




# THz Applications

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*For the*  
 Submillimeter-Wave Advanced Technology (SWAT) Team  
 Jet Propulsion Laboratory  
 California Institute of Technology

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The 41st European Microwave Conference



The 6th European Microwave Integrated Circuits Conference




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*The research described in this publication was carried out at the Jet Propulsion Laboratory, California Institute of Technology, under a contract with the National Aeronautics and Space Administration*

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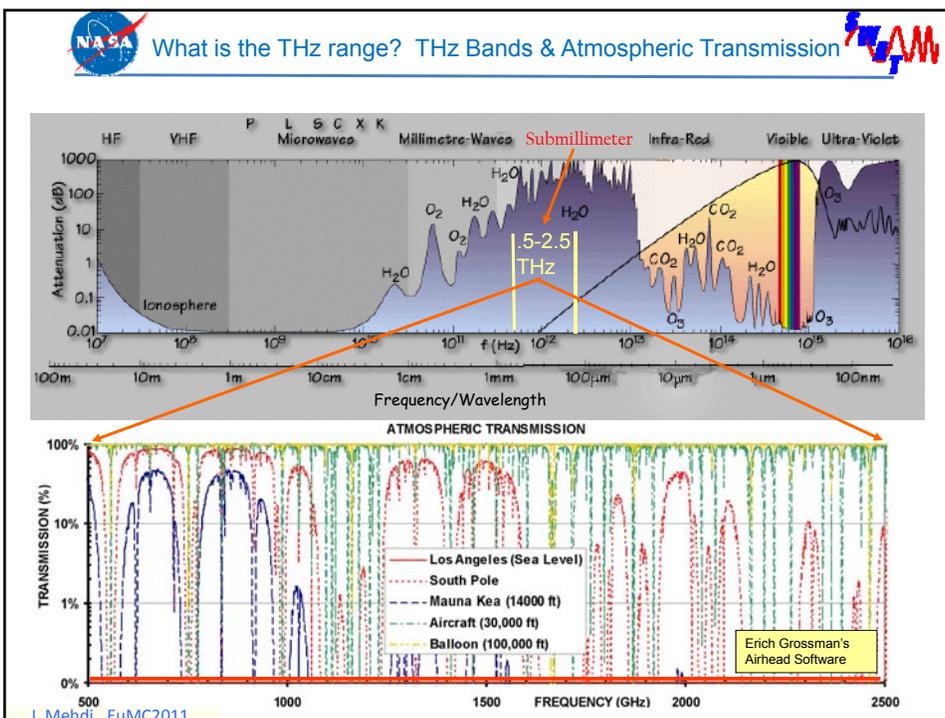


## Outline



- Introduction
- What is the THz range, why is it important?
- THz Applications
- THz Technology and status
- Challenges

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# Introduction



- Why has there been resurgent interest in this frequency range?
  - Despite great scientific interest since at least the 1920's, the THz frequency range remains one of the least tapped regions of the electromagnetic spectrum mostly due to lack of robust technology.
- What are some of the THz applications?
  - For over 25 years the sole niche for THz technology has been in the high resolution spectroscopy and remote sensing areas where heterodyne and Fourier transform techniques have allowed astronomers, chemists, Earth, planetary and space scientists to measure, catalog and map thermal emission lines for a wide variety of lightweight molecules.
- Why should we invest in this technology?
  - As it turns out, no where else in the electromagnetic spectrum do we receive so much information about these chemical species. In fact, the universe is bathed in THz energy, most of it going unnoticed and undetected.

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# THz Applications

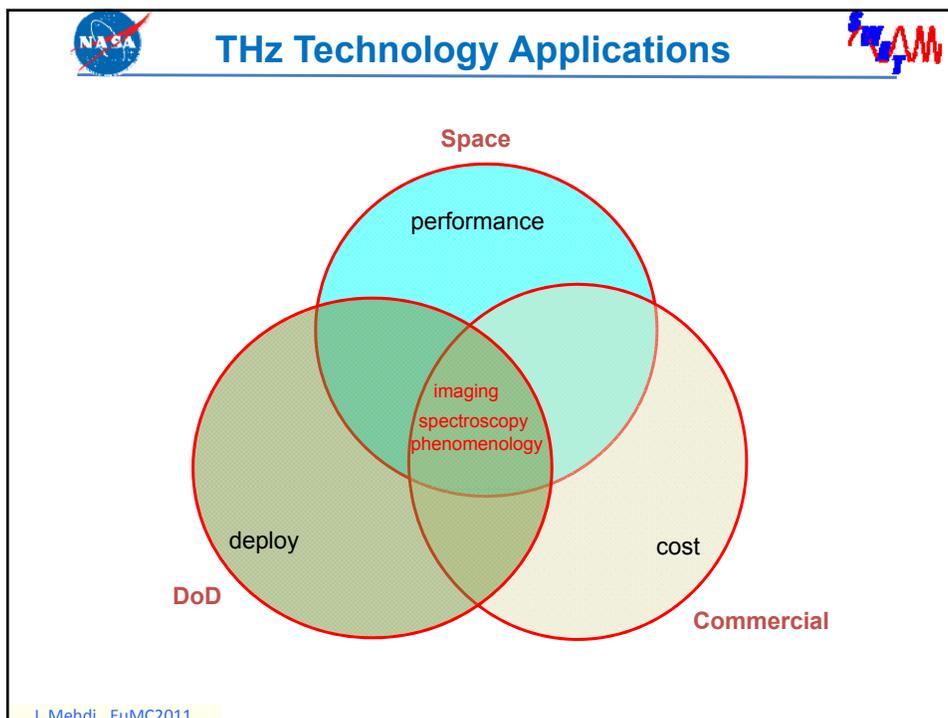
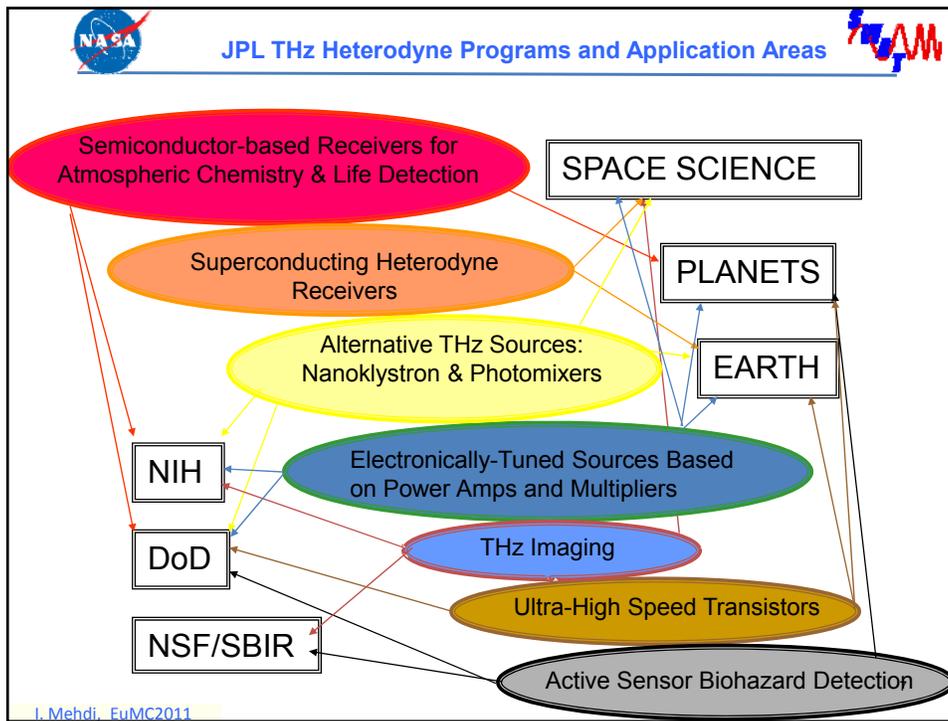


