

An Affordable Lunar Architecture Emphasizing Commercial and International Partnering Opportunities

A JPL A-Team Study
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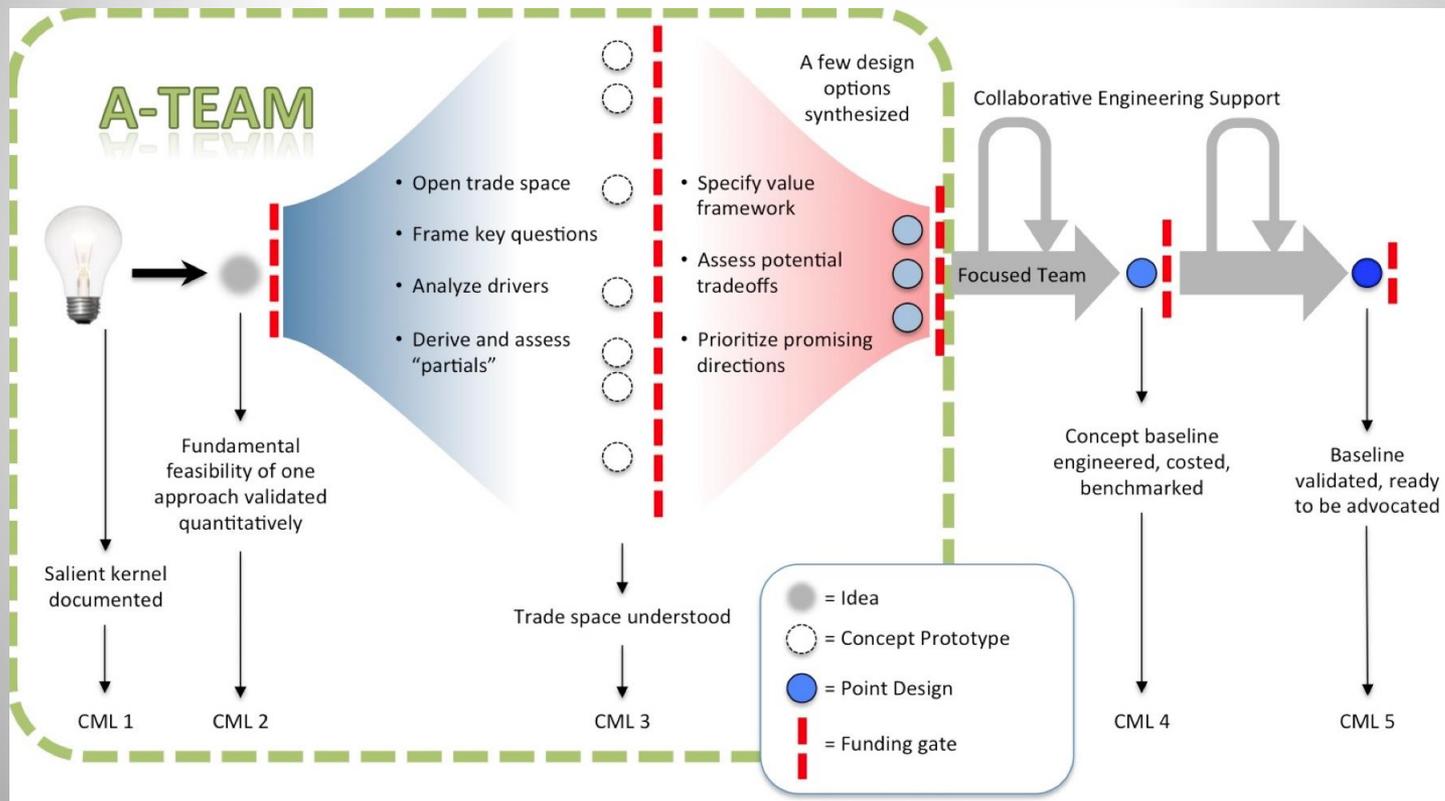
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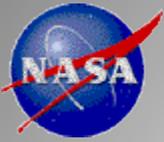
Pre-Decisional Information -- For Planning and Discussion Purposes Only



A-Team Studies

- JPL A-Team (Architecture Team) performs concurrent mission studies at the earliest stages of formulation

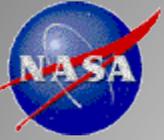




A-Team Studies

- A-Team studies usually complete after one session
 - Four sessions were needed (May 2016 – July 2017)
- This study's lineup

Leon Alkalai	Client, Manager, Strategic Planning Office, and Director, Blue Sky Studies
Randii Wessen	Facilitator
Peter Basch	Documentarian
Robert Shishko	SME – Program Architectures and Affordability Assessments
Brian Wilcox	SME – Autonomous Systems
Nitin Arora	SME – Mission Design
Adrian Stoica	SME – Mobility & Robotic Systems
Scott Howe	SME – Robotics and Habitation Systems
Hoppy Price	SME – Systems Engineering and Program Architectures
John Elliott	SME – Robotic Lunar Exploration



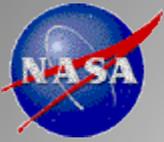
A-Team Study Objectives

- Recognizing (a) the possibility of a shift back to the moon and (b) the growing capabilities and access to capital of commercial space, develop **conceptual lunar architectures** that could simultaneously:
 - Provide “living on another world” experience;
 - Be affordable;
 - Offer truly significant commercial and international partnering opportunities;
 - Lead to and flow into human missions to Mars in the 2030s/2040s.
- Capture architectures in a systematic way
- Assess affordability concurrently, and in doing so, demonstrate how affordability assessments could enhance the architecture definition process.



Determining Affordability

- Different views as what that means
- NRC 2014 Report, *“Pathways to Exploration: Rationales and Approaches For a U.S. Program of Human Space Exploration,”* used a “sand chart” approach
 - Could a human mission be done within NASA’s current human spaceflight budget?
 - Could it be done within NASA’s current human spaceflight budget that grows to maintain current purchasing power?
- NRC 2014 Report sand charts were based on a methodology and cost data developed by the Aerospace Corporation
 - Methodology has three cost “flavors”
 - Cost estimates from public sources only
 - Needs a multi-faceted description of the architecture



HSF Architectures Can Be Complex

- A well-defined architecture framework is useful in abstracting essential information from the underlying complexity
- DoDAF-inspired Human Spaceflight Architecture Model (HSFAM)* artifacts:

