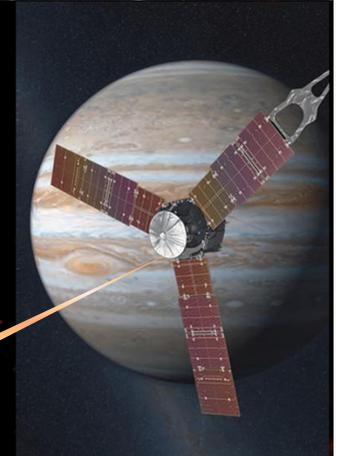




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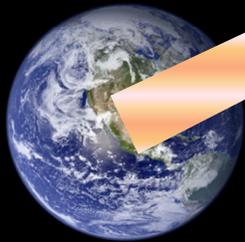
Technology Development for High Efficiency Optical Communications



William H. Farr

8-March-2012

Jet Propulsion Laboratory
California Institute of Technology





Why Space Optical Communications?

Jet Propulsion Laboratory
California Institute of Technology

➤ 10X to 100X increased data returns over present RF communications

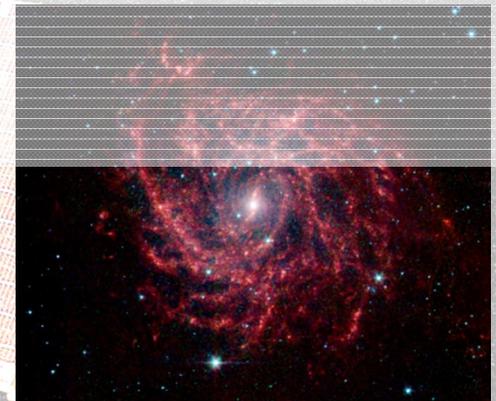
- *Increased science data return*
- *"Virtual Presence" for public engagement*



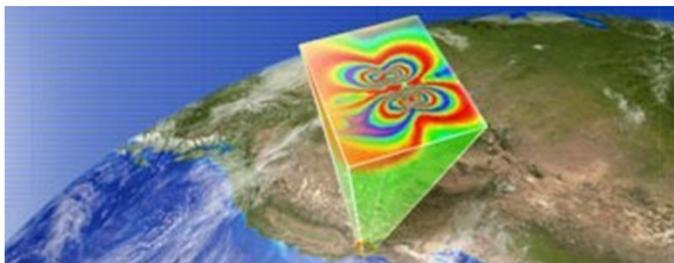
Human Exploration Beyond Low-Earth Orbit



