

# Improved Atmospheric Correction for Imaging Spectroscopy Using Optimal Estimation

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**AGU FALL MEETING  
DECEMBER 11 2017**

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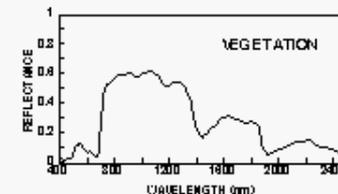
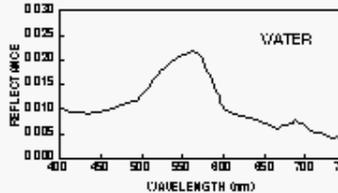
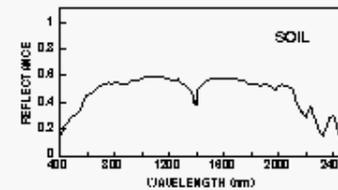
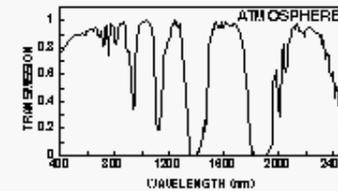
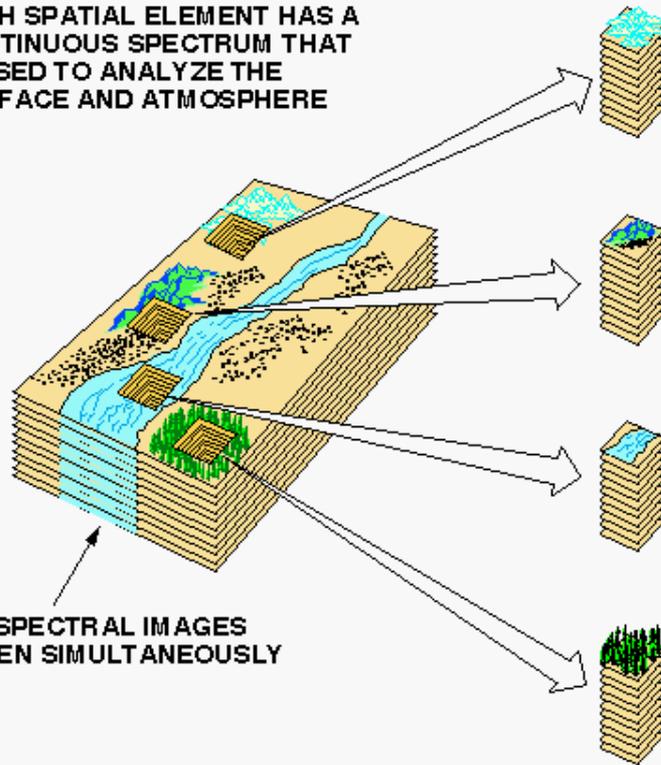
# Imaging Spectroscopy: Concept

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## AVIRIS CONCEPT

EACH SPATIAL ELEMENT HAS A CONTINUOUS SPECTRUM THAT IS USED TO ANALYZE THE SURFACE AND ATMOSPHERE

224 SPECTRAL IMAGES TAKEN SIMULTANEOUSLY





# Applications

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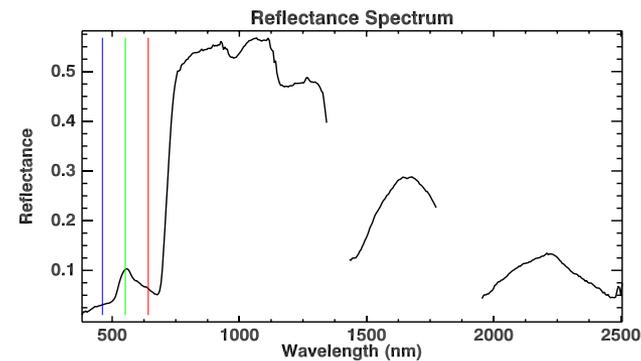
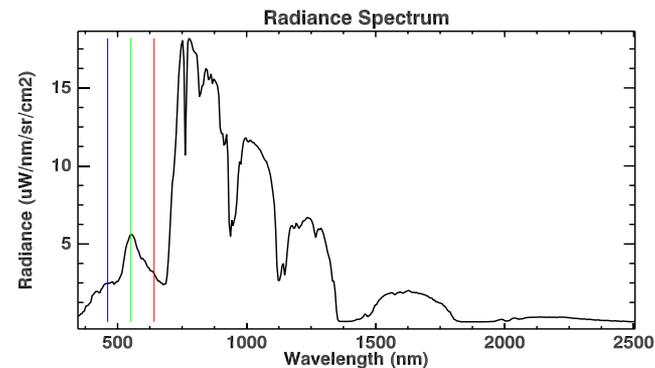
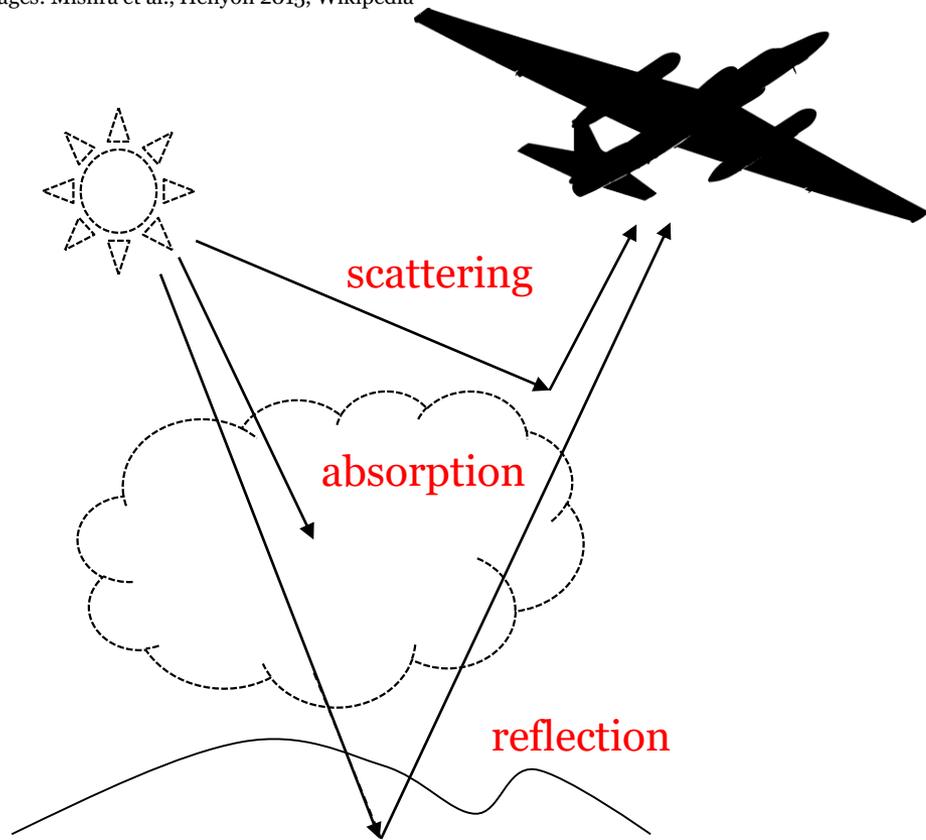
- **Terrestrial domains**
  - Ustin et al., 2004; Jetz et al., 2016; Asner et al., 2017
- **Aquatic environments**
  - Hochberg, 2011; Fichot et al., 2015
- **Estimation of surface reflectance requires removal of atmospheric effects**
- **Atmospheric constituents typically estimated from radiance spectra**
  - ACORN (Kruse, 2004), ATCOR (Richter & Schlapfer, 2002), FLAASH (Perkins et al., 2012), ATREM (Gao et al., 1993)
  - Atmospheric correction mature and performs well for many conditions (e.g. clear skies with near-nadir viewing)