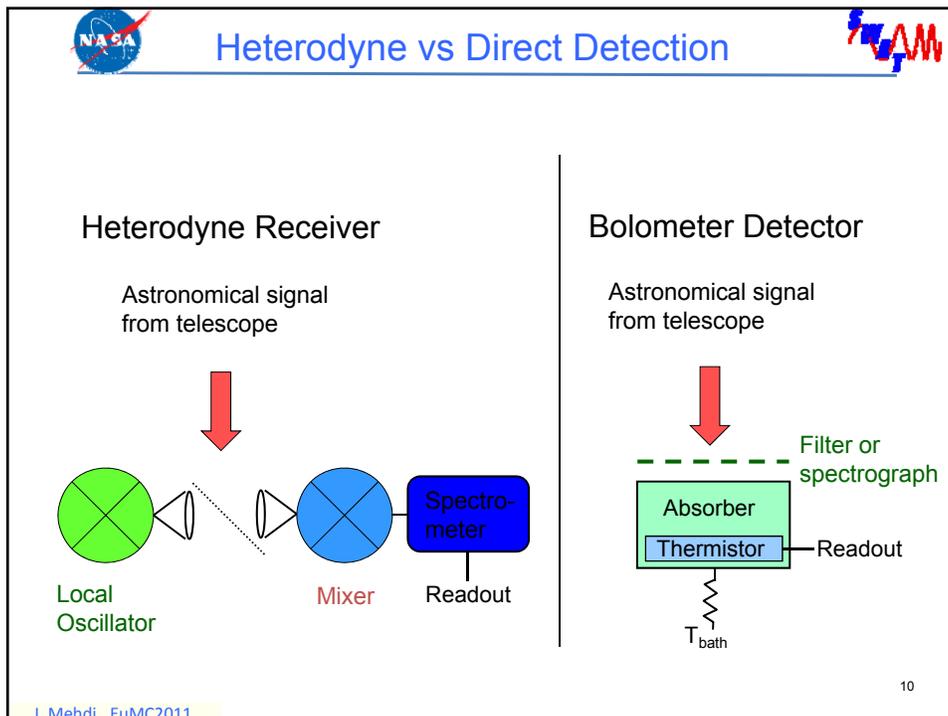
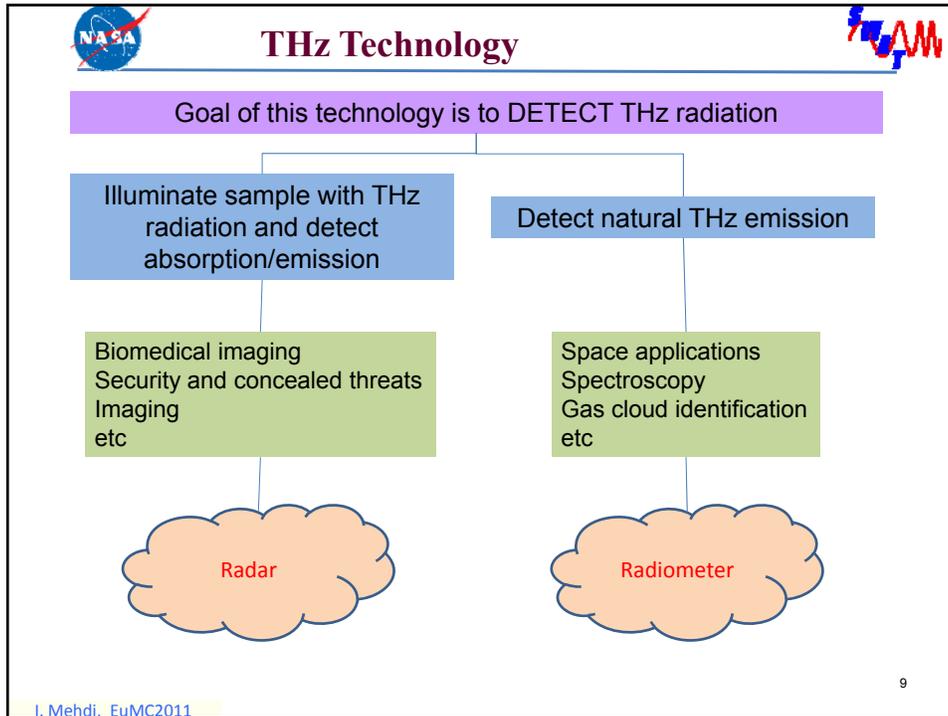
It helps to distinguish between the customers...

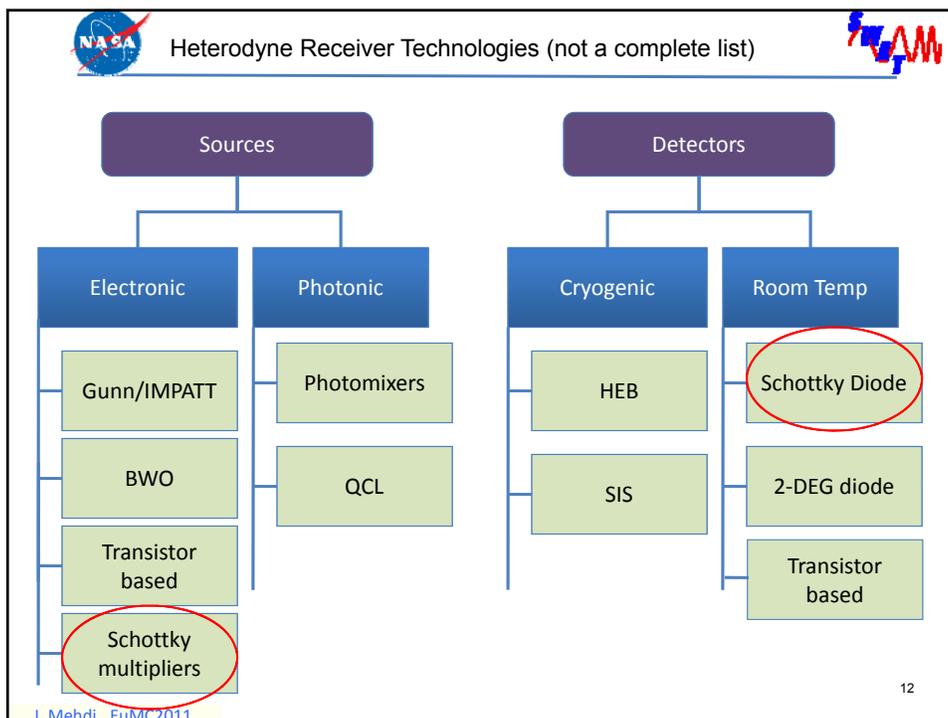
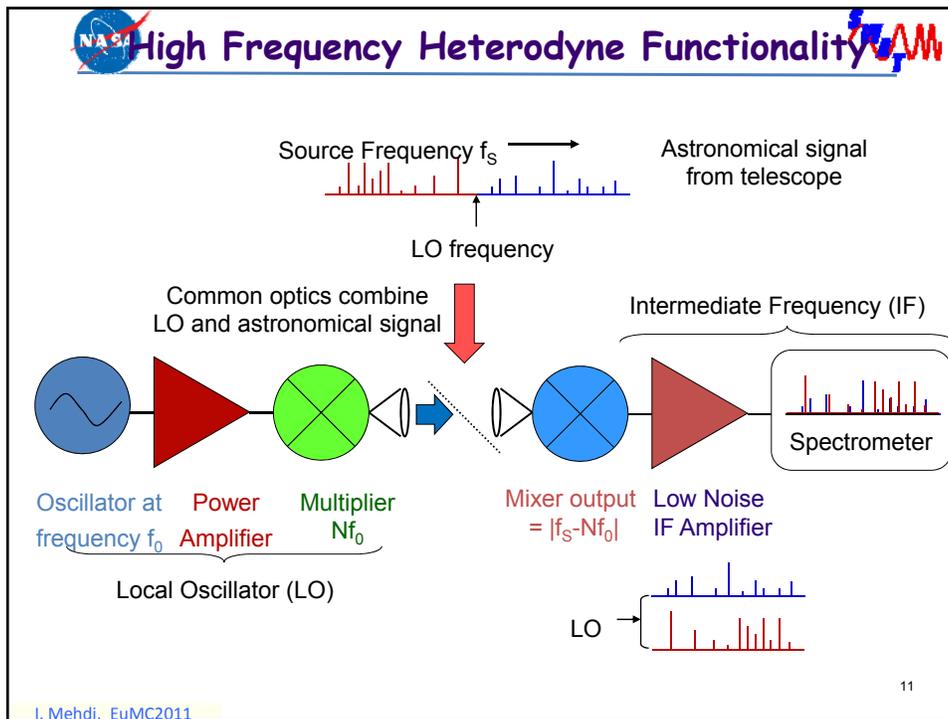
Space	Defense	Commercial
<p><b>Earth Science:</b> Atmosphere and how it changes, cloud dynamics, ozone depletion etc</p> <p><b>Astrophysics:</b> Study galaxies far away, star formation, star decay etc</p> <p><b>Planetary Science:</b> Planetary atmospheres and the search for volcanic and life signatures, active altitude control for landers etc</p> <p><b>Bioastrophysics:</b> detection of bio-molecules, detection of habitability etc</p>	<p><b>Imaging:</b> Real-time detection of threats</p> <p><b>Phenomenology:</b> Detection of minute amounts of detrimental agents, i.e. poison gas, plastic explosives etc.</p> <p><b>Secure communications:</b> Un-penetrable and secure links</p> <p><b>Imaging:</b> Determination of object via THz picture</p>	<p><b>Imaging:</b> Contraband detection</p> <p><b>Structural integrity:</b> Look for deformity, cracks in material</p> <p><b>Structural uniformity:</b> medical pill information, ink uniformity,</p> <p><b>Medical:</b> detection of bio-molecules, detection of cancer, detection of tooth decay, detection of ...</p>