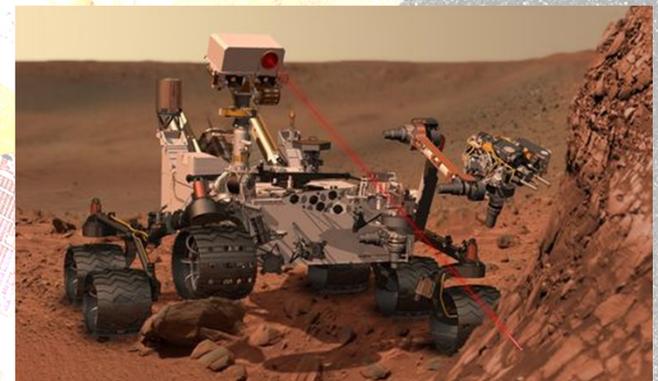
Future Advanced Instruments



10X Increased Imaging Resolution for Astrophysics



10X Increased Resolution Imaging for Earth Science



Tele-Presence with Live HiDef Video

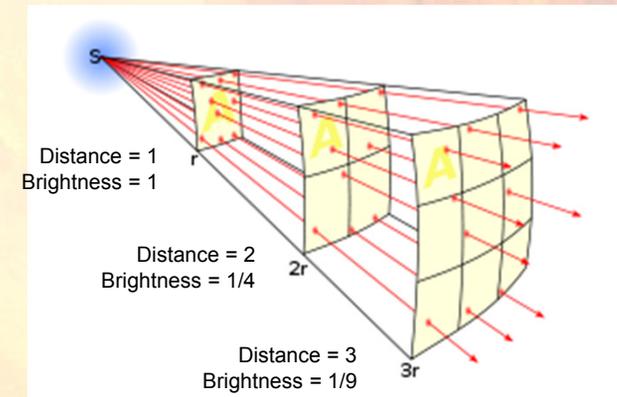


How Optical Improves Over RF

- As a beam (RF or optical) propagates from transmitter to receiver it illuminates an area proportional to the distance-squared
 - "Range-Squared Loss" or "Inverse-Square Loss"
- Basic telecommunications tenet (RF or optical):

In a well-designed system data rate is proportional to received power

- Thus, the same data rate at 10X the distance requires either:
 - ***100X the area*** of the receiver antenna (10X the diameter); or
 - ***100X more power*** transmitted; or
 - ***10X narrower*** transmitted beam



- The "optical advantage": **beam width = wavelength / antenna diameter**
 - Example: Beam width from 30 cm optical antenna at 1550 nm is ~600X narrower than the beam width from a 300 cm RF antenna at 9.2 mm (32 GHz, Ka band)

$$\theta = \lambda / D$$



Space Optical Communications Status

• Near Earth

- Successful flight demonstration missions by US DoD, ESA, and JAXA
- ESA is going operational for LEO/GEO
 - **One GEO (2 Gb/s) and two LEO (5.6 Gb/s) terminals to be launched in CY2012**

• Cis-Lunar

- Successful beam pointing, but no real communication link
- *NASA plans to demonstrate Earth-moon optical communications in 2013*

• Deep Space

- *No deep space optical communications*
- NASA is at the technology forefront for deep space optical

