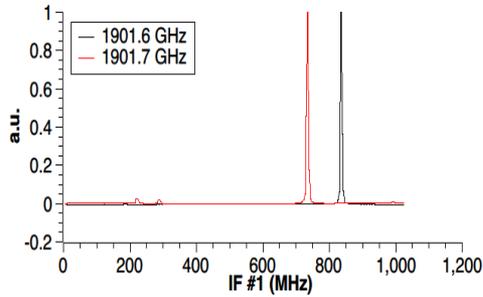




End-to-End Demonstration

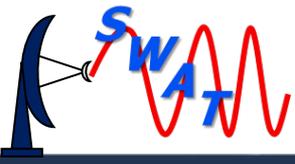


Prototype 1-GHz 256-channel spectrometer

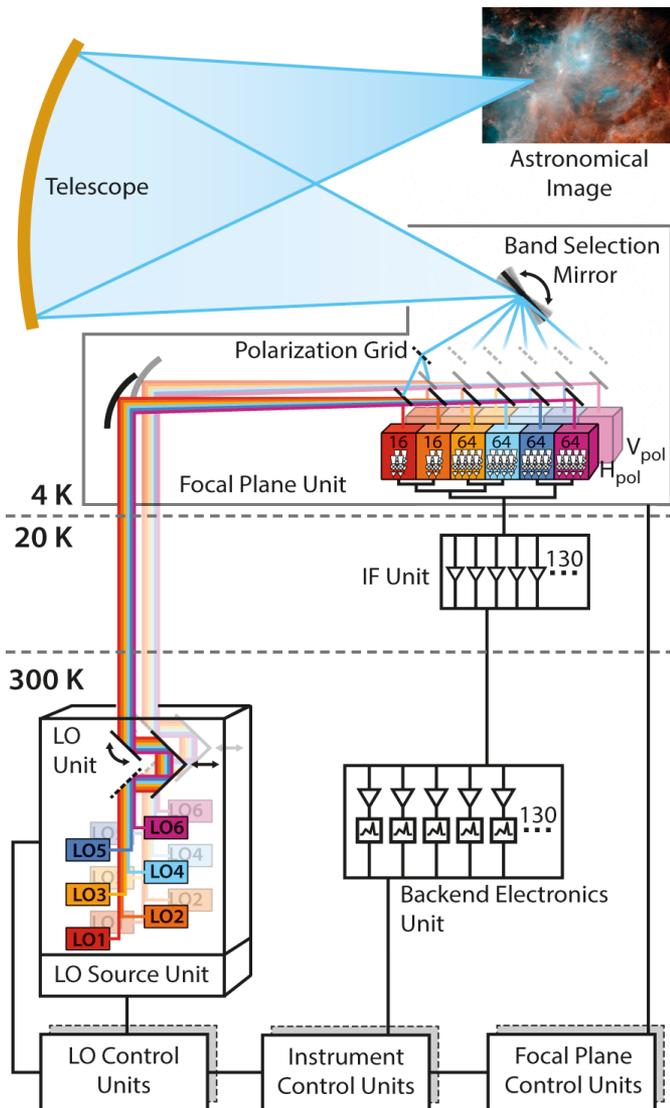


Preliminary stability measurements indicate that the noise integrates down as expected

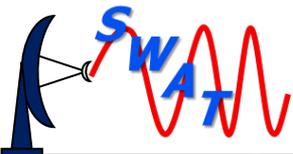




Heterodyne Receivers on the Origins Space Telescope (HERO)



- NASA sanctioned next large (>2B\$) mission concept study
- In competition with 3 other mission concepts
- Community discussion underway to decide on optimal path forward.
 - OST is single dish, 9-meter diameter, cooled to 4K
 - Discussions are open to science community
 - Currently 5 instruments are being studied
 - Far-IR Imaging Polarimeter
 - Heterodyne Instrument
 - High-Res Far-IR Spectrometer
 - Medium-Res Survey Spectrometer
 - Mid-IR Spectrometer-Coronagraph



HERO (Heterodyne Instrument for OST)

- Availability of 4K, 20K, and 300K platforms
- At 4K only 100 mW of dissipation is allocated
- At 20K only 250 mW of dissipation is allocated