Name	Description of Architectural Content	Classes, Types, and Subtypes
Operational Nodes	Spatial locations in the solar system; locus of an operational function or activity	Surface locations (terrestrial and planetary); orbits; Lagrange points
Systems	Notional objects that fulfill a function; a hardware and/or software build	Based on broad system purposes, e.g., surface mobility, habitation
Operational Functions	Activities that transform inputs (resources) into outputs (other resources or end products), or change their state	Based on broad functional areas, e.g., mission operations, etc.
Milestones	Time-stamped identification of significant changes; milestones are four-dimensional as the spatial location (operational node) is also included	Based on capability achieved, e.g., initial operational capability (IOC)
Measures	Measurable (quantifiable) properties or attributes of interest	Mass, cost, quantity, etc.
Standards	Applicable technical, operational or business standards and rules	ISO, ANSI, Community of Practice (CoP), government-unique, etc.
Flight Types	Arcs (or edges) between operational nodes that form a feasible network along which systems can move	
Flights	Time-stamped assignment of flight types	

*Available at <http://hdl.handle.net/2014/45707>



Space Exploration Initiative (1989-1993)

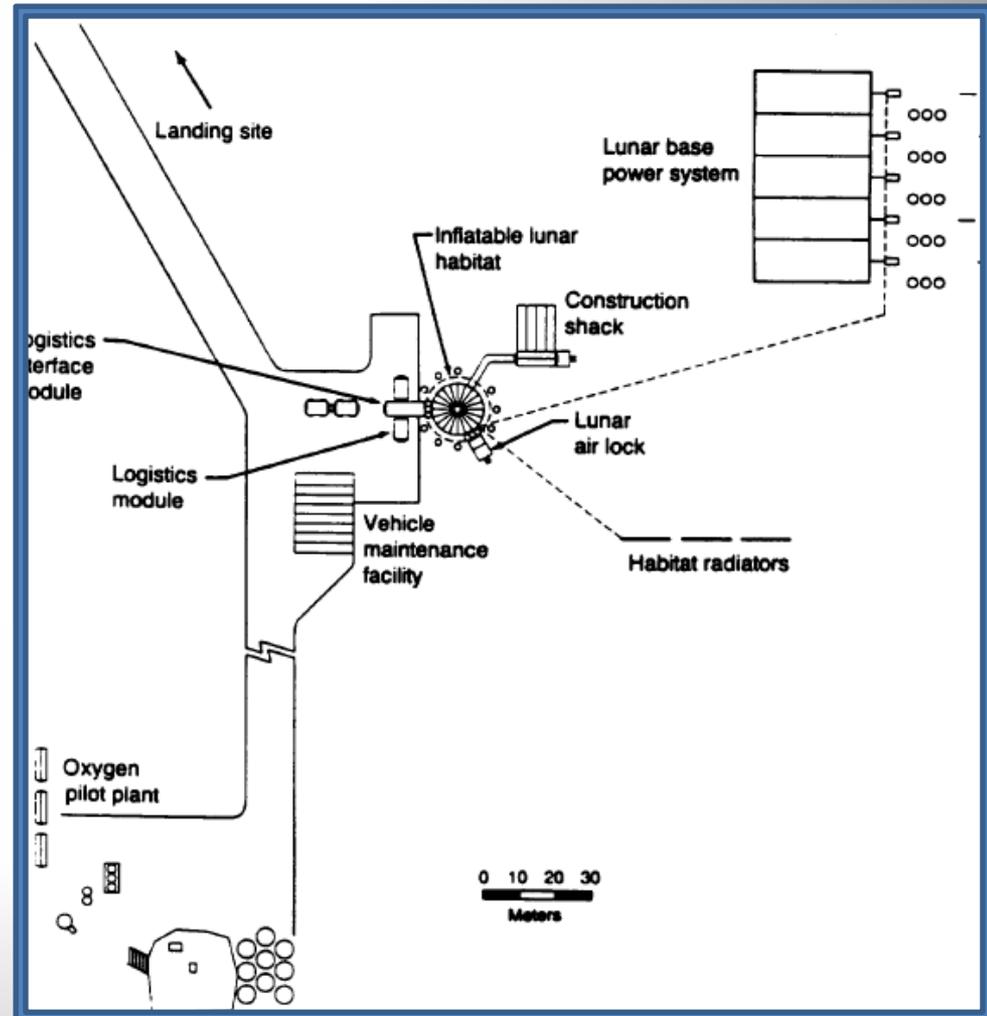
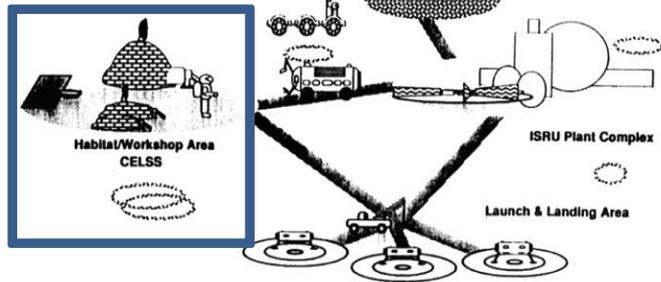
- NASA, *Leadership and America's Future in Space*, August 1987
- NASA, Office of Exploration/Johnson Space Center, *Exploration Studies Technical Report—FY89 Status*, TM-4170, August 1989
- NASA, *90-Day Study on Human Exploration of the Moon and Mars*, November 1989

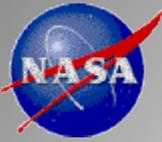
Figure 5.4.5-1 Lunar Resource Base

- ◊ Learn to life and work on extraterrestrial surface
- ◊ Capture resource opportunities for self-sufficiency