• Lasercom from Earth-orbit is transitioning to operations

➤ *No deep space optical communications has yet been demonstrated*

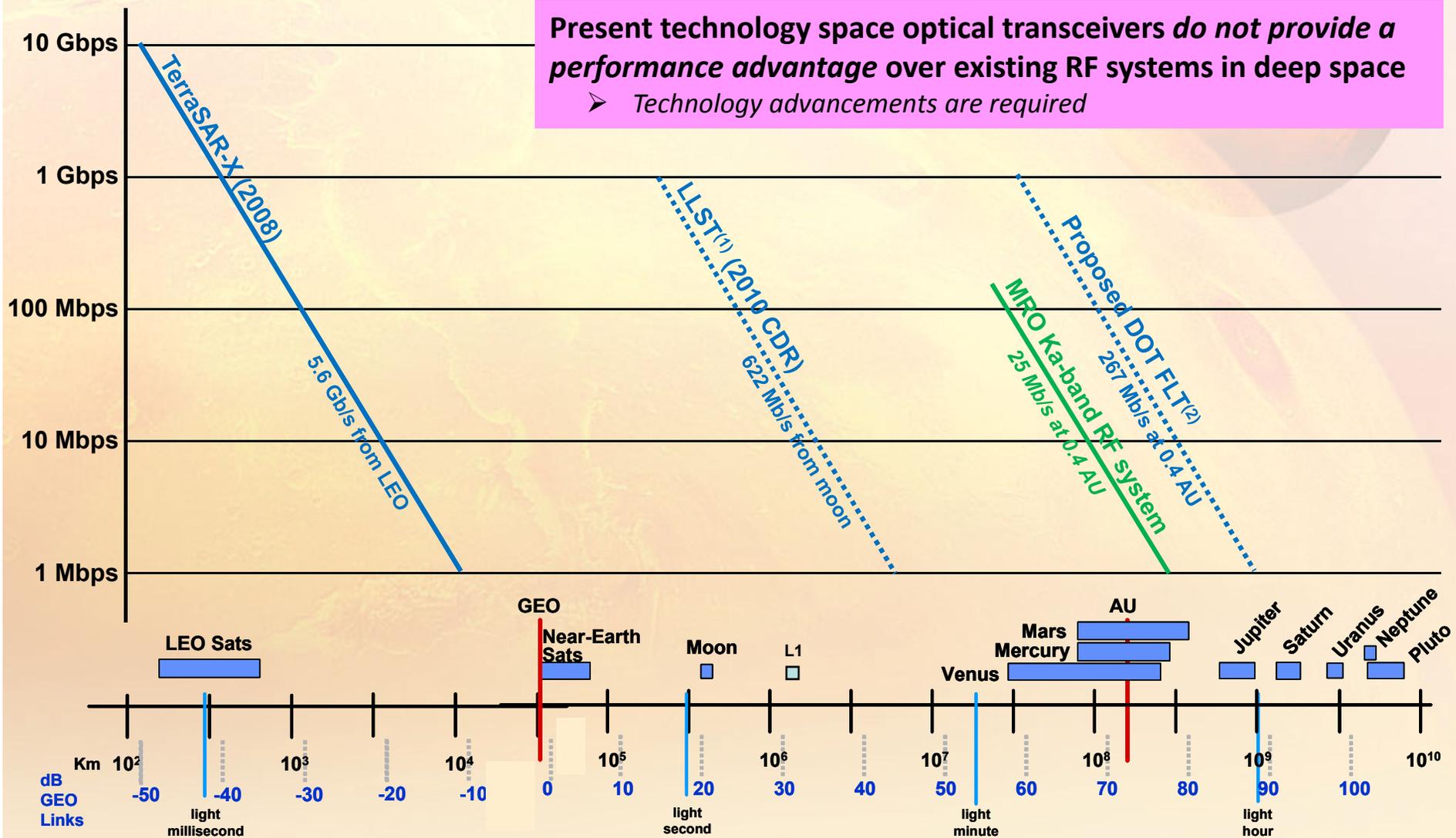
Domain	NASA /JPL	Lincoln Lab	NASA/ GSFC	Europe	Japan
Deep Space	1992 ¹		2005 ⁵		
Cis-Lunar		(2013 ⁹)	2009 ⁸		
GEO	1995 ²	1999 ⁴		1999 ⁶	1995 ²
LEO-GEO				2005 ⁶	2005 ⁶
LEO-LEO				2008 ⁷	
LEO	2009 ³			2006 ³ 2010 ⁷	2006 ³

1. Laser pointing to Galileo spacecraft
2. LCE on ETS VI spacecraft
3. OICETS spacecraft
4. GeoLITE
5. Laser pointing from Messenger spacecraft
6. SILEX
7. NFIRE - TerraSAR-X
8. Calibration of LOLA on LRO
9. (planned LLCD)



Space Telecommunications

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¹LLCD: MIT-LL Lunar LaserCom Space Terminal, July 2010 CDR

²DOT FLT: JPL Deep-space Optical Terminals Flight Laser Transceiver, August 2010 Concept Review



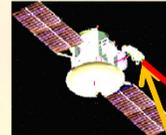
Deep Space Optical Challenges

Challenges of deep space optical over demonstrated near-Earth solutions

- **Pointing:** Must point downlink from Deep Space transceiver (DST) using a $\sim 10,000X$ dimmer uplink beacon across 100X greater round-trip light time (RTLTL)
 - Requires improved spacecraft disturbance isolation to be able to acquire the dim beacon
 - Requires deep-space focal plane architecture with ultra-sensitive detector arrays for beacon tracking and point-ahead confirmation without handshaking
- **Modulation:** Use high order Pulse Position Modulation (PPM 16..512) and power-efficient multi-Watt lasers to overcome huge signal loss
 - $\sim 10,000,000X$ greater loss at Mars far range than moon requires $>300 W$ peak power lasers
 - Laser amplifier is largest power consumer on DST
- **Detection:** Must shift burden from DST by using $> 10 m$ diameter telescopes on Earth
 - Requires large ($\sim 1 mm^2$) photon counting detector arrays behind telescope due to atmospheric blurring ($> 50\%$ detection efficiency desired)