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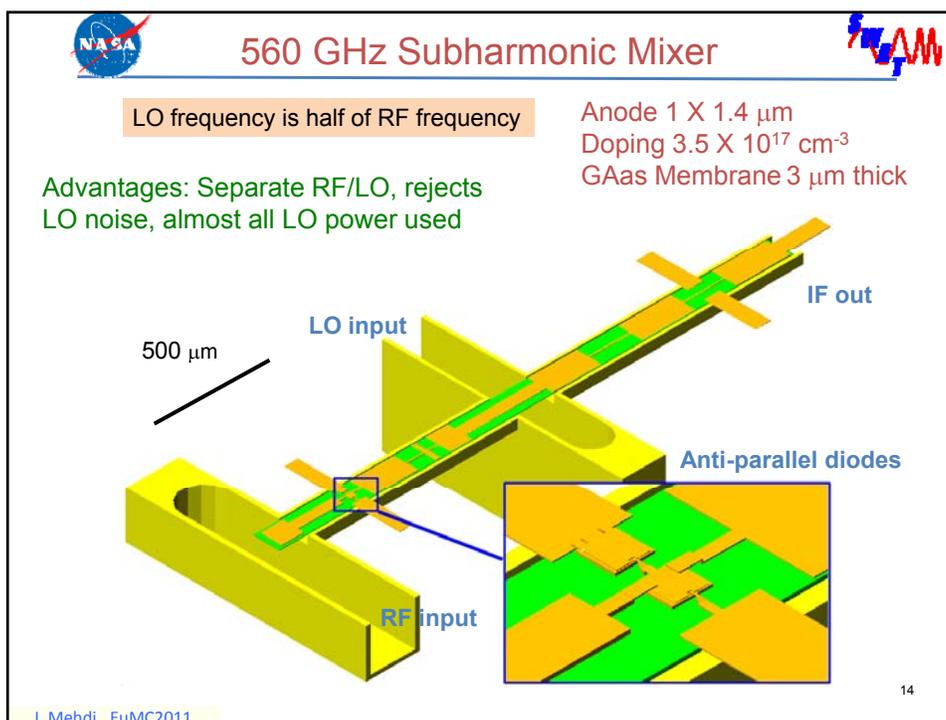




Technology	Freq Limit	Noise Temp	IF BW	Physical Temp	LO pwr	Comments
HEB	> 5 THz	low	small	Very low	Very low	Ideal for high freq, stability??
SIS	To 1.5THz?	Low-medium	large	Very low	low	Very low noise at low freq, require magnetic field to operate
Schottky	>5 THz	high	large	Low to RT	large	Space heritage
LNA	~670 GHz	Gain		Low to RT		

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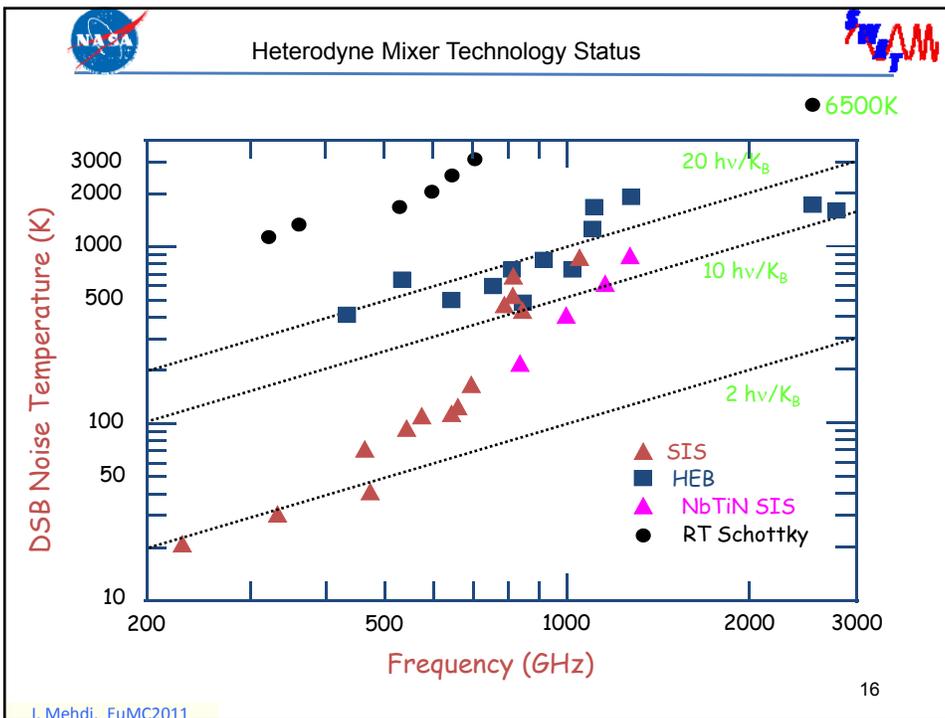


NASA State-of-the-art subharmonically pumped mixers

530-590 GHz Subharmonic biasable Mixer Chip

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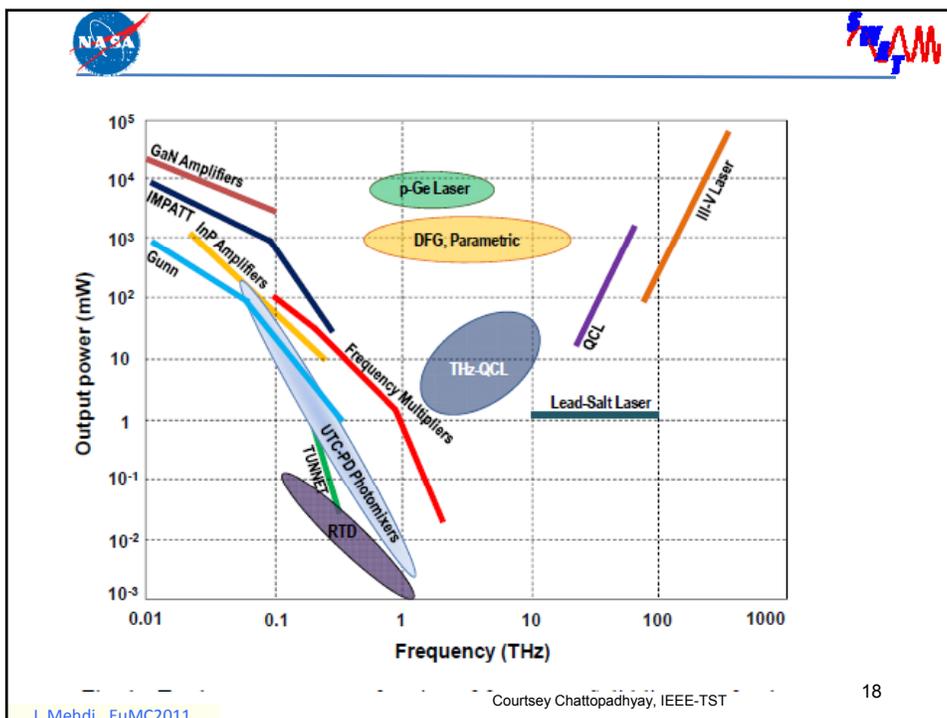
## Possibilities for THz LO Sources