Infrastructure

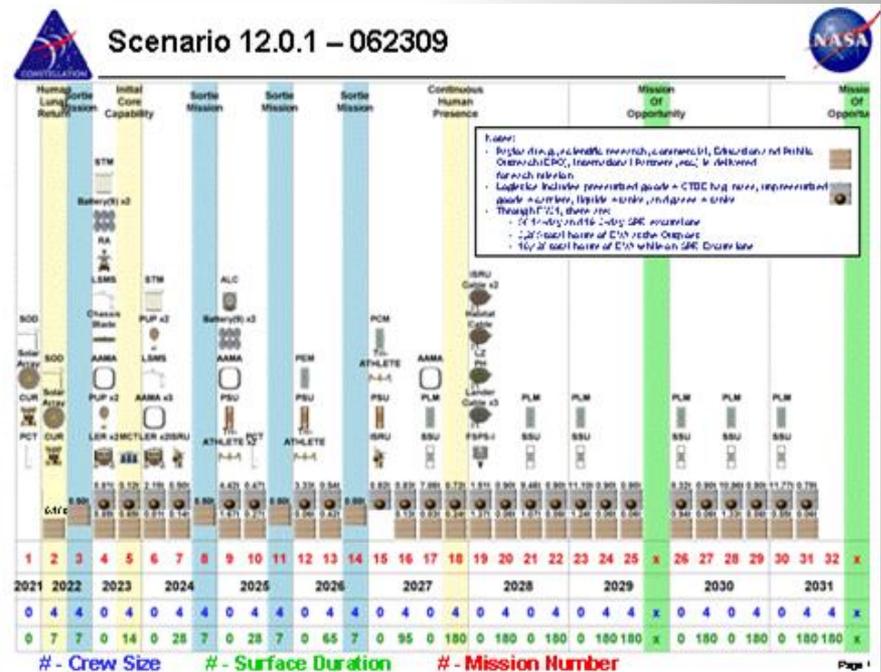
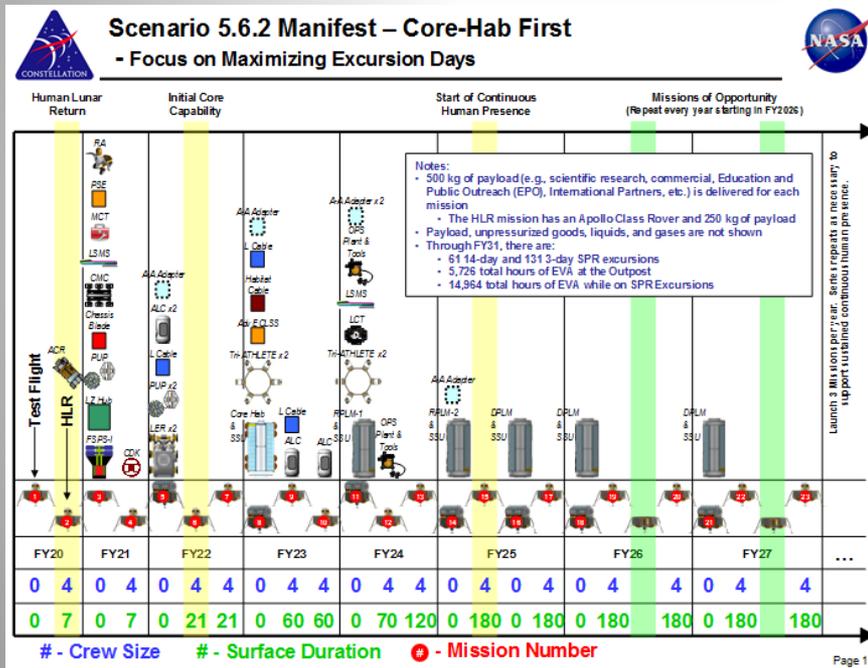
- Habitats
- Launch/Landing Facilities
- Surface Transportation
- Propellant Plants
- Utilities (Power, Comm, TCS)





Constellation Program (2005-2010)

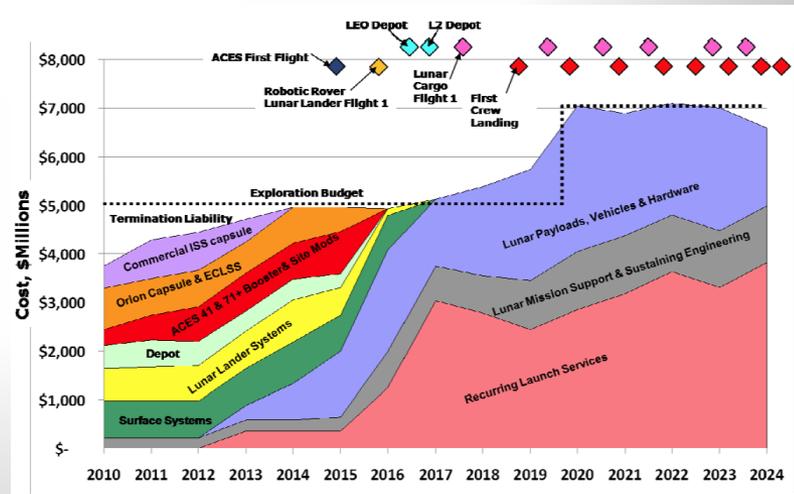
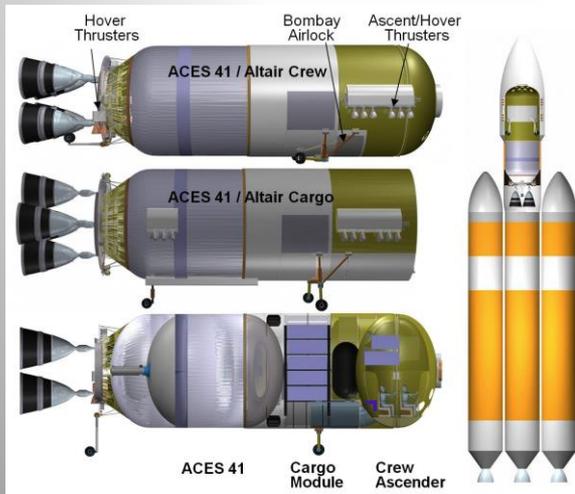
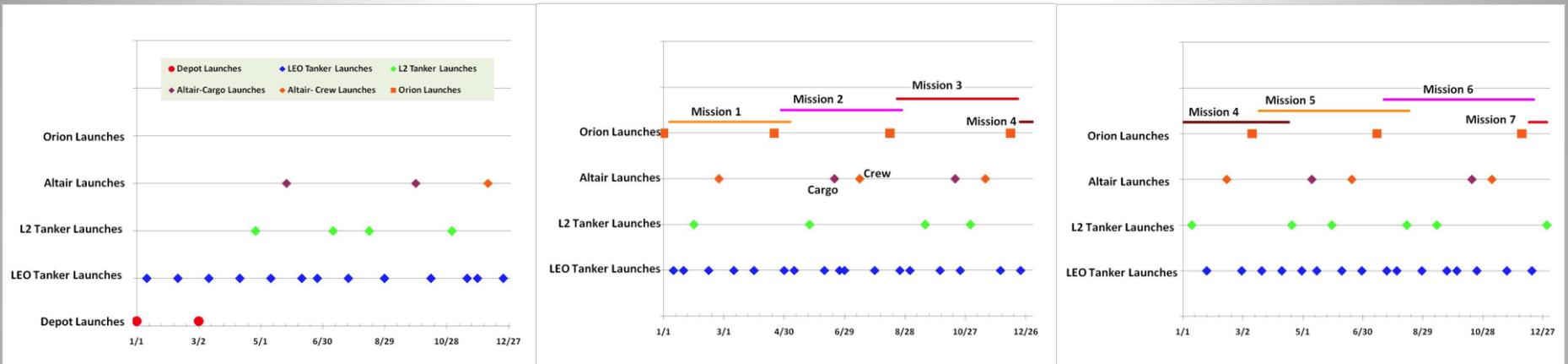
- *Exploration Systems Architecture Study (ESAS)*, NASA-TM-2005-214062, November 2005
- Cirillo, W., et al., "Strategic Analysis Overview," AIAA 2008-7778, September 2008