Deep Space Transceiver

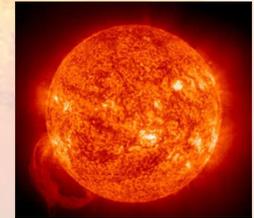
Large distance
 Large $1/R^2$ range loss
 Large $2R/c$ round-trip light time (RTLTL)



REQUIRES:

- Stable / isolated platform
- Efficient uplink detector
- Efficient PPM transmit laser
- Sub-microradian pointing

Sun



Can be in field of view

Primary source of optical noise

Downlink

- Stabilized by disturbance isolation system & uplink beacon tracking
- Gb/s return link data
- Ranging

Point-Ahead Angle

Uplink

- Blind points to spacecraft
- Aids downlink pointing
 - Reference for removal of S/C jitter
 - Reference for point-ahead angle
- Mb/s forward link data
- Ranging



Earth at T_1



Earth at $T_1 + RTLTL$

REQUIRES

- Multi-kW power uplink lasers
- $> 10 m$ optical receiver apertures
- Efficient downlink detectors



Key Technologies for Operational Deep Space Optical Communications

Jet Propulsion Laboratory
California Institute of Technology

- **Objective: Develop key technologies for a deep space optical transceiver with 10X data rate of state of the art (Ka-band) RF system**
 - With similar spacecraft mass & power burden
- **No deep space optical system has yet been built!**
 - Although a deep space optical transceiver *could* be built with existing technologies, the mass & power performance is not competitive with existing deep space RF telecom systems

Assembly or Sub-Assembly	Savings with Technology Development	Comment
Space Telescope	minimal	Unless apertures > 50 cm required (multi-Gb/s at Mars far range)
Space Electronics	minimal	Some mass and power savings with ASIC development (\$\$\$)
1550 nm PPM space laser transmitter	Reduce transmitter mass $\frac{1}{3}$, power by $\frac{1}{2}$	Similar mass/power gains presently achievable if downlink wavelength shifted to 1070 nm
Spacecraft Disturbance Isolation	~20% of space transceiver mass	Existing disturbance isolation systems not optimized for low mass (<~20 kg) payloads
Space Receiver Detector Array	10X reduction in uplink irradiance	Also enables >10 Mb/s Earth to deep space optical links
Pointing Mechanisms	minimal	Multiple commercial solutions exist
Ground Telescope	~\$50M per deep space optical site	Existing assets sufficient for deep space tech demo mission; > \$50M to develop first dedicated deep space optical site
Ground Electronics	minimal	Existing solutions operate within 2 dB of theoretical performance
Ground Receiver Detector Array	Reduce space transmitter mass and power by $\frac{1}{2}$	Doubles deep space to Earth data rate with no change to space transmitter laser power
Ground laser transmitter	minimal	But ground laser NRE investment needed for > 1 kb/s Earth to deep space optical rates

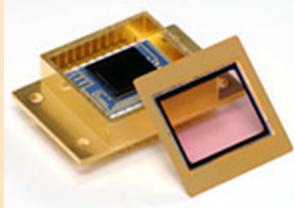
space
↑
↓
ground



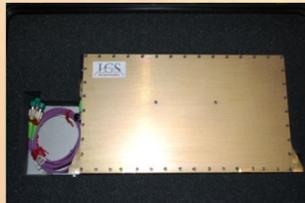
Key Technologies Objectives versus State of the Art (SotA)



Isolator bipod with 20 dB isolation at 5 Hz (TRL 6)



InGaAs array, 10 pW/m² for 0.02 pixel centroiding error (TRL 6)



PPM laser transmitter with 10% efficiency (TRL-6)



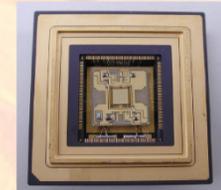
Intensified photodiode (30% efficient, TRL 6)