Col.	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Band	$\nu(\text{min})$	$\nu(\text{min})$	λ_{max}	$\lambda(\text{min})$	Max $\Delta\lambda/\lambda$	IF BW2	Mixer	# pixels	# pixels	Trx	Beam s	T_{rms} (mK)	Line Flux	Line flux per time
	(GHz)	(GHz)	(μm)	(μm)		(km/s)	Type	Fall-back	Goal	K (DSB)	arc sec	in 1h at $\lambda/\Delta\lambda = 10^6$	W/m^2 at 5σ , 10^6 res 9m tel. 1h	$W \text{ m}^{-2} \text{ s}^{0.5}$, 9m, 5σ
1	484	648	610	429	10^7	4240	SIS	2x4	2x16	40	14.3	1.77	2.62E-21	1.63E-19
2	648	864	429	300	10^7	3175	SIS	2x4	2x16	80	10.0	3.07	5.31E-21	3.77E-19
3	864	1134	300	250	10^7	2402	SIS	2x4	2x16	110	7.6	3.67	8.34E-21	5.96E-19
4	1134	1512	250	188	10^7	1814	HEB	2x4	2x16	180	6.0	5.22	1.75E-20	1.12E-18
5	1512	1998	188	136	10^7	1368	HEB	2x4	2x64	250	4.5	6.29	2.69E-20	1.80E-18
6	1998	2700	136	111	10^7	1022	HEB	2x4	2x16	300	3.4	6.52	3.89E-20	2.49E-18
7	4536	4752	66	63	10^7	517	HEB	2x4	2x64	500	1.8	7.73	9.72E-20	5.84E-18

Table caption:

Col. 6 Max $\Delta\lambda/\lambda$ max. resolution achievable, technically higher resolution can be reached easily if desired

Col. 7 Instantaneous bandwidth in km/s (it is assumed that we have 8 GHz IF bandwidth at all bands)

Col. 9, 10 The pixels will be arranged in 2 x NxN arrays, e.g. for 32 pixels there are 2 x 4x4 arrays. The two NxN arrays are overlaid at two different linear polarization.

Col 11 Relatively conservative receiver sensitivity estimate

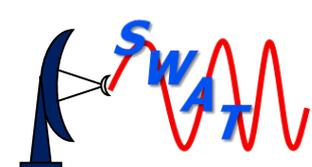
Col 12 Diffraction limited beam size for 9m telescope

Col 13 Line sensitivity at 1 sigma, a factor of 2 has been taken into account to convert from DSB to SSB, however no factor for on/off has been taken into account.

Col 14 Assumed is 9m dish with 0.8 aperture efficiency, resolution $\lambda/\Delta\lambda = 10^6$, and 5σ for 1h

Col 15 Assumed is 9m dish with 0.8 aperture efficiency, resolution $\lambda/\Delta\lambda = 10^6$, and 5σ per unit time





Summary

- 16-pixel LO subsystem has been assembled
 - Record output power
 - Output power from each pixel is controllable
 - Compact low DC-power/pixel approach
 - Modular, room temperature operation
- Receiver system demonstrated with a 4-pixel Hot Electron Bolometer
- Future work will explore further integration and approaches to making the chain more compact and low power with increased bandwidth

Acknowledgement: Wish to acknowledge past and present members of my group at JPL that have made the presented work possible. This work was carried out at JPL, California Institute of Technology, under a contract with NASA.



Maria Alonso del Pino



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Christine Chen



Ken Cooper



Robert Dengler



Andy Fung



Darren Hayton



Hamid Javadi



Boris Karasik



Adrian Tang



Anders Skalare



Seth Sin



Jose Siles



Peter Siegel
DVS



Erich Schlecht



Lorene Samoska



Ricky Roy



Sofia Rahiminejad



Alex Peralta



Imran Mehdi



Robert Lin



Jon Kawamura



Yanghyo (Rod) Kim



Choonsup Lee



JPL



Astrophysics Solar System Earth Defense Health



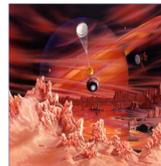
High Altitude Balloon



Airborne Platforms (DC/SOFIA)



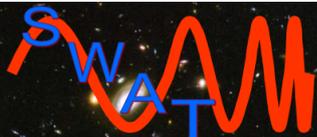
Earth Orbiter/Sounders



Planetary/Small Body Sounders



SUBMILLIMETER-WAVE ADVANCED TECHNOLOGY



2017-2018

