Remote Sensing Using Active Microwave Sensors

Bryan HUNEYCU TT, Jet Propulsion Laboratory, California Institute of Technology

USTTI Course: Remote Sensing Applications for Disaster Management

Department of Commerce Building, Washington DC
24-26 October 2012
Active Microwave Sensor Types

- **SYNTHETIC APERTURE RADARS** - Sensors looking to one side of nadir track, collecting phase and time history of coherent radar echo from which can be produced a radar image or topographical map of the Earth surface.

- **ALTIMETERS** - Sensors looking at nadir, measuring the precise time between a transmit event and receive event to extract the precise altitude of ocean surface.

- **SCATTEROMETERS** - Sensors looking at various aspects to the sides of the nadir track, using the measurement of the return echo power variation with aspect angle to determine wind direction and speed on Earth ocean surface for ocean wind scatterometers or backscatter intensity for land surfaces.

- **PRECIPITATION RADARS** - Sensors scanning perpendicular to nadir track, measuring the radar echo from rainfall to determine the rainfall rate over the Earth’s surface, usually concentrating on the tropics.

- **CLOUD PROFILE RADARS** - Sensors looking at nadir, measuring the radar echo return from clouds, to determine cloud reflectivity profile over the Earth’s surface.
**Active Microwave Sensor and Service Definitions in Radio Regulations**

**Active Sensor:** a measuring instrument in the Earth exploration-satellite service or in the space research service by means of which information is obtained by transmission and reception of radio waves (RR)

**Earth Exploration-Satellite Service:** a radiocommunication service between earth stations and one or more space stations, which may include links between space stations, in which:
- information relating to the characteristics of the Earth and its natural phenomena including data relating to the state of the environment is obtained from active sensors or passive sensors on earth satellite;
- similar information is collected from airborne or earth-based platforms;
- such information may be distributed to earth stations within the system concerned

**Space Research Service:** a radiocommunications service in which spacecraft or other objects in space are used for scientific or technological research purposes
## Active Sensors Applications by Sensor Type

<table>
<thead>
<tr>
<th>Active Sensor Type</th>
<th>Active Sensor Applications</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>SARs</strong></td>
<td>SARs can provide topographical maps, knowledge of vegetation, knowledge of deep and undercanopy soil moisture which is critical for several Earth science disciplines and public welfare and policy making processes. These applications include, but are not limited to: long-term weather forecasts, studying the long- and short-term climate variations through quantifying elements of the energy and water cycle, for Carbon cycle science studies, and for studies and management of underground water resources and aquifers.</td>
</tr>
<tr>
<td><strong>Atimeters</strong></td>
<td>The data obtained by radar altimeters are used to study ocean dynamics and its effects on climatology and meteorology. Dual frequency altimeters also operate at 5.3 GHz to provide data to compensate for uncertainties in height measurements caused by ionospheric effects on the 13.5 GHz measurement. The radar altimeter will provide precise measurements of the distance from the satellite to the Earth’s surface and also of the power and the shape of the returned echoes from ocean, ice and land surfaces, eventually allowing us to improve our knowledge of climatology and environmental change detection.</td>
</tr>
<tr>
<td><strong>Scatterometers</strong></td>
<td>Ocean wind scatterometers measure surface wind speeds and directions over at least 90% of the oceans every two days in all weather and cloud conditions. Winds are a critical factor in determining regional weather patterns and global climate. Ocean and land scatterometers measure surface echo returns to augment passive measurements of soil moisture and sea salinity. Scatterometers will play a key role in scientists efforts to understand and predict complex global weather patterns and climate systems.</td>
</tr>
<tr>
<td><strong>Precipitation Radars</strong></td>
<td>Precipitation radars measure tropical and subtropical rainfall using several microwave and visible/infrared sensors. Major objectives of the PR are 1) to provide a 3-dimensional rainfall structure, 2) to achieve quantitative rainfall measurement over land as well as over ocean, and 3) to improve the accuracy of a microwave imager measurement by providing the rain structure information.</td>
</tr>
<tr>
<td><strong>Cloud Profiling Radars</strong></td>
<td>The cloud profiling radar has been widely recognized as a key sensor to measure the global distribution of clouds, which is a critical issue in understanding the role of clouds in earth’s radiation budget and thereby predicting the global warming. The objective of spaceborne CPR is to measure global three–dimensional cloud distribution. The clouds which always cover about half area of the whole earth surface, play a significant and complicated role in the earth’s radiation budget. Especially, the vertical structure of clouds is a critical parameter to decide whether clouds contribute to warming or cooling of the atmosphere.</td>
</tr>
</tbody>
</table>
## Active Sensor Characteristics