JLA Human Lunar Return Architecture c. 2009

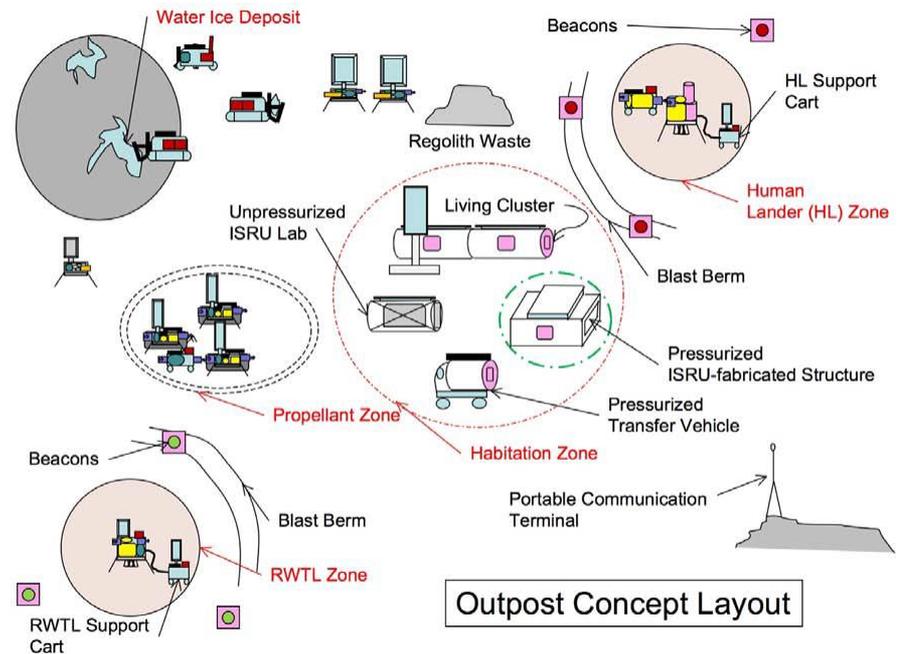
- Zegler, F., et al., "A Commercially Based Lunar Architecture," AIAA-2009-6567, September 2009

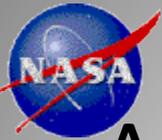




Spudis & Lavoie (S&L) (2010-2016)

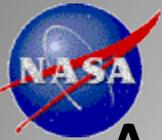
- Spudis, P. D., and T. Lavoie, “Mission and Implementation of an Affordable Lunar Return,” *Space Manufacturing* **14**, 2010
- Spudis, P. D., and T. Lavoie, “Using the Resources of the Moon to Create a Permanent Cislunar Space Faring System,” AIAA 2011-7185, September 2011
- Spudis, P. D., *The Value of the Moon: How to Explore, Live and Prosper in Space Using the Moon’s Resources*, Smithsonian Books, Washington DC, 2016
- Lavoie, T., and P. D. Spudis, “The Purpose of Human Spaceflight and a Lunar Architecture to Explore the Potential of Resource Utilization,” AIAA-2016-5526, September 2016





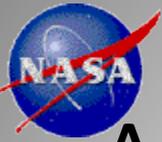
A-Team's Conceptual Lunar Architecture

- Initial focus on a Human Lunar Return (HLR) as soon as practical
 - Living on Another World” experience and experimentation
 - Maintain public interest
 - Being first has value.
- Affordability is a political imperative.
- NASA’s role: manage the magnitude of public investment while fostering a private sector cislunar economy through strategic investments such as:
 - Engaging in science and exploration (e.g., Lewis and Clark)
 - Reducing economic risks and resolving some technical uncertainties to create tipping points and real options for space entrepreneurs
 - Performing R&D/DDT&E and first buys of basic systems/services
 - Building public (lunar) infrastructure (e.g., roads, navigation aids, basic communications, logistics nodes, operational knowledge/de-confliction)
 - Acting as an anchor tenant.



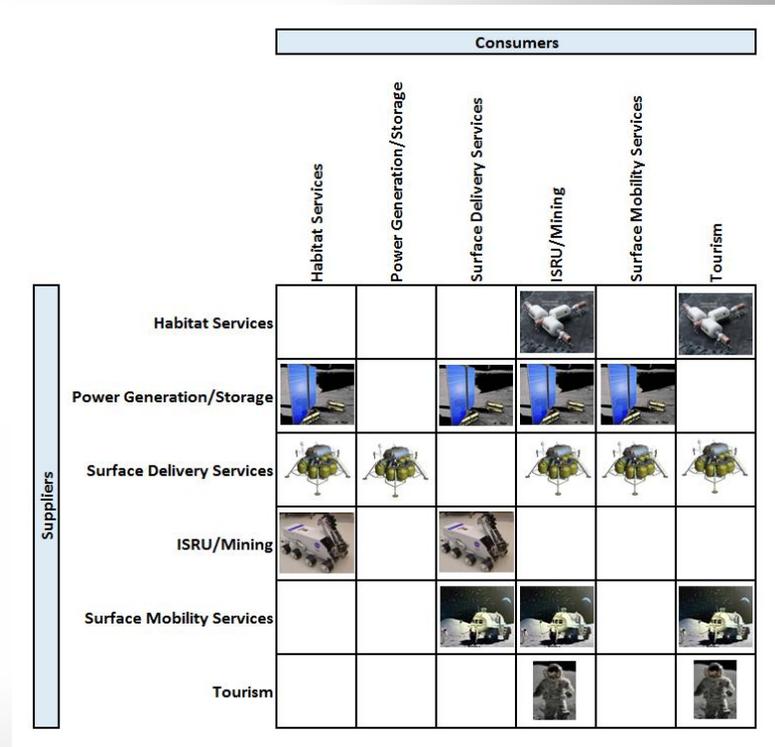
A-Team's Conceptual Lunar Architecture

- “Minimal Moon”
 - Sufficient public investment to signal serious intentions regarding lunar exploration and development to commercial investors, but
 - Careful not to displace private investments.
- Role of SLS/Orion
 - Extensive use for both lunar and Mars portions of the architecture
 - Flights rates ramp up: 1/year in first-half 2020s to 2/year in second half, and then to 2.5/year in 2030s/2040s for Mars missions.
 - SLS Block 2 available in 2028
- Are public goals for human space exploration being met?
 - Are private investors coming on board?
 - Are we ready to go to Mars?
- On- and off-ramps (and periodic decision points)

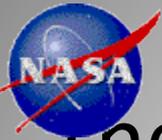


A-Team's Conceptual Lunar Architecture

- Role of the commercial space sector
 - Development of lunar infrastructure systems
 - Supplier of logistics services
- A true cislunar economy develops when commercial entities buy from each other
 - Off-Earth Mining (OEM) and lunar tourism are possibilities.
 - Private sector must determine for itself which cislunar market sectors are worthy of investment.
 - A-Team noted the technical difficulties of OEM.