SotA

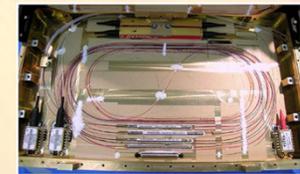
Parameter	Requirement		Goal	Notes
	TRL 5-6 SOTA	Deep Space		
Space Receiver irradiance	~10 pW/m ²	~1 pW/m ²	1 pW/m ²	Reduces laser beacon power from MW to kW
Space Receiver array size	2x2	32x32 to 128x128	32x32	Near-Earth does not require single photon detectors
Space Laser transmitter bandwidth	10 GHz	5 GHz	-	Deep space requirement is met by SOTA performance
Space Laser DC-Optical Power Efficiency	~34% 1064 nm ~13% 1550 nm	> 20%	20% for 1550 nm	Driver is to reduce power and mass burden on spacecraft
Spacecraft Disturbance Isolation below ~3 Hz	-	> 20 dB	> 27 dB	Operate with dim laser beacon; low payload mass makes passive isolation difficult; precision IRU is high mass/power
Ground Receiver detector dia. / efficiency	1 mm / 30% .014 mm / 60%	1 mm / 50%	1 mm / 60%	Must maintain this sensitivity at Gb/s data rates



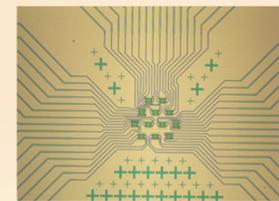
< 1 Hz resonant active/passive isolation strut



Photon counting array, 1 pW/m² for 0.02 pixel centroiding error



PPM laser transmitter for >20% efficiency



Large superconducting nanowire arrays with > 60% efficiency

Goal

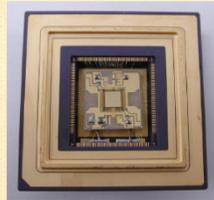


Deep Space Optical Flight Technologies

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Photon Counting Space Receiver