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>SAR</th>
<th>Altimeter</th>
<th>Scatterometer</th>
<th>Precipitation Radar</th>
<th>Cloud Radar</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Viewing Geometry</strong></td>
<td>Side-looking @10-55 deg off nadir</td>
<td>Nadir-looking</td>
<td>(1) Three/six fan beams in azimuth</td>
<td>Nadir-looking</td>
<td>Nadir-looking</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(2) One/two conical scanning beams</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Footprint/Dynamics</strong></td>
<td>(1) Fixed to one side</td>
<td>Fixed at nadir</td>
<td>(1) Fixed in azimuth</td>
<td>Scanning across nadir track</td>
<td>Fixed at nadir</td>
</tr>
<tr>
<td></td>
<td>(2) ScanSAR</td>
<td></td>
<td>(2) Scanning</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Antenna Beam</strong></td>
<td>Fan beam</td>
<td>Pencil beam</td>
<td>(1) Fan beams</td>
<td>Pencil beam</td>
<td>Pencil beam</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>(2) Pencil beams</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Radiated Peak Power</strong></td>
<td>1500-8000 W</td>
<td>20 W</td>
<td>100-5000 W</td>
<td>600 W</td>
<td>1000-1500 W</td>
</tr>
<tr>
<td><strong>Waveform</strong></td>
<td>Linear FM pulses</td>
<td>Linear FM pulses</td>
<td>Interrupted CW, Short Pulses, or linear FM pulses</td>
<td>Short pulses</td>
<td>Short pulses</td>
</tr>
<tr>
<td><strong>Spectrum Width</strong></td>
<td>20-600 MHz</td>
<td>320 MHz</td>
<td>5-80 kHz, 1-4 MHz</td>
<td>0.6-14 MHz</td>
<td>300 kHz</td>
</tr>
<tr>
<td><strong>Duty Factor</strong></td>
<td>1-5 %</td>
<td>46 %</td>
<td>31 % (ocean) or 10 % (land)</td>
<td>0.9-2 %</td>
<td>1-14 %</td>
</tr>
<tr>
<td><strong>Service Area</strong></td>
<td>Land/coastal/Ocean</td>
<td>Ocean/Ice</td>
<td>Ocean/Ice/Land</td>
<td>Land/Ocean</td>
<td>Land/Ocean</td>
</tr>
</tbody>
</table>

---

The table above provides a comprehensive overview of the characteristics of various sensors, including their viewing geometry, footprint dynamics, antenna beam, radiated peak power, waveform, spectrum width, duty factor, and service area.

*Note:* The data presented is hypothetical and for illustrative purposes only. Actual specifications may vary depending on the specific sensor type and application.
Active Sensor Examples

SAR-Radar Image
Bora Bora, French Polynesia

Altimeter-Sea Level

Scatterometer-Wind Speeds

Precipitation Radar-
Rain Rates

Cloud Radar-Cloud
Reflectivity Profile
Synthetic Aperture Radars (SARs)

- Provide radar images and topographical maps of the Earth’s surface
- RF center frequency depends on the Earth’s surface interaction with the EM field
- RF bandwidth affects the resolution of the image pixels
- Allowable image pixel quality degradation determines allowable interference level

Chirp Spectrum

SAR Illumination Swath
SRTM was a 5.3 GHz radar which was flown onboard the shuttle and obtained elevation data on a near-global scale to generate the most complete high-resolution digital topographic database of the Earth. The SRTM image to the left shows the topography of South America. The SRTM DEM image to the right shows the digital elevation of the East Allgeru around the Royal Castles of Neuschwanstein and Hohenschwangau.
RADARSAT-1 is a 5.3 GHz radar and has been successfully used world-wide to support disaster response efforts during events such as flooding, oil spills, volcanic eruptions and severe storms. The following Radarsat image (right) shows two flooded areas in north central Bulgaria in early June 2005 with respect to the reference Landsat image (left).
SRTM was a 5.3 GHz radar which was flown onboard the shuttle and obtained elevation data on a near-global scale to generate the most complete high-resolution digital topographic database of Earth. The following animation shows a 3D visualization of SRTM measurements as a flyover of the Andes in South America.
Altimeters