For heterodyne receivers a key element that is perhaps the most challenging, especially at THz frequencies is the source

	Freq Limit (GHz)	Output power	BW (%) Instant.	Efficiency (DC pwr)	Mass	Comments
2-Terminal Oscillators	~300	~mW	<<1	decent	small	Tuning nec., PLL, vendors?
Amplifiers	~670	mW--W	~10%	decent	small	Industrial support, developing tech.
BWO	>1 THz	good	low	Very low	large	Multimode, vendors
FIR lasers	>> 1 THz	high	very narrow	low	large	Useful for lab
QCLs	>>1.5 THz	high	narrow	decent?	medium	Cryogenic, lockable?, maturing fast
Multipliers	~3 THz?	mW--nW	~10-15%	low	small	Space heritage

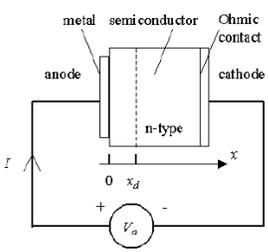
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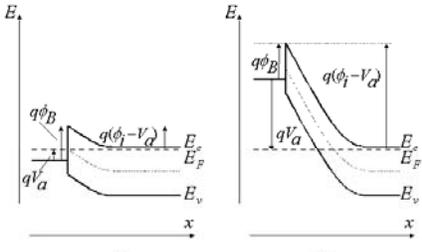


## What is a THz Schottky Diode ?





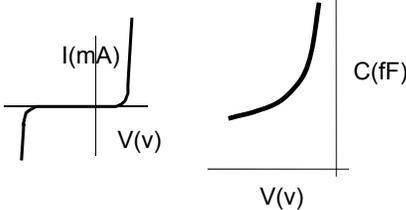
**Figure 1 :** Structure and sign convention of a metal-semiconductor junction



**Figure 4 :** Energy band diagram of a metal-semiconductor junction under (a) forward and (b) reverse bias

$$J = J_s [ \exp (-qV_a/nkT) - 1 ]$$

$$C = \frac{\epsilon A}{W} = \frac{\epsilon A}{\sqrt{\frac{2\epsilon (V_b - V_a)}{qN_D}}}$$



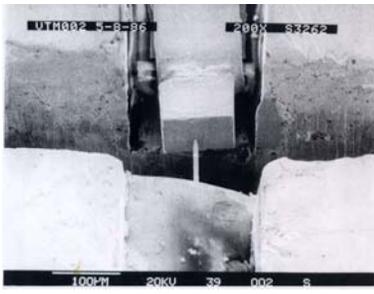
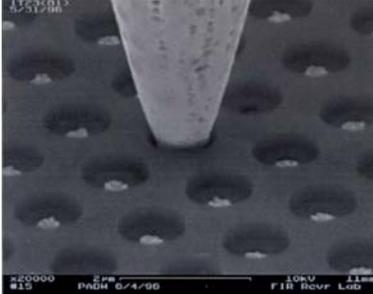
**Nonlinear resistance**  
**Nonlinear capacitance**

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## THz Diodes--Technology



Planar diodes needed for:

- Robustness
- Increased functionality
- Increased reliability
- Increased repeatability
- High power handling
- Harmonic control



But anodes must have low parasitics...

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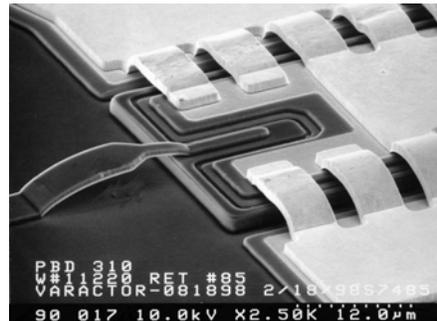
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## JPL planar diode process



- Based on a proprietary planarization process that allows for
  - Self aligned anode and finger
  - Dry etching
  - No use of polyimide etc
  - E-beam compatible for real small anodes
  - T-anodes



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## Design of first stage doublers



6 Anodes  
 3 X 12  $\mu\text{m}^2$   
 $2 \times 10^{17} \text{ cm}^{-3}$  epi doping

**150, 180, 200, doublers have been designed**

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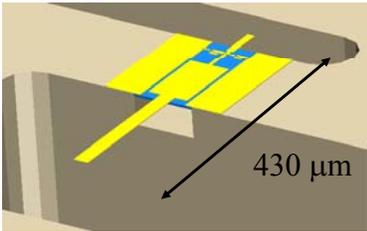
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## Membrane Based Devices

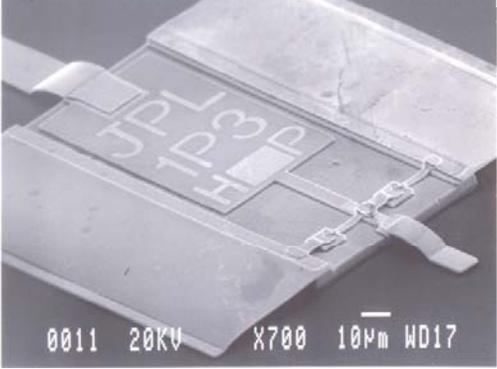


GaAs thickness difficult to scale  
remove most of the GaAs substrate → membrane devices



430  $\mu\text{m}$

1200 GHz tripler chip



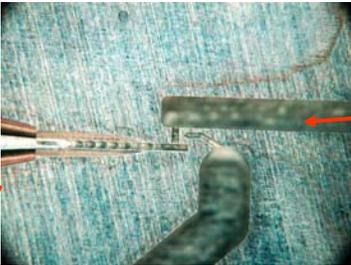
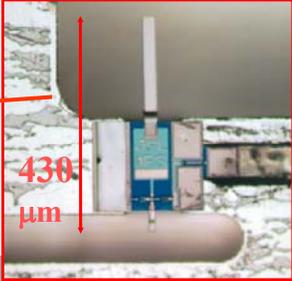
- Membrane is 3 microns thick
- Extensive use of beam-leads
- Extremely simplified assembly
- Bias less design

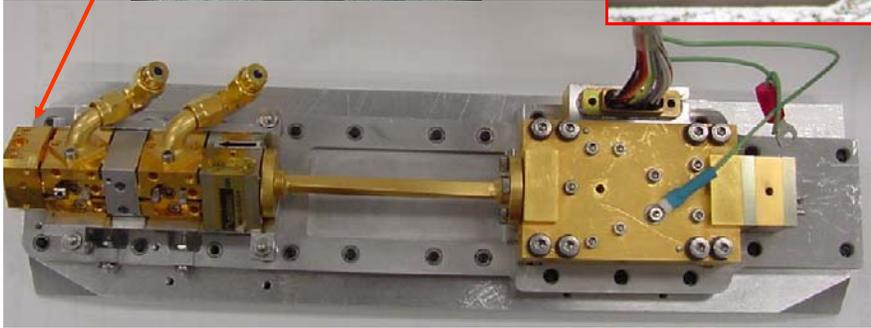
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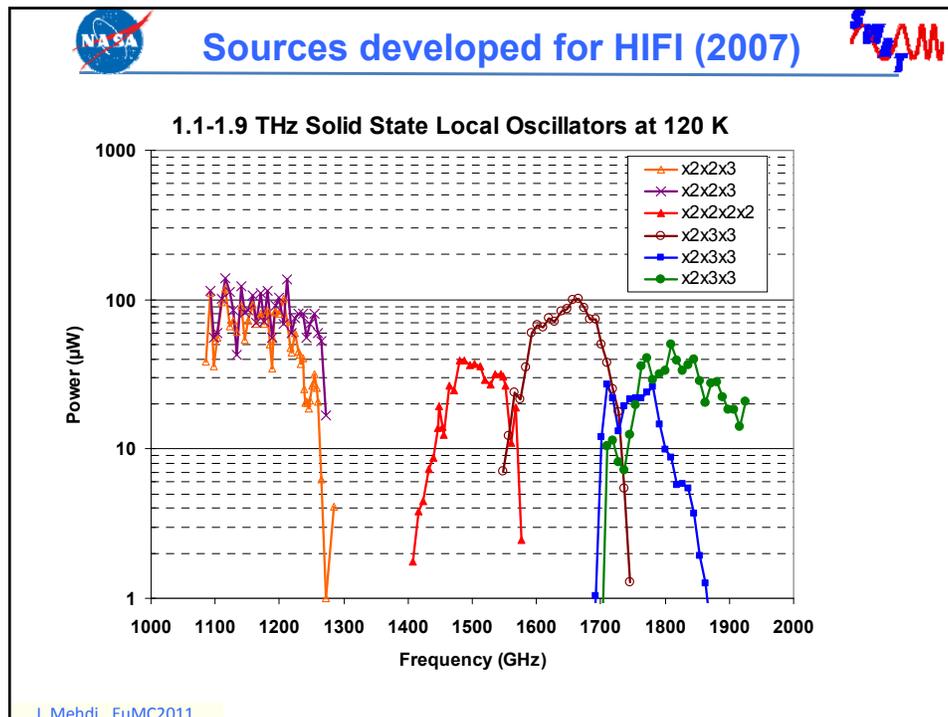
## Planar LO chain at 1200 GHz