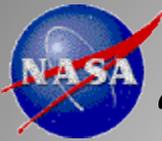


This 6 x 6 Leontief matrix illustrates how a private sector-to-private sector cislunar economy might work.



The Adjoined “Minimal Mars” Architecture

- Response to NRC 2014 Report
 - Minimize the number of new system developments
 - Use of high TRL technologies
- First presentation of architecture (without costing) at AIAA Space 2014 (August 7, 2014)
- Minimal Mars presented at Humans Orbiting Mars (Mar. 31, 2015), H2M Summit (May 5, 2015), and published in *New Space* (June 2015)
- Revised and re-costed Minimal Mars architecture (July 2016) for OIG Report
- Continued refinement (April 2017 –)



“Minimal Mars” Architecture Systems

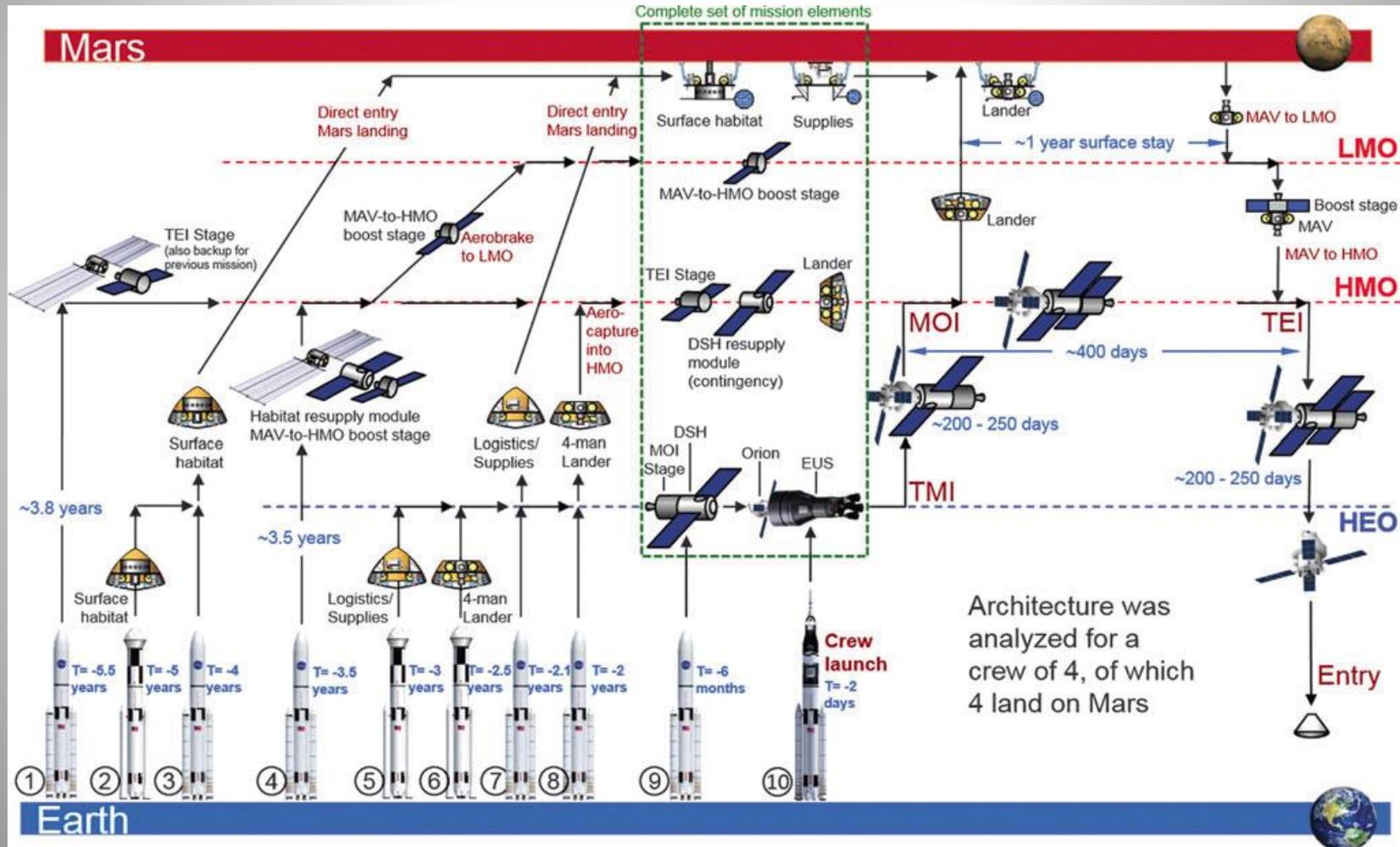
- Hoppy Price, John Baker, and Firouz Naderi. “A Minimal Architecture for Human Journeys to Mars”, *New Space*, Vol. 3, No. 2, June 2015
<https://doi.org/10.1089/space.2015.0018>.

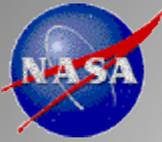
Vehicles	# Vehicles per Mission
Orion 	1
SLS 	6
SEP Tug 	2
Deep Space Habitat 	1
In-Space Chemical Propulsion Stages 	3
Mars Lander 	1



"Minimal Mars" Architecture Bat Chart

Conjunction-Class Mission





The Dance Card (2021-2035)

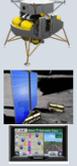
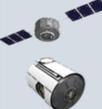
SLS/Orion Segment—Largely Lunar Focused