# Atmospheric Correction

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Images: Mishra et al., Heliyon 2015, Wikipedia

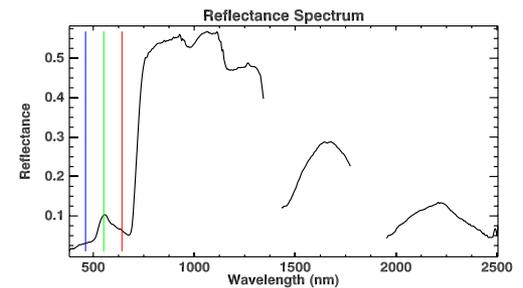
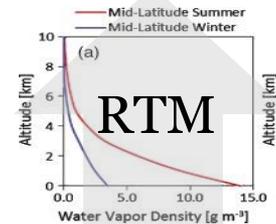
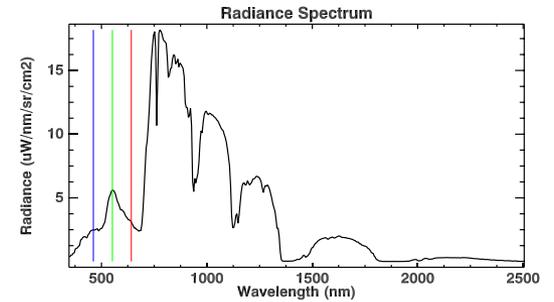
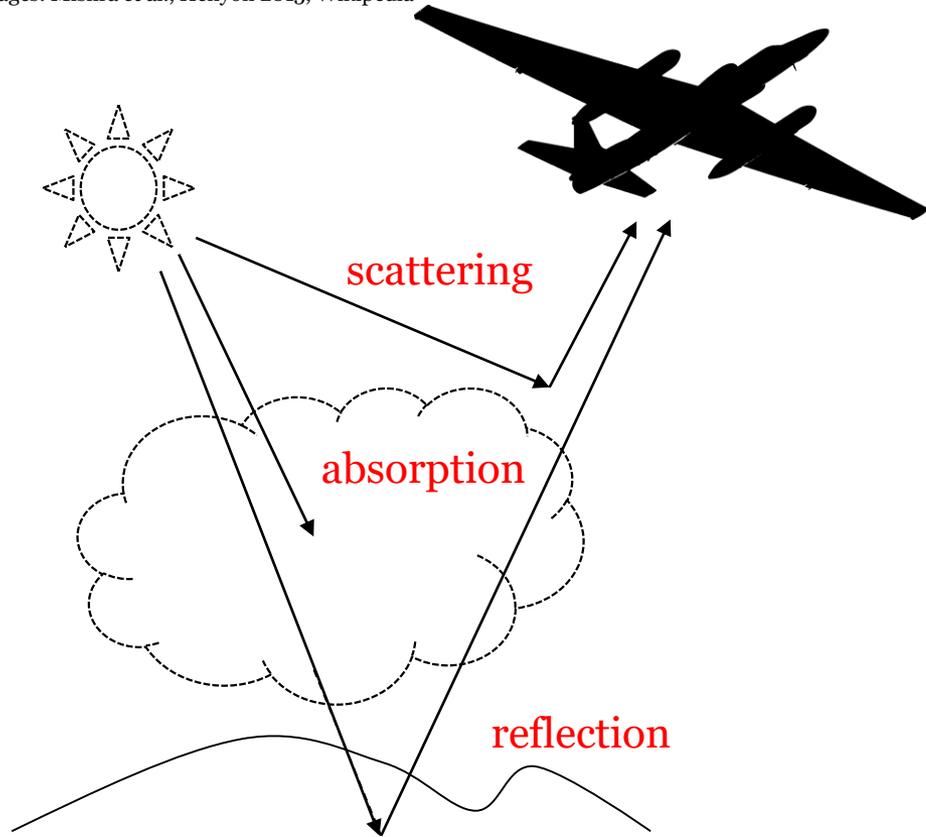