Detector Array



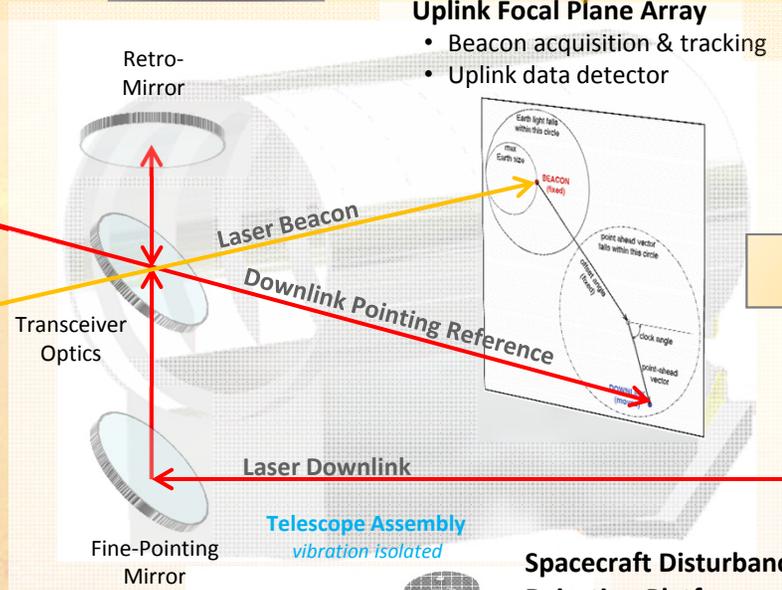
Photon Counting Space Receiver

Simulation, Brassboard & Testbed



Uplink Focal Plane Array

- Beacon acquisition & tracking
- Uplink data detector



Earth at $T_1 + \text{RTL}$

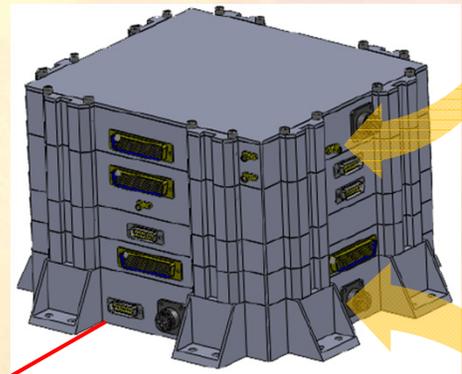


Downlink

Uplink



Earth at T_1



Laser & Electronics Assembly
not vibration isolated

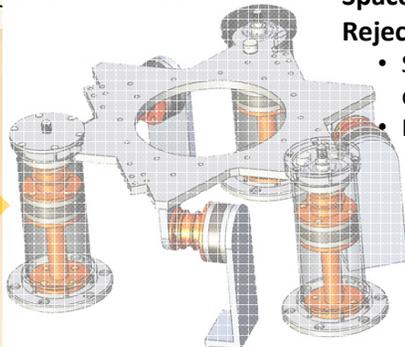
Spacecraft Disturbance Rejection Platform

Low-Frequency Vibration Isolation Platform & Test Facility



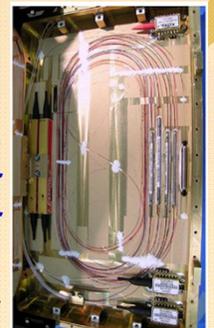
Spacecraft Disturbance Rejection Platform