- Provide altitude of the Earth’s ocean surface
- RF center frequency selected based on the ocean surface interaction with the EM field
- Dual frequency operation allows ionospheric delay compensation
- JASON-1/2 uses frequencies around 13.6 GHz and 5.3 GHz
- Allowable height accuracy degradation determines the allowable interference level

Illustration of Altimeter Return
Jason is a dual-frequency radar at 5-GHz and 13-GHz, using radar altimetry to collect sea surface height data over the world's oceans. Understanding the patterns and effects of climate cycles such as El Niño helps predict and mitigate the disastrous effects of floods and drought. Altimeter and scatterometer data are incorporated into atmospheric models for hurricane season forecasting and individual storm severity. Maps of currents, eddies, and vector winds are used in commercial shipping and recreational yachting to optimize routes. Cable-laying vessels and offshore oil operations require accurate knowledge of ocean circulation patterns to minimize impacts from strong currents. The following altimeter measurements taken during Hurricane Katrina in late August 2005 are shown to the right comparing altimeters Topex and Jason-1 (top row), ERS-2 and Envisat (center row), and Geosat follow on (bottom row). Also shown are the GOES 12 infrared images and the altimeter tracks on the left. The three columns on the right are altimeters measurements of wave height, wind speed, and sea level anomaly as a function of latitude along the altimeter tracks.
Jason is a dual-frequency radar at 5-GHz and 13-GHz, using radar altimetry to collect sea surface height data over the world's oceans. The animation shows the global average sea surface height as measured by Jason from 1993 to 2011.
Ocean Surface Wind Scatterometers

- Provide the wind direction and speed over the Earth’s ocean surface.
- Selection of RF center frequency depends on the ocean surface interaction with the EM field and its variation over aspect angle.
- RF signal bandwidth provides the needed measurement cell resolution.
- Allowable wind speed accuracy degradation determines the allowable interference level.

Variation of Backscatter with Aspect Angle
Ocean surface wind scatterometers

NSCAT illuminated the Earth’s surface at several different fixed aspect angles

SEAWINDS scanning pencil beam illuminates scans at two different look angles from nadir, and scans 360 degrees about nadir in azimuth
The NSCAT instrument on the ADEOS-II satellite was a 13-GHz microwave scatterometer that measured backscatter from the Earth’s surface as well as near-surface wind speed and direction under all weather and cloud conditions over the Earth's oceans. The following data shows an example radar image taken from the NSCAT scatterometer of the Amazon rainforest in South America. The colors represent variations in backscatter power from the Amazon.
Ocean/land scatterometers (contd)

The SeaWinds instrument on the QuikSCAT satellite is a specialized 13-GHz microwave radar that measures near-surface wind speed and direction under all weather and cloud conditions over Earth's oceans. On the left, the image shows data that are used to monitor changes in surface water resulting from Hurricanes Katrina and Rita in the Mississippi River basin in Oct 2005. The colors represent increases in surface soil moisture resulting from rainfall. On the right is shown the QuikScat observation of Hurricane Dean revealing the sea surface wind speed and direction.

Publications - NASA Satellite Monitors Post-Hurricane Gulf Coast Flood Potential
October 14, 2005
Ocean/land scatterometers

Aquarius scatterometer illuminates the Earth’s surface at several different fixed aspect/nadir angle combinations.

SMAP scatterometer scanning pencil beam illuminates scans at fixed look angle from nadir, and scans 360 degrees about nadir in azimuth.
The Aquarius instrument on the SAC-D satellite is a combined scatterometer/radiometer (1.2-/1.4-GHz) microwave instrument that measures sea salinity over the Earth's oceans. The global map below is a composite of the data since Aquarius became operational on August 25, 2011 until July 7, 2012. The numerical values represent salt concentration in parts per thousand (grams of salt per kilogram of sea water). Yellow and red colors represent areas of higher salinity, with blues and purples indicating areas of lower salinity. Areas colored black are gaps in the data. The average salinity on the map is about 35 g/kg.
The Aquarius instrument on the SAC-D satellite is a combined scatterometer/radiometer (1.2-/1.4-GHz) microwave instrument that measures sea salinity over the Earth's oceans. The visualization below shows Aquarius’ first global map of ocean salinity.
Precipitation Radars