# Atmospheric Correction

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Images: Mishra et al., Heliyon 2015, Wikipedia

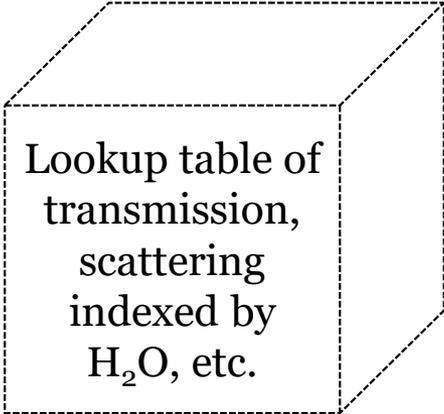




# Sequential Estimation

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**1. In advance, do  
RTM calculations**



Lookup table of  
transmission,  
scattering  
indexed by  
 $H_2O$ , etc.



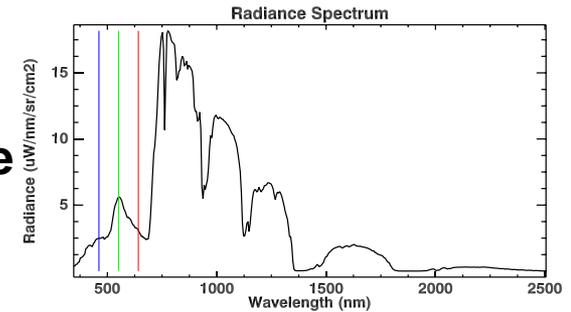
# Sequential Estimation

7

**1. In advance, do  
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Lookup table of  
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**2. Estimate  
atmospheric state**





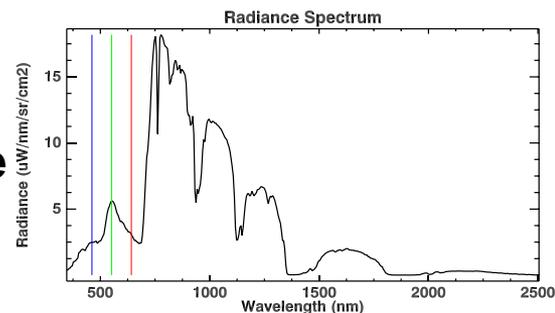
# Sequential Estimation

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**1. In advance, do  
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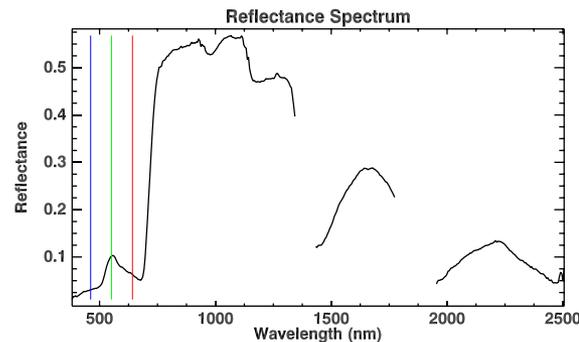
Lookup table of  
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**2. Estimate  
atmospheric state**



$$\rho_{obs}^* = \rho_a + \frac{T \rho_s}{1 - S \rho_s}$$

**3. Algebraic  
inversion**

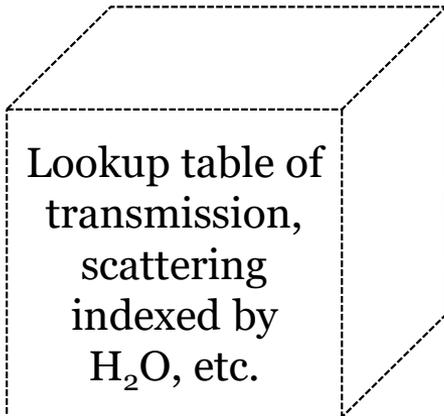




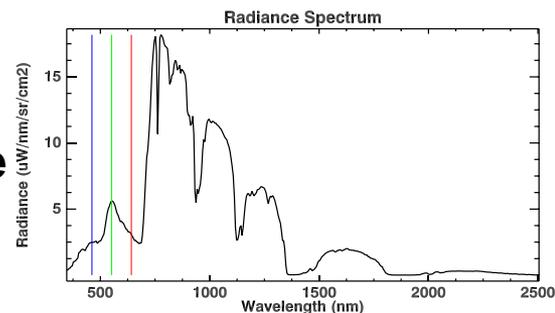
# Sequential Estimation

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1. In advance, do  
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2. Estimate  
atmospheric state