- Spacecraft disturbance isolation
- Boresight pointing



Efficient Deep Space PPM Laser Transmitter

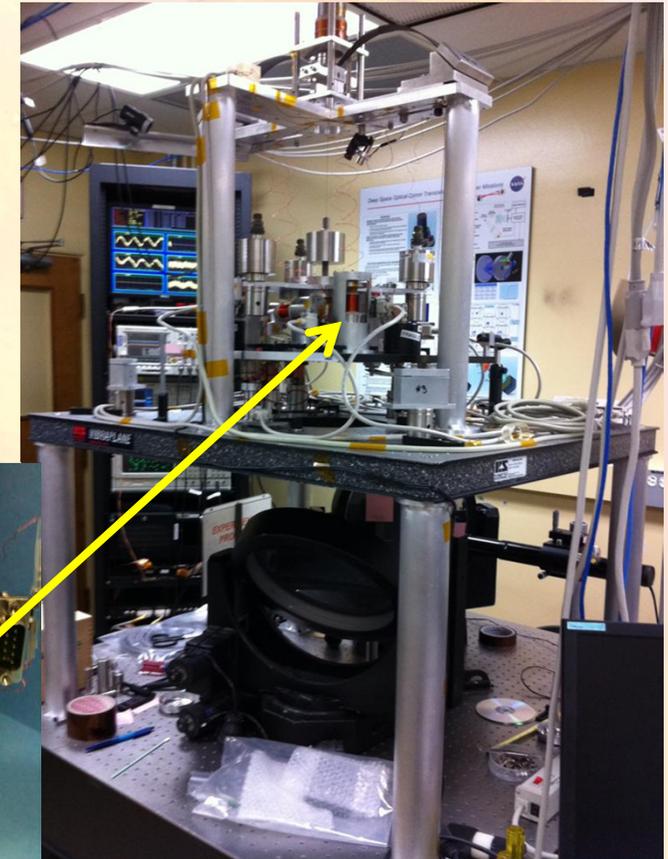
1530 nm - Pumped PPM Laser Amplifier





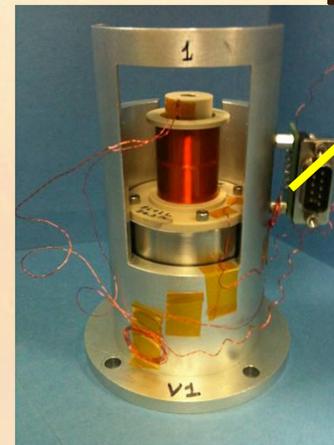
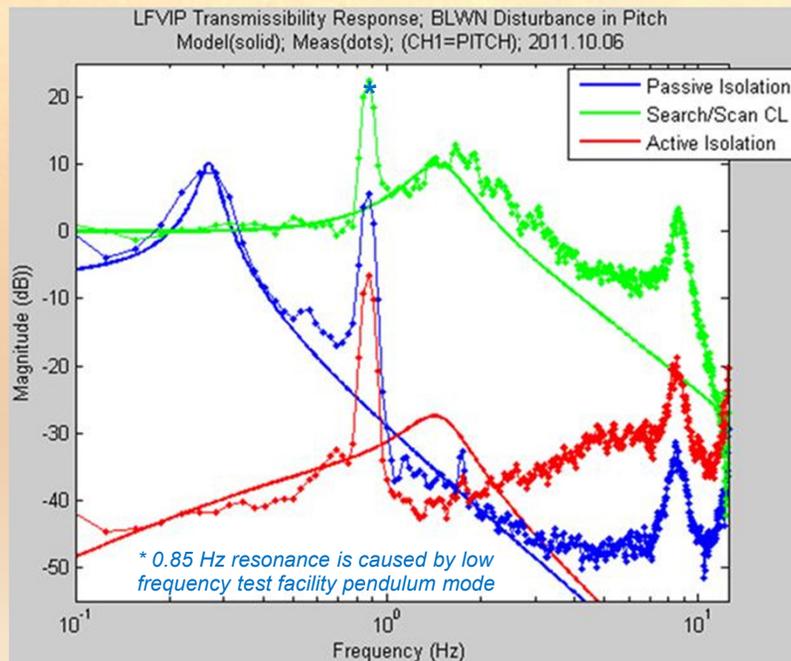
Spacecraft Disturbance Isolation

- Hybrid active-passive disturbance isolation platform has with greater than 25 dB rejection (0.1 – 12.5 Hz) in pitch & yaw
 - Passively isolates and actively suppresses disturbances
- **Benefit: Reduce subsystem mass from 10.2 kg (MLCD¹ 2005 PDR) to 3.5 kg (DOT² 2010 ICR)**



Low Frequency Vibration Isolation Platform & Test Facility

Test facility implements gravity off-load and spacecraft disturbance emulation



Hybrid Active-Passive Isolation Strut

Highly compliant spring + voice coil actuator and LVDT sensor

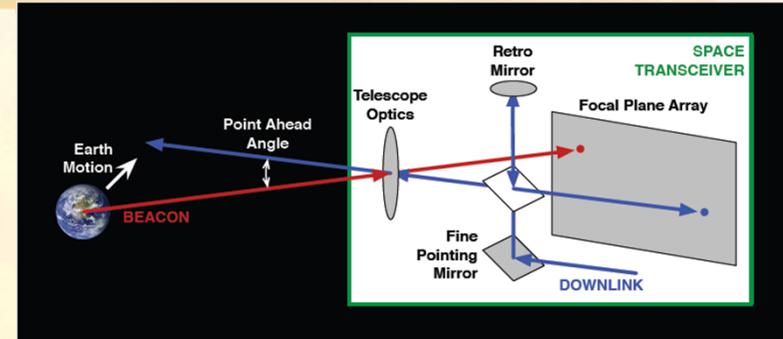
¹Mars Laser Communications Demonstration
²Deep-space Optical Terminals