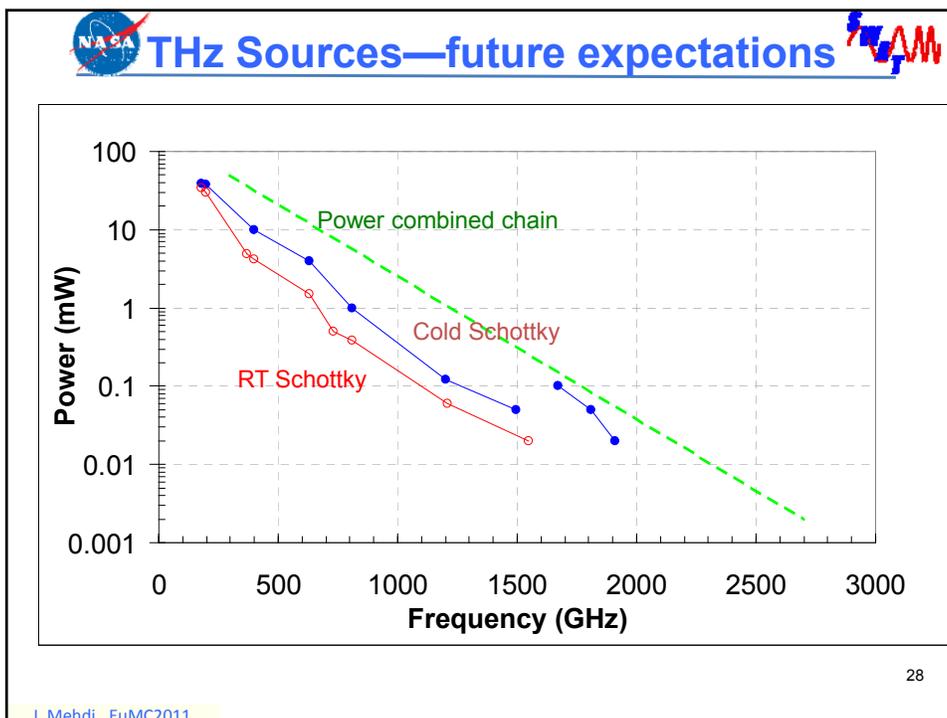
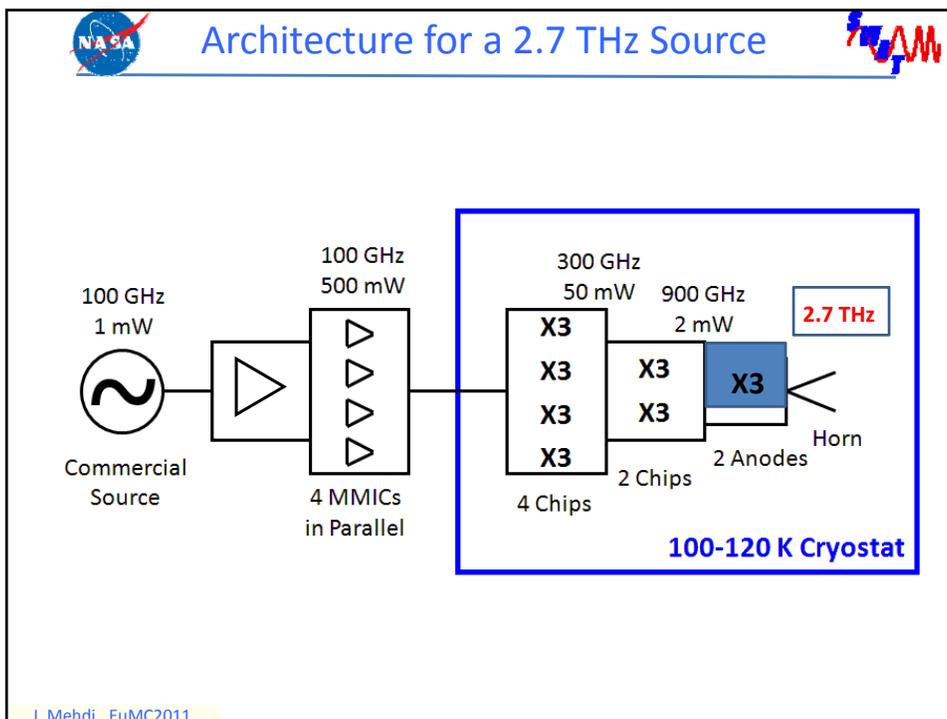
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**More power, higher frequencies??**


- More anodes per chip—ultimately limited by space
- Better/optimized material system—GaN?
- Better thermal design—topology, 3-D structures
- Higher input power
- Better input power handling capability
- How about power combining?

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**On Ground: THz Detection of Concealed Weapons**

A High-Resolution Imaging Radar at 580/670 GHz (courtesy K. Cooper, JPL)

Visible, IR

high resolution, but non-penetrating

**THz Gap**

penetrating and high resolution

Microwaves, RF

penetrating, but low resolution

$K = \text{chirp rate (Hz/s)}$

IF receive

Range resolution: inversely proportional to chirp bandwidth

frequency

$\tau = \text{target delay}$

time

IF power

IF frequency

$\delta r = \frac{c}{2\Delta F}$

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**Evolution to FMCW Radar**

RF and LO simultaneously chirped by slowly (1 Hz) modulating 10MHz reference!

Still, 630 GHz radar proof-of-principle achieved. First results:

target: aligned mirror

$f_0 = 66.24 \text{ kHz}$

$f_0 \pm 497 \text{ Hz}$

IF power (dB)

frequency (kHz)

$$f_{IF} = f_0 + \frac{2KR}{c} = f_0 \pm 470 \text{ Hz}$$

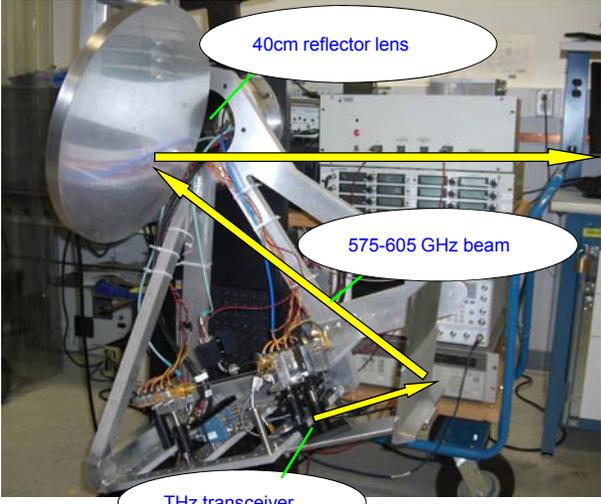
$f_0 = 66.24 \text{ kHz}$        $R = 4.4 \text{ m}$   
 $K = \pm \frac{8 \text{ GHz}}{0.5 \text{ s}}$        $c = 3e8 \text{ m/s}$

Problems: very noisy receiver and very slow chirp speed.