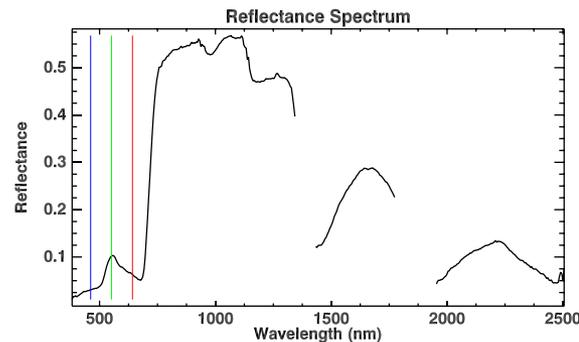


measurement

reflectance

$$\rho_{obs}^* = \rho_a + \frac{T \rho_s}{1 - S \rho_s}$$

3. Algebraic  
inversion

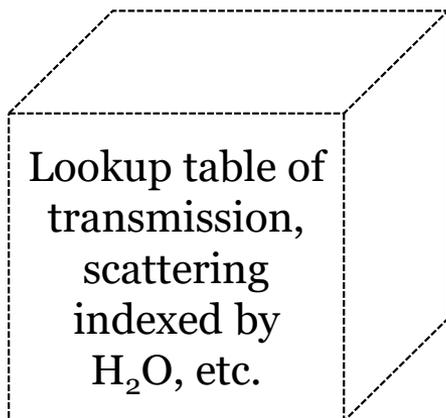




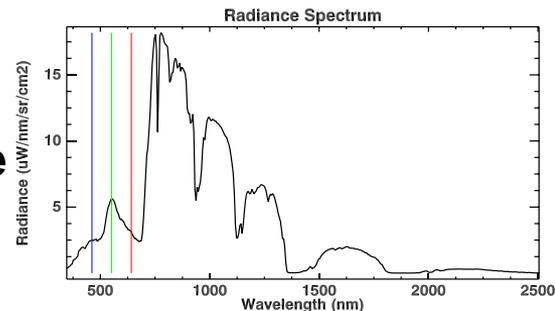
# Sequential Estimation

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1. In advance, do  
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2. Estimate  
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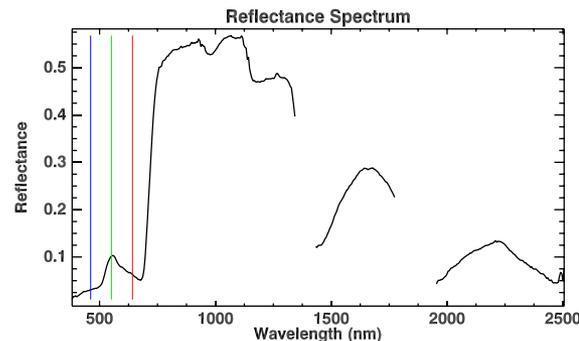
measurement

reflectance

$$\rho_{obs}^* = \rho_a + \frac{T \rho_s}{1 - S \rho_s}$$

3. Algebraic  
inversion

Challenging to disentangle  
atmosphere and surface effects





# Problems with Current Approach

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- Limits atmospheric information that can be recovered
- Less accurate for certain observing conditions
  - High water vapor, extreme viewing angles, high aerosol loading, non-Lambertian surfaces
- Orbital missions will not have flexibility to wait for optimal weather conditions
  - Tropical and subtropical environments often show extreme conditions that challenge existing approaches
- No uncertainty quantification



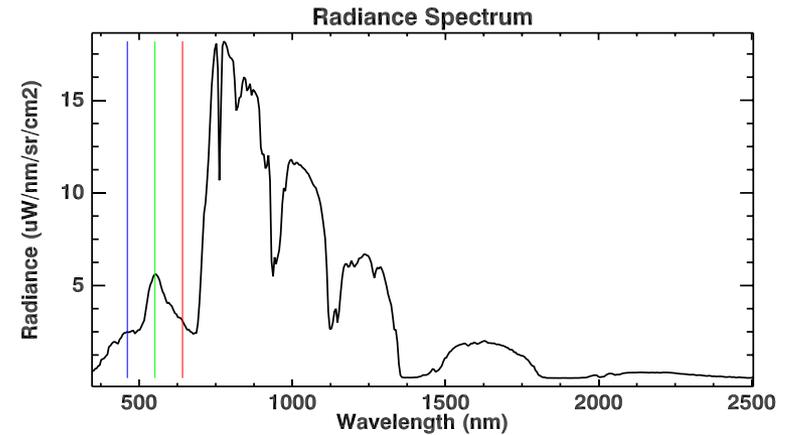
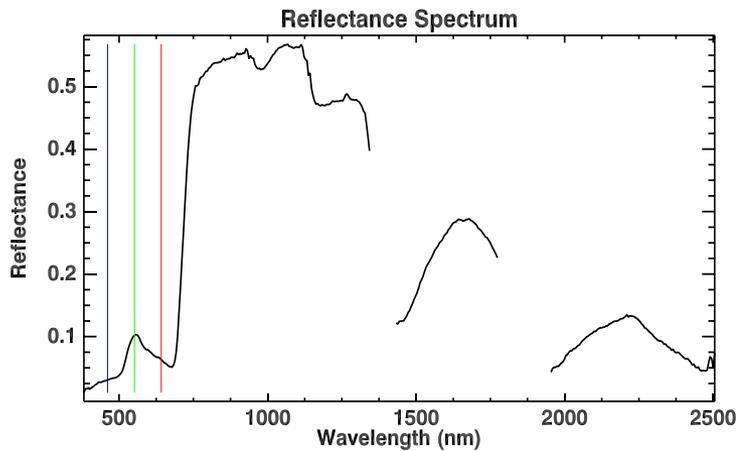
# Simultaneous Estimation