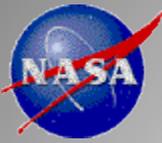
SLS Launches	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035
Flight Type		SLS Blk-1b		SLS Blk-1b		SLS Blk-1b	SLS Blk-1b	SLS Blk-2		SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	
Flight Name		EM-2 (8-21 days)		EM-3 (8-21 days)		EM-4 (8-21 days)	EM-5	EM-6		EM-7	EM-8	EM-9	EM-10	EM-11	
Orion															
Co-manifested NASA															
Co-manifested IP				Comm Orbiter-1 		Comm Orbiter-2 (for redundancy and full LSP coverage) 									
Flight Type					SLS Blk-1b	SLS Blk-1b	SLS Blk-1b	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	
Flight Name					Habitat-1	DAV-1	DAV-2 (HLR)	DAV-3	Habitat-2	DAV-4	DAV-5	DAV-6	DAV-7	DAV-8	
NASA Cargo					 Habitat (>5000 kg) with A-AMA/tunnel; ATHLETE mobility unit	 DAV test (uncrewed)	 Unpressurized small rover (4 astronauts) with human lander	 Unpressurized small rover (4 astronauts) with human lander	 Habitat (>5000 kg) with A-AMA/tunnel; ATHLETE mobility unit			 Unpressurized small rover (4 astronauts) with human lander	 Unpressurized small rover (4 astronauts) with human lander		
Flight Type													SLS Blk-2	SLS Blk-2	
Flight Name													Phobos SEP #1	Phobos SEP #2	
IP Cargo															
NASA Cargo													100 kW SEP	100 kW SEP and Phobos Lander	
Flight Type															
Flight Name															
NASA Cargo															
Planetary Missions			Europa Clipper on SLS Blk-1b 		Possible Europa Lander on SLS Blk-1b					Possible mission on SLS Blk-2					Possible mission on SLS Blk-2
Crew Operations		EM-1 (uncrewed mission) in 2019. EM-2 crew on a free return trajectory delivers		EM-3 crew monitors surface deployments from NILO.		EM-4 crew performs a dress rehearsal for HLR with uncrewed human lunar DAV using stretch version of Orion Service Module (SM); this SM is used on all subsequent lunar missions	EM-5 crew performs 28-60 day surface mission to include outfitting habitat module as needed and assessing previous deployments	EM-6 crew performs 14-28 days surface mission and continues to outfit habitat module with longer-term life support systems; performs repairs as needed		EM-7 crew performs 28-60 day surface mission; connects 2nd habitat and 2nd solar power system; prepares for pressurized crew rover and performs repairs as needed	EM-8 crew performs 28-60 day surface mission; completes integration and checkout of pressurized crew rover and performs repairs as needed	EM-9 crew of government and possibly commercial astronauts perform 60-90 day surface mission	EM-10 crew of government and possibly commercial astronauts perform 60-90 day surface mission	EM-11 crew of government and possibly commercial astronauts perform 60-90 day surface mission; connects 3rd solar power system; deploys longer range communication/navigation aids	
Crew Return Vehicle		EM-2 Orion		EM-3 Orion		EM-4 Orion	EM-5 Orion	EM-6 Orion		EM-7 Orion	EM-8 Orion	EM-9 Orion	EM-10 Orion	EM-11 Orion	



The Dance Card (2021-2035)

Commercial Segment—Largely Lunar and LEO Focused

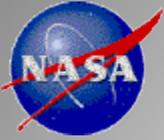
Commercial Launches	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035
Flight Type			Medium ELV	Heavy ELV		Heavy ELV		Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	
Flight Name			RP-1 	Lunar Lander-1 		Lunar Lander-2 		Lunar Lander-3 	Lunar Lander-4 	Lunar Lander-5 	Lunar Lander-6 	Lunar Lander-7 	Lunar Lander-8 	Lunar Lander-9 	
Cargo Manifested			Resource Prospector I mission (tele-operated from Earth)	Stationary solar power system with cables; ATHLETE mobility unit to transport surface components		Pressurized logistics module (PLM) to be linked with habitat; contains supplies and tools for initial habitat setup; Bulldozing and sintering tools for ATHLETE; navigation aids		Pressurized logistics module (PLM) to be linked with habitat; contains supplies, spares, and scientific equipment; Resource Prospector (RP) II	Pressurized logistics module (PLM) to be linked with habitat; contains supplies, spares, and scientific equipment; solar power, cables; navigation aids	Pressurized logistics module (PLM) to be linked with habitat; contains supplies and spare or replacement ATHLETE	Pressurized crew cabin and CMC (4 astronauts); rover contains supplies, spares, and scientific equipment; connects to habitat with A-AMA/ tunnel	Pressurized logistics module (PLM) to be linked with habitat; contains supplies, spares, and scientific equipment; Li-ion batteries or RFCs for rovers	Pressurized logistics module (PLM) to be linked with habitat; contains supplies, spares, and scientific equipment; Li-ion batteries or RFCs for rovers	Pressurized logistics module (PLM) to be linked with habitat; contains supplies, spares, and scientific equipment; replacement solar power, cables; L-R navigation aids	
Flight Type					Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV	Heavy ELV
Flight Name					Possible commercial lunar landing missions	Possible commercial lunar landing missions	Possible commercial lunar landing missions	Possible commercial lunar landing missions	Possible commercial lunar landing missions	Possible commercial lunar landing missions	Mars DSH to LEO LEO PG Systems 	Uncrewed 1/2-scale Mars lander test 	Possible commercial lunar landing missions	Possible commercial lunar landing missions	Possible commercial lunar landing missions
Cargo Manifested										Protoflight DSH unit with 40kW pwr/prop bus to LEO					
Flight Type										Medium ELV	Medium ELV	Heavy ELV	Medium ELV	Medium ELV	Medium ELV
Flight Name															
Cargo Manifested										Logistics re-supply (mod Cygnus) 	Logistics re-supply (mod Cygnus) 	IP Chem Stage Test 	Logistics re-supply (mod Cygnus) 	Logistics re-supply (mod Cygnus) 	Logistics re-supply (mod Cygnus) 
Flight Type										Medium ELV	Medium ELV	Medium ELV	Medium ELV	Medium ELV	Medium ELV
Flight Name										LEO Trng Flt-1 	LEO Trng Flt-2 	LEO Trng Flt-3 	LEO Trng Flt-4 	LEO Trng Flt-5 	LEO Trng Flt-6 
Cargo Manifested															
Comments			Could be landed by a Lunar Lander as part of a multi-payload mission		Habitat delivered with large descent stage that serves as a protoflight DAV	Could possibly do Human Lunar Return (HLR) on EM-4		RP II can be operated from the moon or Earth		3-month mission; Cygnus modified with Orion docking adaptor	6-month mission; Cygnus modified with Orion docking adaptor	1/2-scale test at Mars could be performed by a single SLS Blok-2	9-month mission; Cygnus modified with Orion docking adaptor	9-month mission; Cygnus modified with Orion docking adaptor	9-month mission; Cygnus modified with Orion docking adaptor



The Dance Card (2036-2050)