- Provide precipitation rate over the Earth’s surface, typically concentrating on rainfall in the tropics
- RF center frequency selected based on the precipitation interaction with the EM field
- RF signal bandwidth provides the needed measurement cell resolution
- Tropical Rainfall Measurement Mission (TRMM) uses only 0.6 MHz RF bandwidth
- Allowable minimum precipitation reflectivity degradation determines the allowable interference level
Precipitation Radars (contd)

TRMM is the first 13-GHz spaceborne rain radar that measures the vertical distribution of precipitation over the tropics. TRMM is a research satellite designed to help our understanding of the water cycle in the current climate system. By covering the tropical and semi-tropical regions of the Earth, TRMM provides much needed data on rainfall and the heat release associated with rainfall. It is contributing to our understanding of how clouds affect climate and how much energy is transported in the global water cycle. In coordination with other satellites in NASA's Mission to Planet Earth, TRMM has begun the process of understanding the interactions between water vapor, clouds and precipitation that is central to regulating the climate system. The following TRMM image on the left shows the precipitation profile of the hurricane Ernesto on 26 August, 2006. TRMM reveals several deep convective towers (shown in red) that top out over 15km. The TRMM image on the right shows rain rate distribution of a Typhoon near Philippines on 14 Dec. 2008.
The following measurements taken during Hurricane Katrina in late August 2005 show a 3D visualization of the TRMM data.
Cloud Profile Radars

• Provide three dimension profile of cloud reflectivity over the Earth’s surface
• RF center frequency selected based on the ocean surface interaction with the EM field and its variation over aspect angle
• Antennas with very low sidelobes so as to isolate the cloud return from the higher surface return illuminated by the sidelobes
• RF signal bandwidth provides the needed measurement cell resolution
• Allowable reflectivity accuracy degradation determines the allowable interference level
CloudSat is a Cloud Profiling Radar (CPR), a 94-GHz nadir-looking radar which measures the power backscattered by clouds as a function of distance from the radar. The following Cloudsat image is that of radar profiles of clouds around Hurricane Ileana on Aug 23, 2006. The top image is from NOAA’s GOES to show the storm and CloudSat ground track. The bottom image is from CloudSat giving a profile of the cloud reflectivity versus distance from the radar.
Cloud Sat is a Cloud Profiling Radar (CPR), a 94-GHz nadir-looking radar which measures the power backscattered by clouds as a function of distance from the radar. The visualization is an animation of Tropical Storm Leslie in the Gulf of Mexico, August 31, 2012.
## Applications for EESS (active)

<table>
<thead>
<tr>
<th>Application</th>
<th>Example</th>
<th>Description</th>
<th>Application</th>
<th>Example</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Flooding</td>
<td><img src="image1.png" alt="Image" /></td>
<td>RADARSAT image shows from the area just south of Morris on April 27, 1997. Areas of standing water are shown in blue.</td>
<td>Drought</td>
<td><img src="image2.png" alt="Image" /></td>
<td>TOPEX/Poseidon satellite imagery of March 23, 2000, with persistent La Nina pattern dominating the and lower than normal sea-surface heights, indicating cooler temperatures</td>
</tr>
<tr>
<td>Severe Storms</td>
<td><img src="image3.png" alt="Image" /></td>
<td>SeaWinds data of Tropical Storm Alberto on June 10, 2006, in the Yucatan Channel; image depicts wind speed in color and wind direction with small barbs.</td>
<td>Hurricanes</td>
<td><img src="image4.png" alt="Image" /></td>
<td>TRMM rainfall data combined with wind data from SeaWinds on QuikSCAT showing image of Hurricane Floyd in Sep 1999</td>
</tr>
</tbody>
</table>
SUMMARY of Active Spaceborne Sensor Types and Output Products

- Synthetic Aperture Radar
- Altimeter
- Scatterometer
- Precipitation Radar
- Cloud Profile Radar

- Radarsat
- JASON
- Seawinds
- TRMM
- Cloudsat

- SAR image maps
- Ocean wave heights
- Ocean wind speed and direction
- Precipitation reflectivity
- Cloud reflectivity