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NASA VAM

### THz Imaging Radar, 2008



**Operating Parameters**

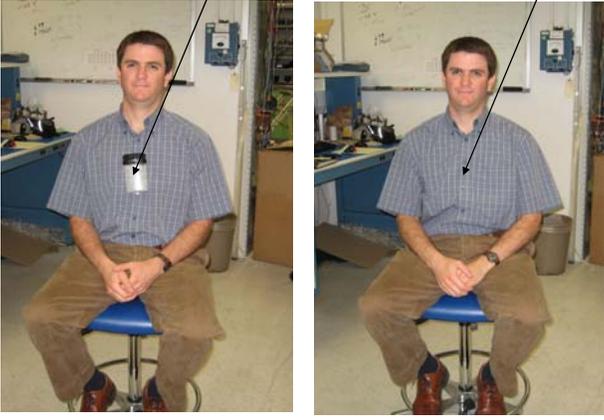
- Standoff range: **4 meters**
- Operating frequency: **575-605 GHz**
- Range resolution: **<1 cm**
- Cross-range resolution: **<1 cm**
- Output power: **≤0.4 mW**
- Time per pixel: **6-25 m s**

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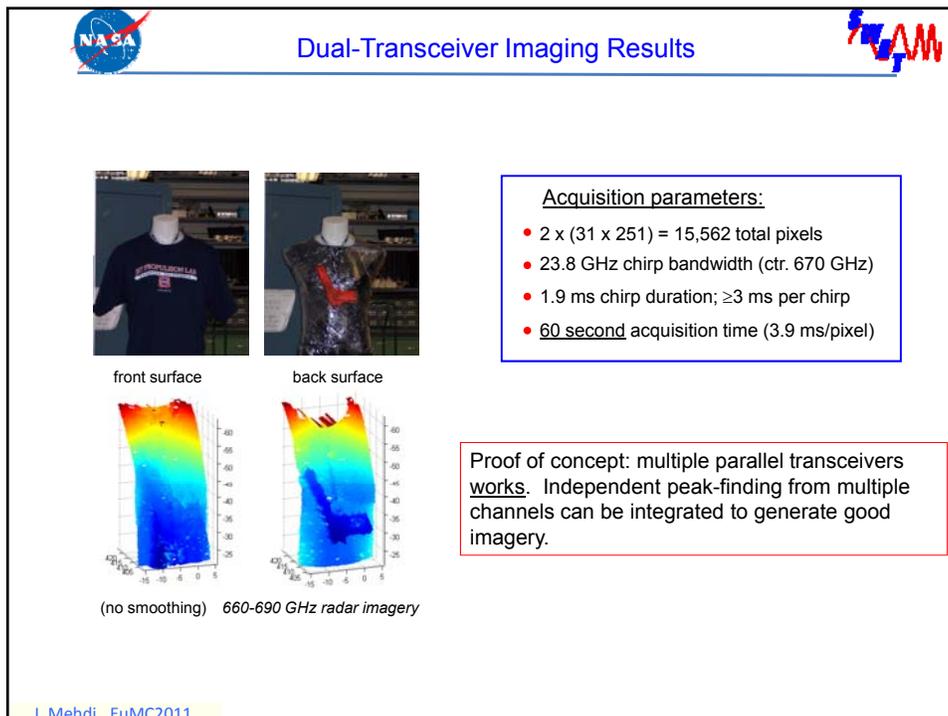
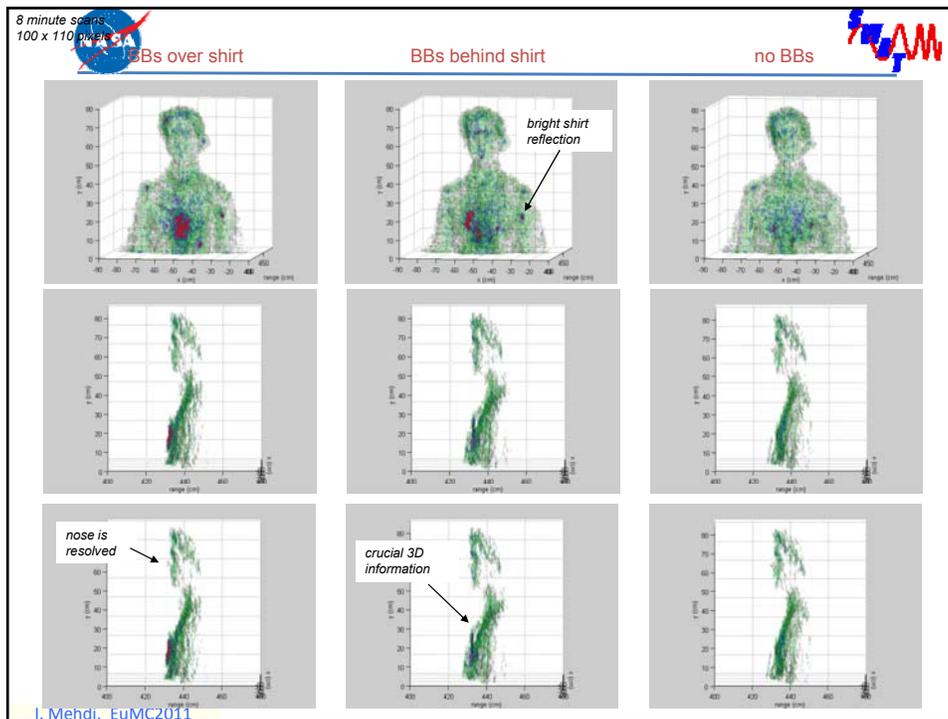
NASA VAM

### Detection of Concealed Objects on People

plastic container of BB pellets      BBs concealed by shirt



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### Submillimeter Wave Observation Platforms





High Altitude Balloon



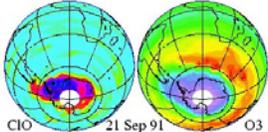
Airborne Platform (DC8/SOFIA)



Earth Orbiter/Sounder



Planetary Sounder

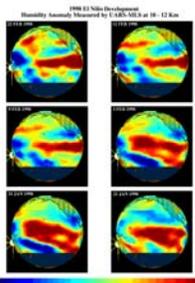


CIO 21 Sep 91 O3

First UARS-MLS measurement of correlation between ozone depletion and chlorine enhancement from September 1991.



NASA's Upper Atmospheric Research Satellite



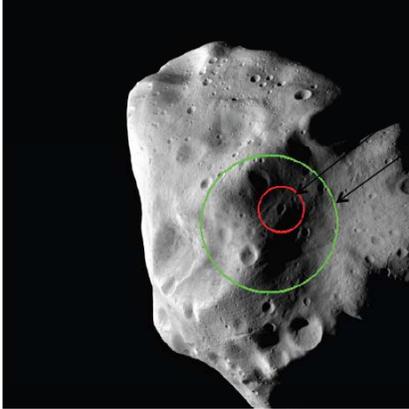
Water vapor during 1997 El Niño from UARS-MLS

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### MIRO beams superimposed on Lutetia near closest approach





OSIRIS image [(c) ESA 2010 MPS for OSIRIS Team  
MPS/UPD/LAM/IAA/RSSD/INTA/UPM/DASP/IDA.]

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S. Gulkis et al., 9/20/10
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## MIRO Instrument





**STRUCTURAL THERMAL MODEL**

**Telescope**  
 30 cm diameter  
 Boresight along z-axis of s/c

**Receivers (two)**  
 Two bands  
     190 GHz (1.6 mm)  
     563 GHz(0.5 mm)  
 Continuum - both bands  
 Spectroscopic (563 GHz only)  
 Single linear polarization(crossed)  
 Flip-mirror calibration(warm-cold-sky)

**Half Power Beam Widths**  
 Submillimeter HPBW- 7.5 arc min  
 Millimeter HPBW- 23.8 arc min

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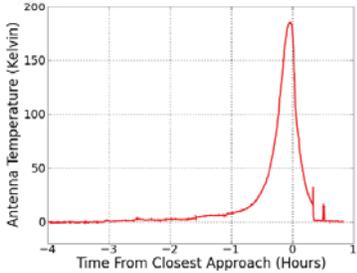
## MIRO Instrument



**Millimeter data**

Absolute accuracy

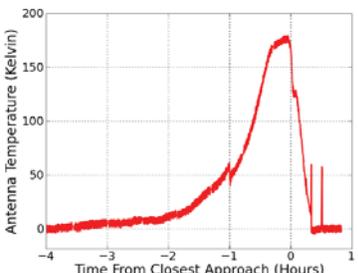
- Near CA           0.2 K
- 2nd spike after CA   0.1 K