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## 1. Predict radiance

$$y = F(\mathbf{x}) + \epsilon$$

RTM





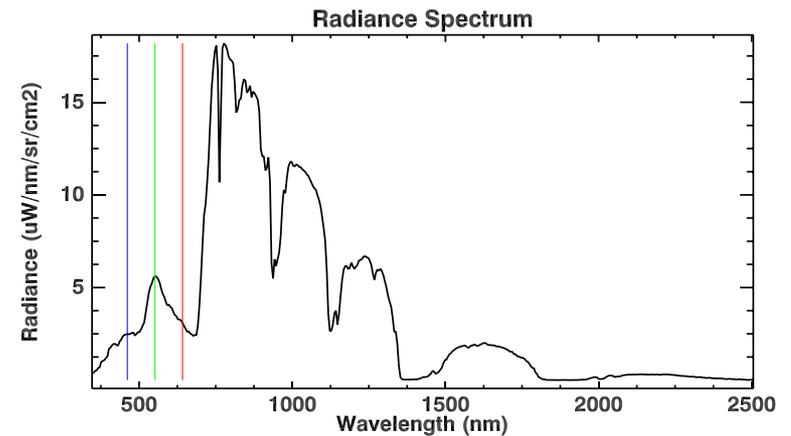
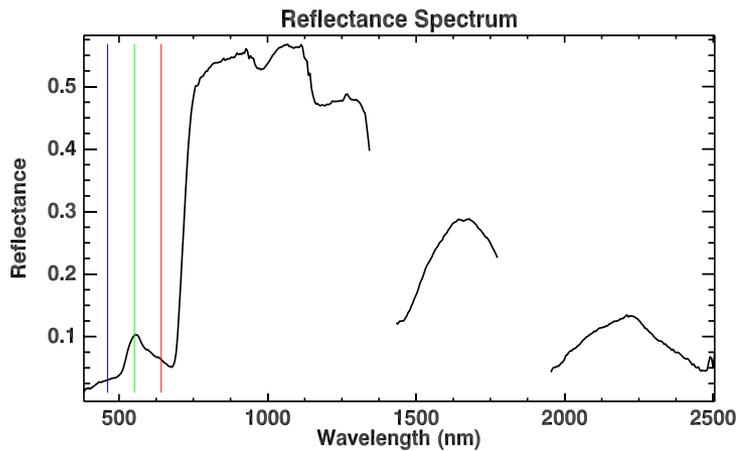
# Simultaneous Estimation

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## 1. Predict radiance

$$y = F(\mathbf{x}) + \epsilon$$

RTM



## 2. Optimize state vector

Minimize “cost function” by optimizing model-measurement mismatch and using Bayesian prior where there is no information from measurements



# Advantages of Joint Estimation

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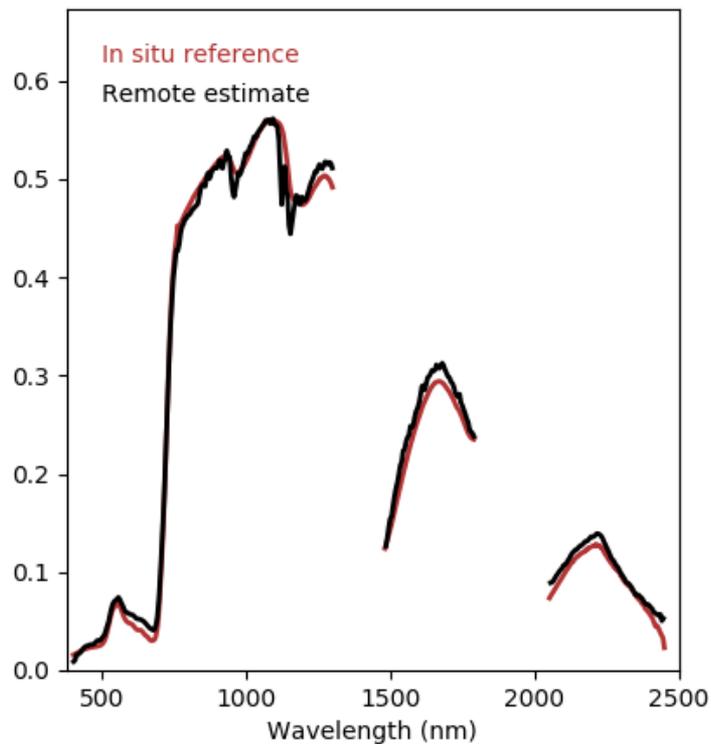
- Permits atmosphere/surface coupling, relaxes Lambertian assumption
- Uses information across the VSWIR spectral range to characterize aerosols, water vapor and surface, improving accuracy of reflectance retrievals
- Rigorous probabilistic formulation incorporates ancillary measurements via the prior distribution
- Degree of Freedom (DOF) analysis permits evaluation of VSWIR atmospheric information content
- Posterior uncertainty estimates for use in downstream analyses



# Retrieval Comparison

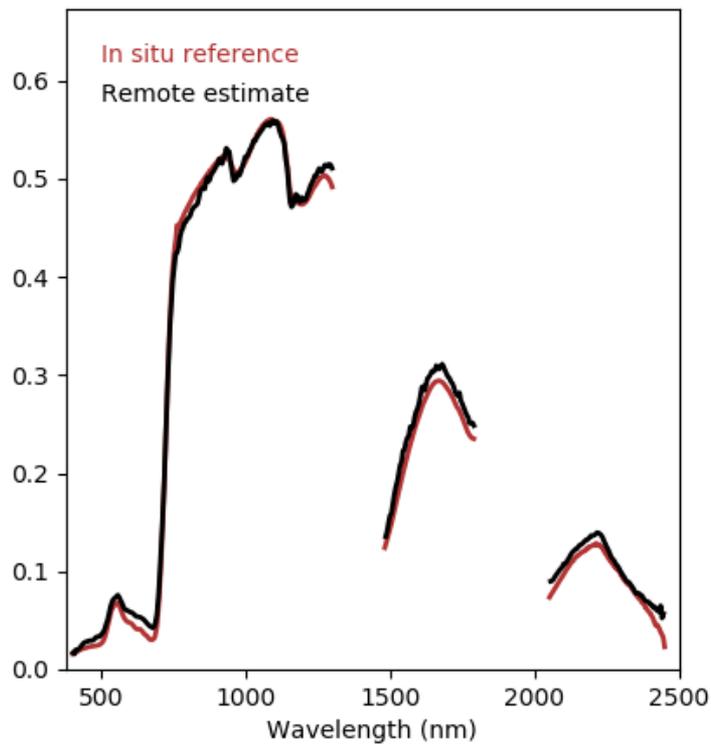
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Reflectance