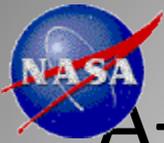
SLS/Orion Segment—Largely Mars Focused

SLS Launches	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050
Flight Type	SLS Blk-2	SLS Blk-2				SLS Blk-2				SLS Blk-2				SLS Blk-2	
Flight Name	EM-12 (Lander test class at moon)	EM-13 (Phobos crew)				EM-14 (Mars-1 crew)				EM-15 (Mars-2 crew)				EM-16 (Mars-3 crew)	
Orion															
Co-manifested NASA															
Co-manifested IP															
Flight Type			SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2
Flight Name			Mars SEP #2	Mars Lander #1	Mars Cargo #1	EUS #2	Mars SEP #4	Mars Hab #1	Mars Cargo #2	EUS #5	Mars SEP #6	Mars Hab #2	Mars Cargo #3	EUS #8	Mars SEP #8
NASA Cargo															
			DSH-based logistics module and 100 kW SEP	Mars DAV	Mars Surface Cargo Lander	EUS for Mars Surface Cargo Lander TMI	DSH-based logistics module and 100 kW SEP	Mars Surface Habitat	Mars Surface Cargo Lander	EUS for Mars Surface Cargo Lander TMI	DSH-based logistics module and 100 kW SEP	Mars Surface Habitat	Mars Surface Cargo Lander	EUS for Mars Surface Cargo Lander TMI	DSH-based logistics module and 100 kW SEP
Flight Type	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2	SLS Blk-2
Flight Name	Mars lander test at moon	Phobos DSH	Mars SEP #1	EUS #1	Mars SEP #3	Mars DSH #1	Mars Lander #2	EUS #3	Mars SEP #5	Mars DSH #2	Mars Lander #3	EUS #6	Mars SEP #7	Mars DSH #3	Mars Lander #4
IP Cargo															
NASA Cargo															
Flight Type								SLS Blk-2				SLS Blk-2			
Flight Name								EUS #4				EUS #7			
NASA Cargo															
Planetary Missions															
Crew Operations	EM-12 crew conducts a full-scale test of the Mars DAV at the moon	EM-13 crew rendezvous and docks with the Phobos DSH; TMI is performed by the crew launch EUS; MOI is performed by the IP-provided chemical stage				EM-14 crew rendezvous and docks with the Mars DSH; TMI is performed by the crew-launch EUS; MOI is performed by the IP-provided chemical stage; Mars-1 is an opposition class (surface stay ~24 days) mission					EM-15 crew rendezvous and docks with the Mars DSH; TMI is performed by the crew-launch EUS; MOI is performed by the IP-provided chemical stage; Mars-2 is an conjunction class (surface stay >1 year) mission			EM-16 crew rendezvous and docks with the Mars DSH; TMI is performed by the crew-launch EUS; MOI is performed by the IP-provided chemical stage; Mars-3 is an conjunction class (surface stay >1 year) mission	
Crew Return Vehicle															EM-13 Orion



Costing the A-Team Architecture

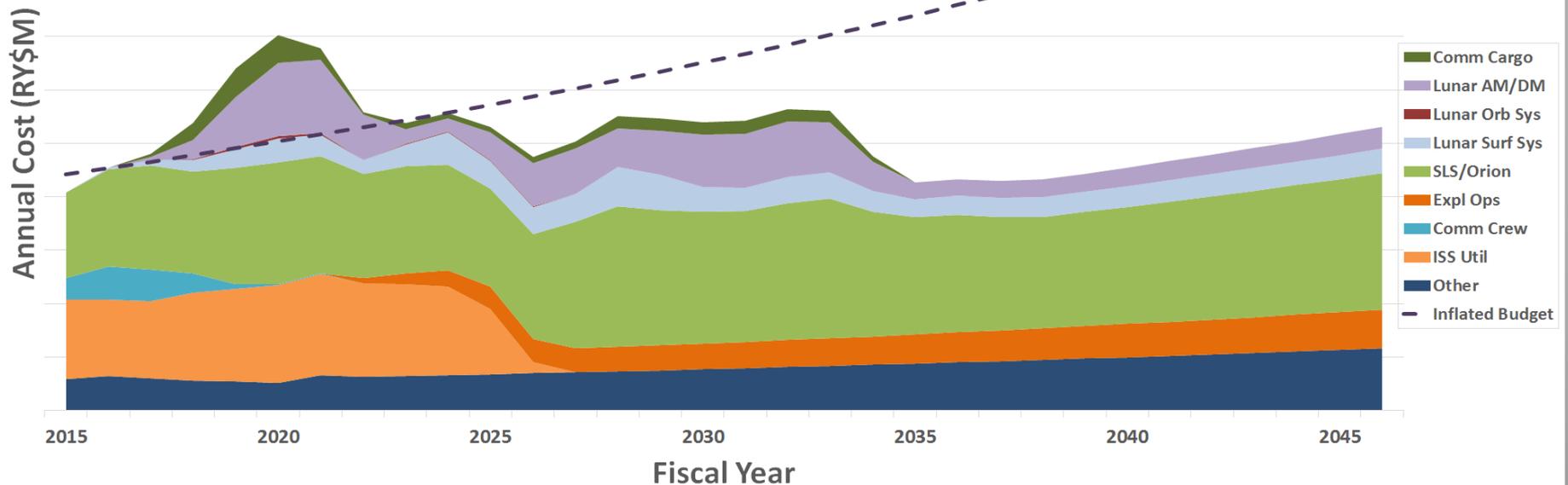
- Applied the Aerospace Corporation methodology.
 - Generally retained Aerospace cost data for Mars portion
 - Cost data for lunar surface systems from Constellation Program estimates
 - SEP costs from ARM
 - Updated actual costs for on-going programs
 - For the contemporary Lunar Module, converged three sources of data
- Made significant improvements in the Excel © software that generates the sand chart.
- International contributions for lunar and Mars portions
 - Upgraded Orion Service Module (SM)
 - Lunar communications infrastructure
 - Chem stages (MOI, TEI, MAV-to-HMO Boost)



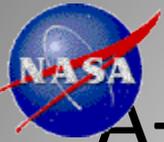
A-Team Minimal Moon with HLR in 2027

HEOMD Annual Costs in RY\$M

The cost information contained in this document is of a budgetary and planning nature and is intended for informational purposes only. It does not constitute a commitment on the part of JPL and/or Caltech.