**Submillimeter data**

Absolute accuracy

- Near CA           0.5 K
- 2nd spike after CA   0.9 K



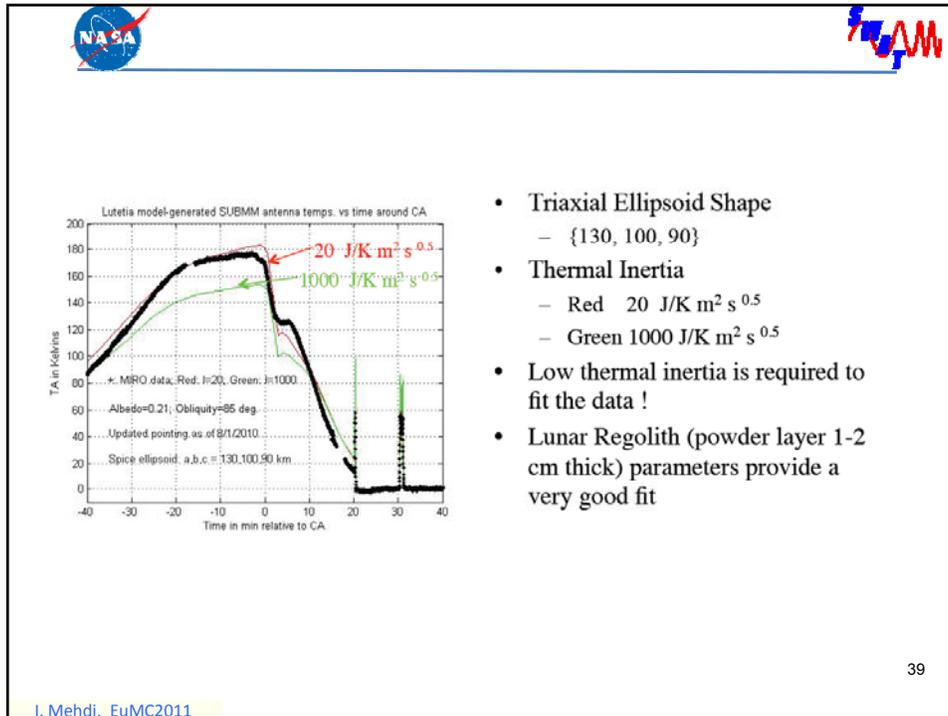
Note: 1<sup>st</sup> spike after CA was unplanned ; 2<sup>nd</sup> spike after CA was planned maneuver to determine the c-axis dimension

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S. Gulikis et al., 9/20/10

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## Biomedical application

<http://news.bbc.co.uk/2/hi/science/nature/368558.stm>

**Sci/Tech**

### X-ray substitute to test teeth

The terahertz image on the right reveals enamel thickness

The spectroscopic mode shows up a tooth cavity

Toshiba Research Europe (TRE) is developing the method at the Cambridge Science Park, UK. Its managing director, Professor Michael Pepper says: "These are very early days, but it is clear that Terahertz Pulse Imaging is going to be very important, particularly in areas where X-rays are insensitive."

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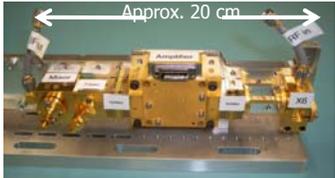
## What is next?



- Most receivers deployed at these frequencies have been single pixel and fairly bulky systems
- Two important considerations require a paradigm shift in terms of how we build these receivers for the future
  - This decade will witness a renewed focus on exploring planets in our solar system in the submillimeter-wave range. These missions, and especially the ones focused on the outer planets will require extremely light weight payloads.
  - Both for planetary science as well as applications on Earth, imaging arrays are now feasible (given the tremendous improvement in backend processing). Large count arrays will require that each receiver is low-mass, low-power and extremely small.

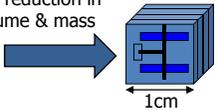
### Develop an ultra-compact receiver which is compatible with array architecture

SOA 500-600 GHz Receiver Front End



Approx. 20 cm

x 50 reduction in volume & mass



1cm

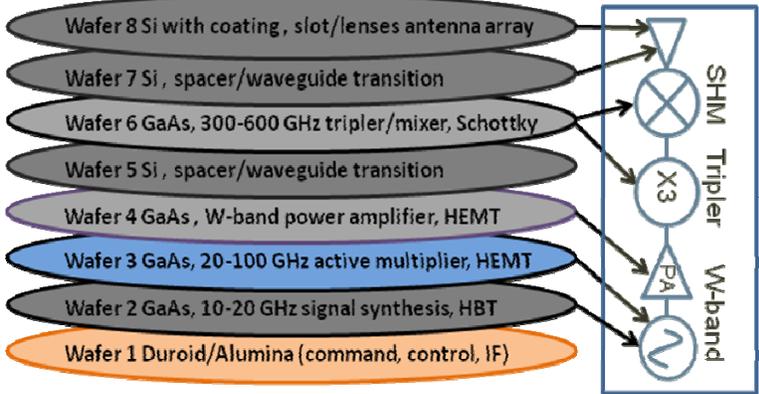
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## Radiometer-On-Chip (ROC) concept



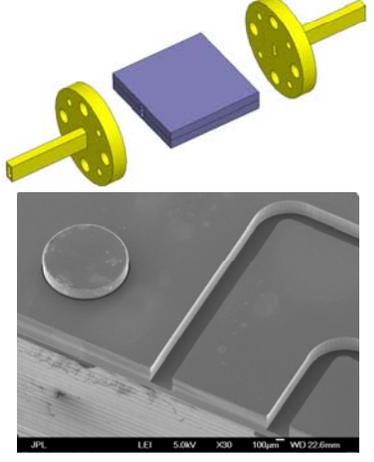
- This novel architecture uses a stack of micro-machined wafers for waveguide components and interconnections, and MMIC based GaAs wafers for amplifiers, multipliers and mixers.



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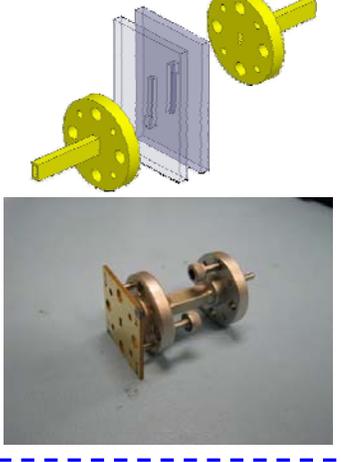

**Si-metal waveguide interconnections**


- 1<sup>st</sup> option: from the side of the Si wafer.



Commonly used approach

- 2<sup>nd</sup> option: from the flat of the Si wafer.



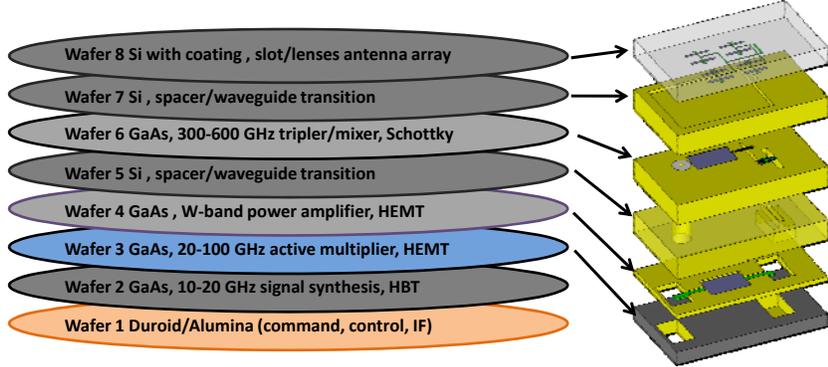
Our approach for interfacing

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**ROC modified for proof-of-concept**


- Instead of more expensive GaAs wafers use Si wafers with discretely mounted GaAs based devices.