Sequential

Reflectance



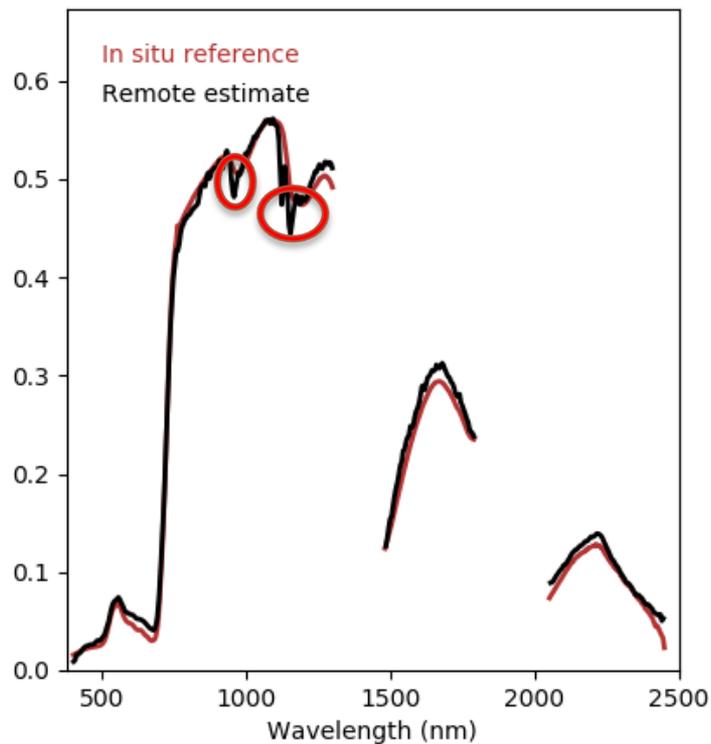
Simultaneous



# Retrieval Comparison

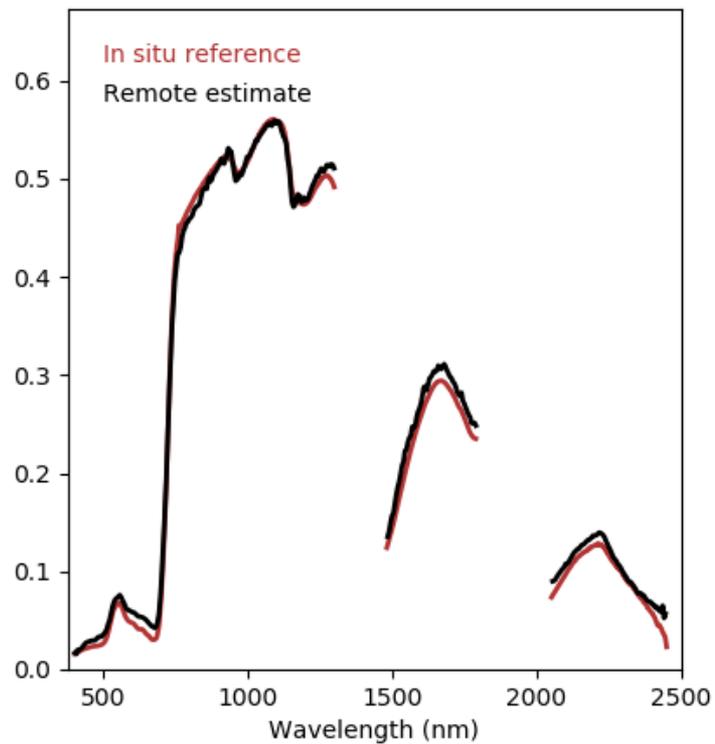
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Reflectance



**Sequential**

Reflectance

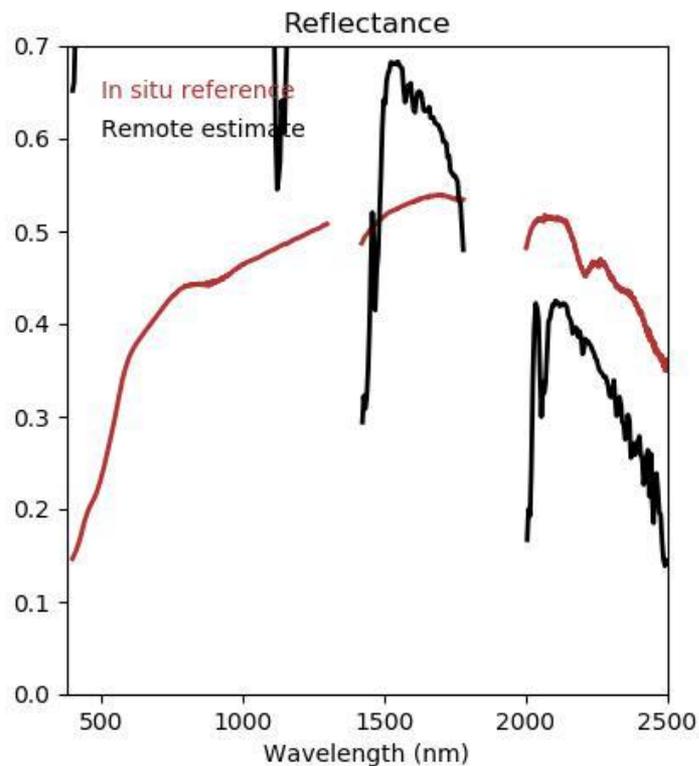
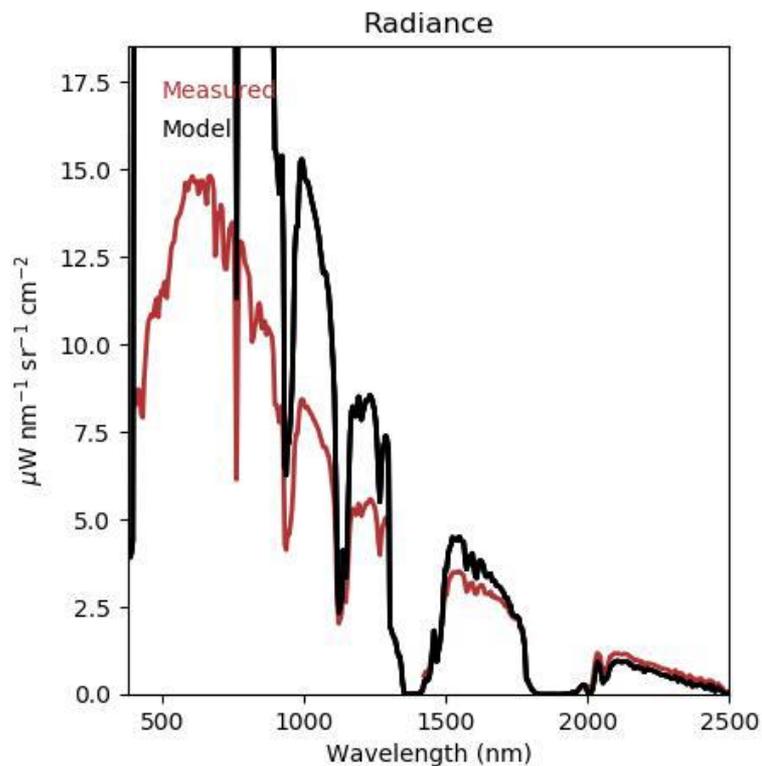