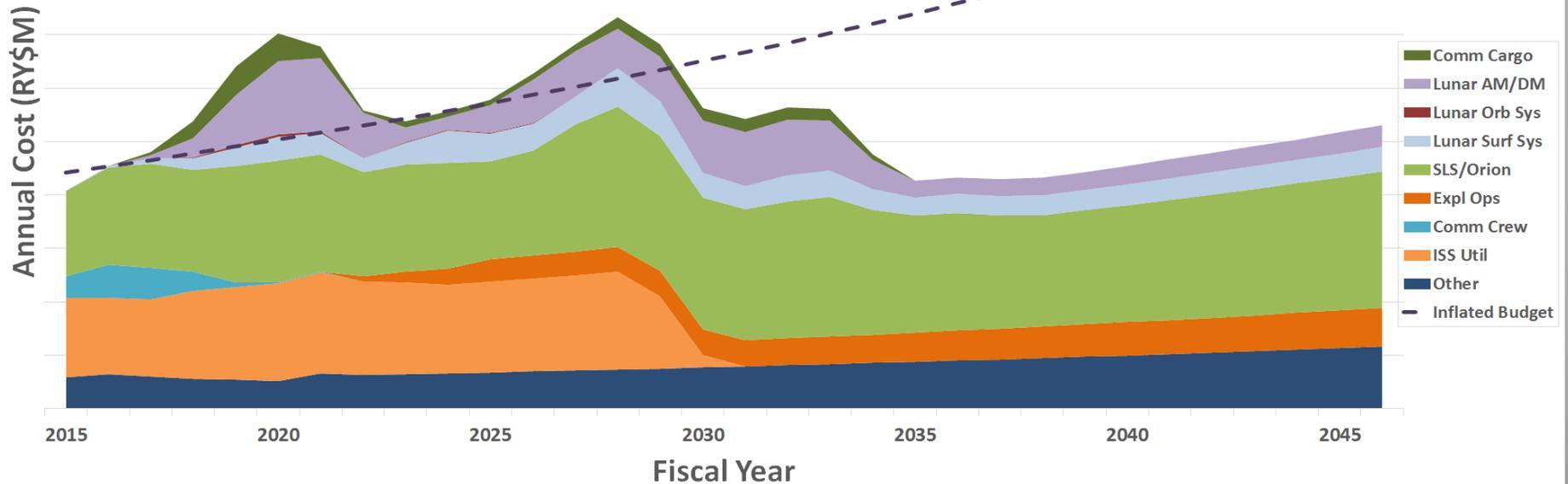
Scenario Name	First Mars System Mission	First Mars Landing	ISS EOPM
Min Moon with Human Lunar Return in 2027	N/A	N/A	2024



A-Team Minimal Moon with HLR in 2027

HEOMD Annual Costs in RY\$M

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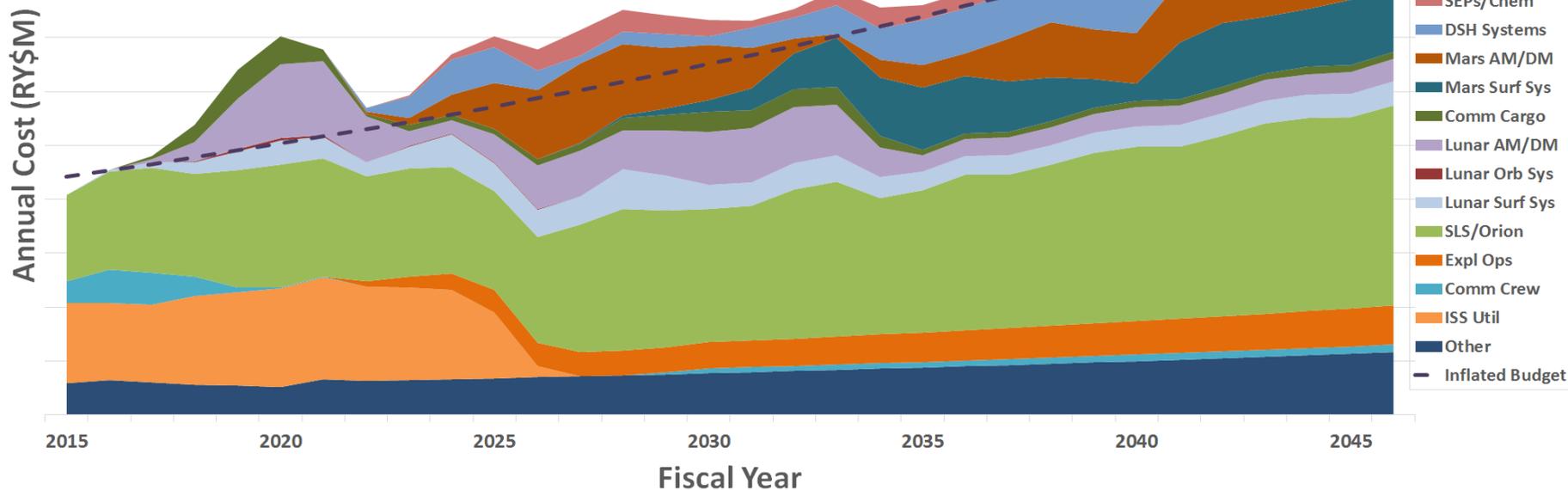
Scenario Name	First Mars System Mission	First Mars Landing	ISS EOPM
Min Moon with Human Lunar Return in 2027	N/A	N/A	2028



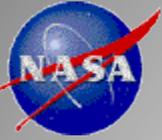
Team Study Minimal Moon with HLR in 2027+ Minimal Mars with First Mars Mission in 2037

HEOMD Annual Costs in RY\$M

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Scenario Name	First Mars System Mission	First Mars Landing	ISS EOPM
Min Moon with Human Lunar Return in 2027	2037	2041	2024



Summary

- The A-Team conceptual lunar architecture reflects a strategy of:
 - Returning humans to the moon first, gaining knowledge and experience there
 - Fostering a true cislunar economy
 - Sending humans to Mars, when that becomes public policy.
- The magnitude and timing of NASA funding are important considerations, so we performed a concurrent affordability assessment.
- Lunar portion of the architecture is designed to accomplish four goals:
 - Performing basic science and exploration
 - Returning humans safely to (and from) the lunar surface
 - Reducing commercial risk and lowering the barriers to entry for commercial ventures by investing in some basic infrastructure, and
 - Enlisting international partners in extending that infrastructure.
- Commercial opportunities include, but are not limited to:
 - P-P-P in developing a heavy lunar cargo lander, lunar surface systems
 - OEM/ISRU opportunities, should the location, quantity, and extractability of lunar resources prove technically feasible and commercially favorable
 - Lunar logistics services for NASA as anchor tenant and for other commercial ventures
 - Resupplying and ferrying astronauts to the LEO Gateway used for Mars training and DSH system testing
- Final thought

BACKUP



Possible Figures-of-Merit / Care-Abouts

- Time from program start to human lunar return (i.e., length of assembly sequence)
- Number of international partners/participation opportunities
- Commercial value of ISRU activities
- Launch mass margins
- Surface power margins
- Annual logistics (consumables and spares) margins following human lunar return
- Total crew-days following human lunar return
- Crew-days devoted to science activities/exploration (IVA and EVA separately)
- Radius of explorations (robotic and human)
- Human population size at HLR + 10 years, HLR + 20 years, HLR + 50 years



Additional References

- Richard W. Orloff, *Apollo by the Numbers: A Statistical Reference*, NASA SP-2000-4029, NASA History Division, Washington, DC, 2000.
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- Robert Shishko. “Human Spaceflight Architecture Model Data Dictionary, 1.0”, [on-line document]. <http://hdl.handle.net/2014/45707>.
- Robert Shishko, Hoppy Price, Brian Wilcox, et al., “An Affordable Lunar Architecture Emphasizing Commercial and International Partnering Opportunities,” IEEE Aerospace Conference, Big Sky, MT, March 2018.