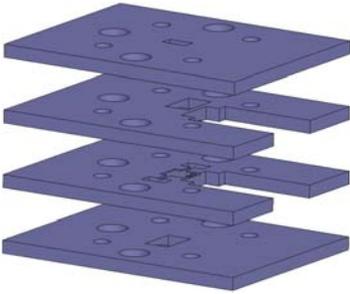
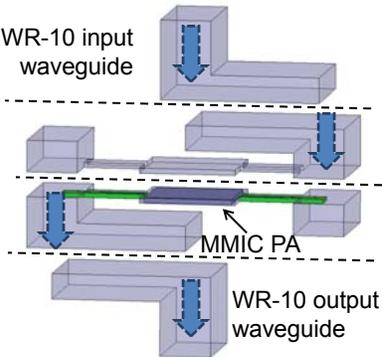
- Wafer 8 Si with coating, slot/lenses antenna array
- Wafer 7 Si, spacer/waveguide transition
- Wafer 6 GaAs, 300-600 GHz tripler/mixer, Schottky
- Wafer 5 Si, spacer/waveguide transition
- Wafer 4 GaAs, W-band power amplifier, HEMT
- Wafer 3 GaAs, 20-100 GHz active multiplier, HEMT
- Wafer 2 GaAs, 10-20 GHz signal synthesis, HBT
- Wafer 1 Duroid/Alumina (command, control, IF)



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Si based W-band power amplifier

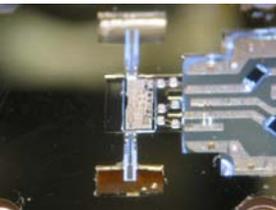

- 4 Si layers are required to package a pHEMT amplifier chip, waveguide transitions and bends.
- DC bias circuit is also included in the Si block.

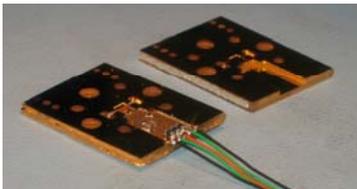
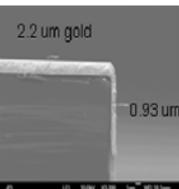
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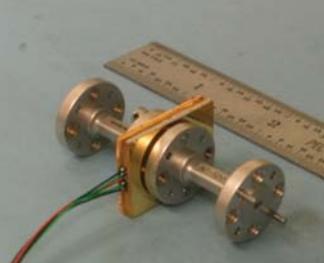

Si based W-band PA assembly


pHEMT MMIC

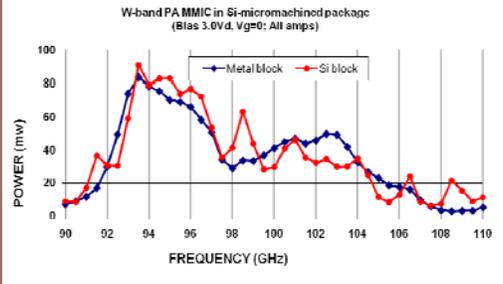


Deep RIE technology used to fab Si



Completed module is only 7 g



W-band PA MMIC in Si-micromachined package  
(Bias 3.0Vd, Vg=0; All amps)

Frequency (GHz)	Metal block Power (mW)	Si block Power (mW)
90	10	10
92	30	30
94	80	80
96	60	60
98	30	30
100	40	40
102	50	50
104	30	30
106	10	10
108	5	15
110	5	10

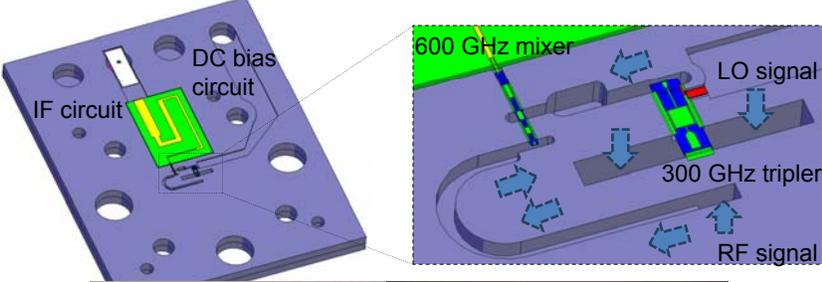
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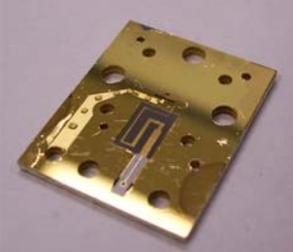


### Si based 560 GHz RFE



- Integrate in a Si package (4 layers) a 300 GHz MMIC tripler and 600 GHz MMIC sub-harmonic mixer





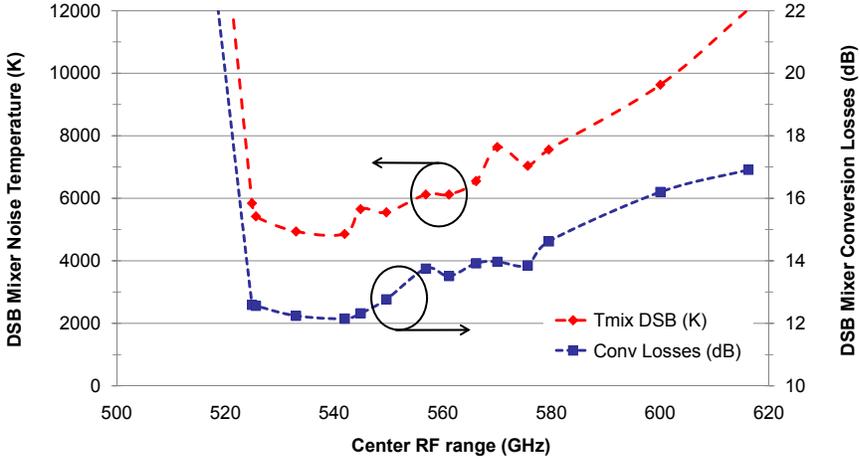

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### Si based 560 GHz RFE test



- IF frequency: 4 GHz. Not corrected for IF mismatch.
- Fundamental input power at W-band : 30-50 mW



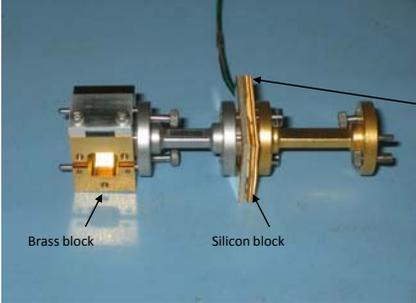
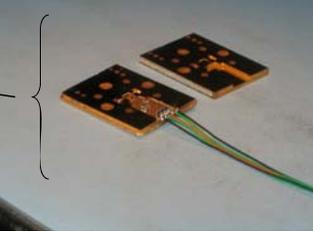
Center RF range (GHz)	T <sub>mix</sub> DSB (K)	Conv Losses (dB)
520	12000	12.5
530	5500	12.2
540	5000	12.0
550	5500	12.5
560	6000	13.5
570	7000	14.0
580	7500	14.5
600	9500	16.0
620	12000	17.0

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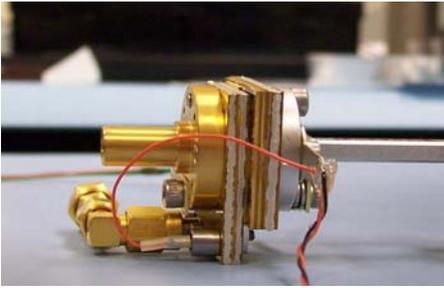
## Radiometer-on-a-chip



\* DRIE technology and wafer-bonding technology are combined to assemble silicon based waveguide blocks

- Demonstrated with w-band power amp
- Demonstrated with 600 GHz RFE
- Provides technology to achieve massive power combining



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## Towards a 2D array...



Work is currently underway to develop an imaging array using Si micro-lenses

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## Summary: Critical needs going forward

- **Smaller packages** (packaging, functionality ...)
- **Better devices/circuits** (innovative circuits, higher BW )
- **Higher efficiencies** (DC and RF)
- **Multiple pixels** (Arrays, LO injection...)
- **Robust scalable back-ends** (digital...)
- **Standardized testing** (protocols, procedures, and equipment)
- All this with reduced cost.
- **A commercial THz 'killer-app' is still elusive, but I feel that improving technology will lead to new and novel applications**

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## New IEEE Journal

IEEE TRANSACTIONS ON  
**TERAHERTZ SCIENCE  
 AND TECHNOLOGY**

"EXPANDING THE USE OF THE ELECTROMAGNETIC SPECTRUM"

A PUBLICATION OF THE IEEE MICROWAVE THEORY AND TECHNIQUES SOCIETY

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