**Simultaneous**



# Iterative Retrieval

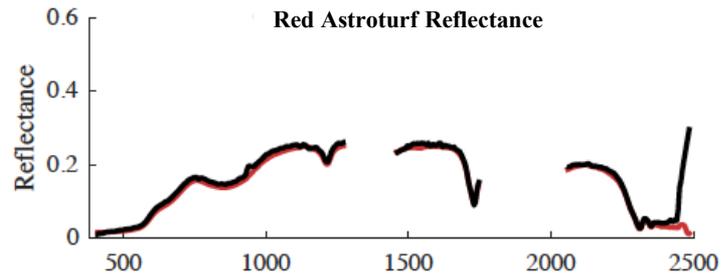
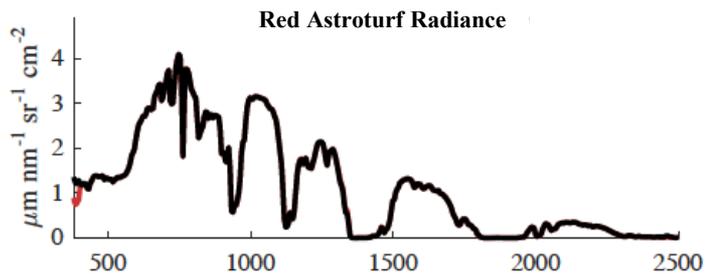
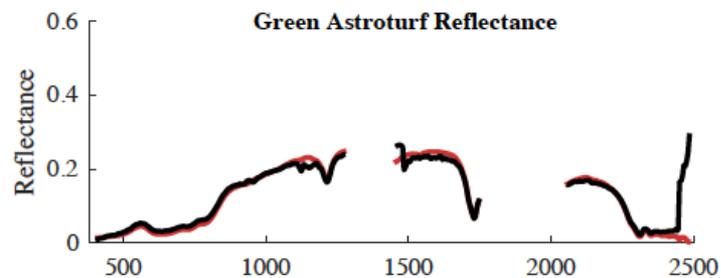
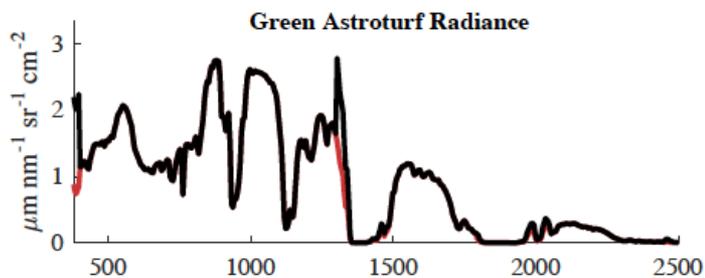
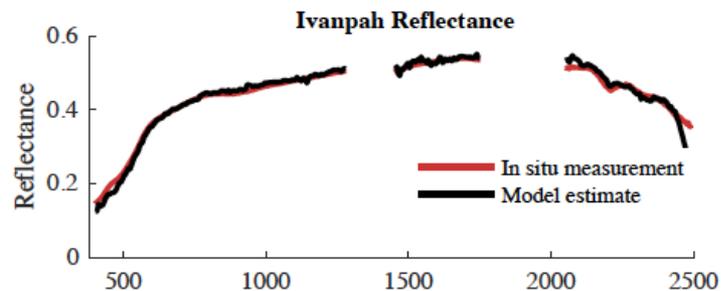
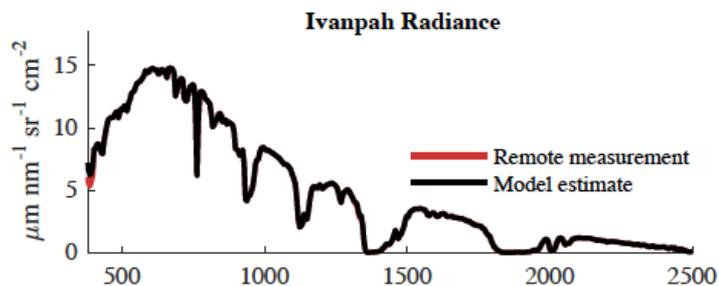
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# Retrieval Results

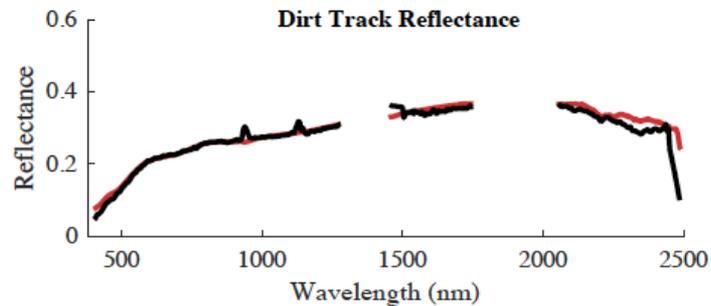
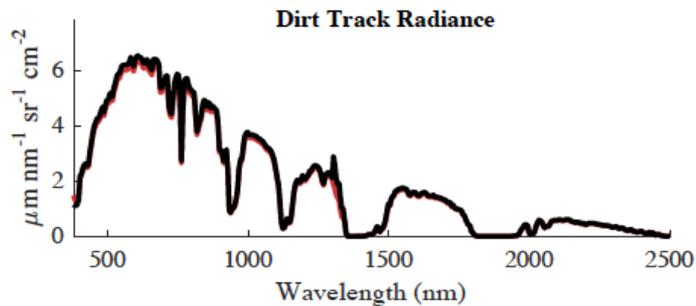
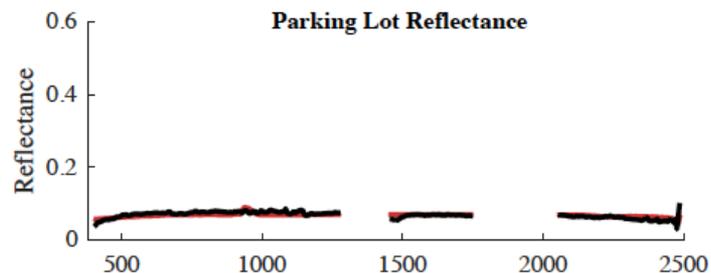
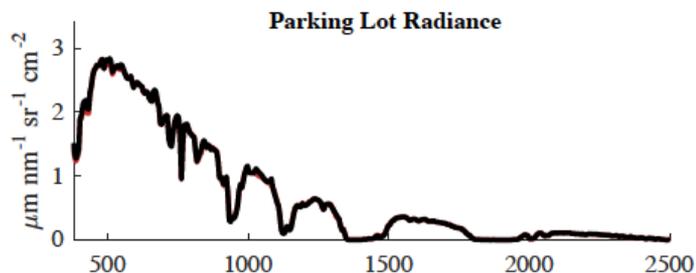
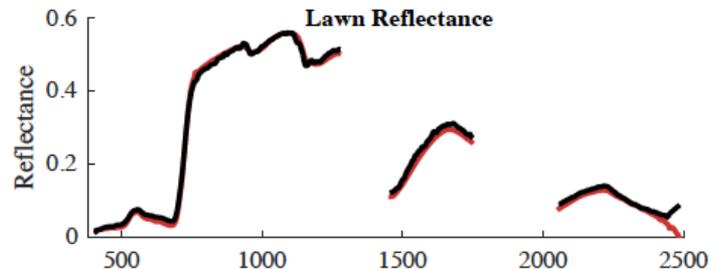
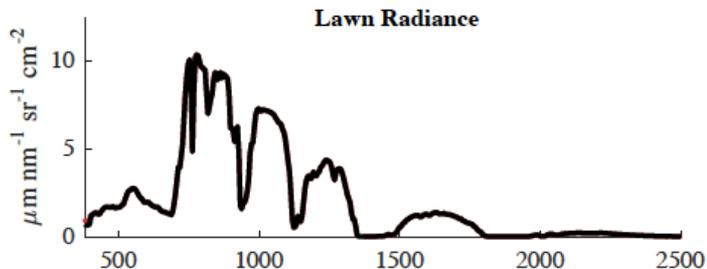
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# Retrieval Results

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# Fast “Full Physics” RT

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- Two-stream exact-single-scattering (2S-ESS) model (Spurr and Natraj, 2011)
  - 2S computes multiple scattering field using two-stream approximation
  - ESS computes single scattering field accurately, including atmospheric sphericity effects
- Incorporates state-of-the-art representations
  - Delta-M scaling
  - Nakajima-Tanaka (N-T) correction
  - Surface BRDF
  - Analytic Jacobians
- For calculations in a 20-layer atmosphere with 100 spectral points, 2S-ESS is ~800 times faster compared to DISORT with eight discrete ordinates in the half-space
- Accurate to within 0.1% of an “exact” RT model, but with computational speed comparable to two-stream models



## 2S-ESS Model Benefits

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- Can be used for scenarios with heavy aerosol loading
- Systematic errors due to cirrus can be accounted for
- Opens up avenues for simultaneous retrievals of surface, aerosols, water vapor and trace gases (e.g.  $\text{NO}_2$ ,  $\text{CH}_4$ ,  $\text{CO}_2$ )



# Emulation of RTM Output

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- Nonparametric regression model
- More accurate alternative to lookup tables
- Permits very high dimensional state vectors
- Neural network models should enable many-frames-per-second retrievals
- Five orders of magnitude speed improvement over MODTRAN-based model with negligible accuracy penalty



# Future Work

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- Improving aerosol retrievals by using better priors (Kindel and Massie)
- Improving surface retrievals by using BRDFs
- Testing model using extensive AVIRIS-NG India dataset
- Validation using in situ measurements



# Acknowledgements

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- AVIRIS-NG Team
- JPL Research and Technology Development Program
- NASA ROSES 2016: Utilization of Airborne Visible/Infrared Imaging Spectrometer – Next Generation Data from an Airborne Campaign in India NNH16ZDA001N-AVRSNG
- Indian Space Research Organisation (ISRO)
- NASA/ISRO AVIRIS-NG Campaign Team