Photon Counting Space Receiver

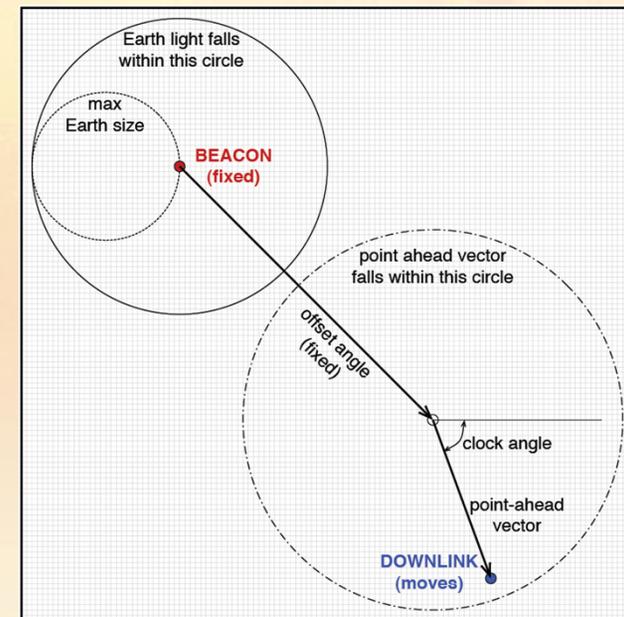
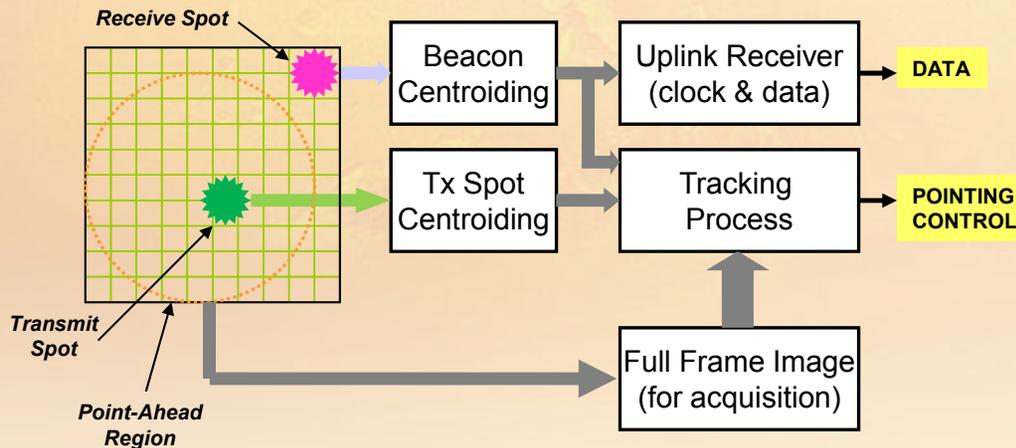
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- **Single focal plane array of single photon detectors for acquisition, tracking, communications, and precision ranging**
 - Shot noise limited detection for 10X to 100X better performance than a conventional focal plane array
- **Benefit: 10X lower uplink laser power and uplink data rate increases from < 100 b/s (Si CCD or InGaAs FPA) to multi-Mb/s**



Photon counting array tracks beacon laser, Earth image, and transmit point-ahead with only one optical channel

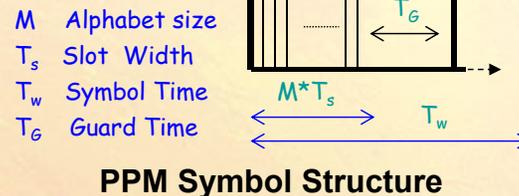
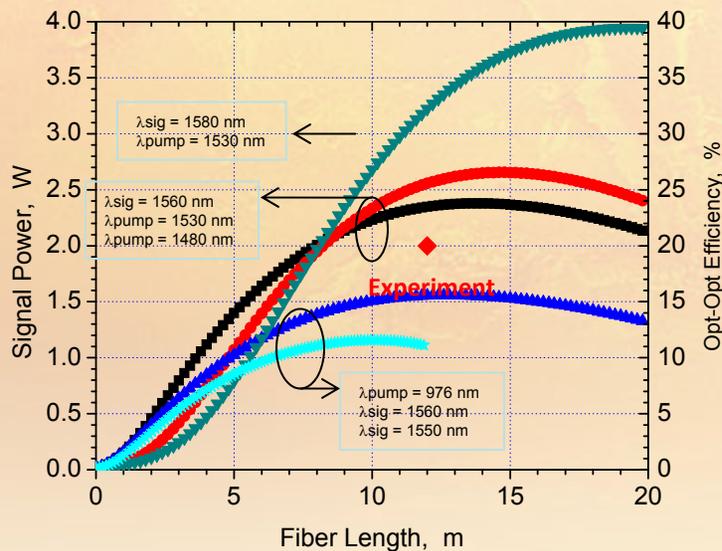
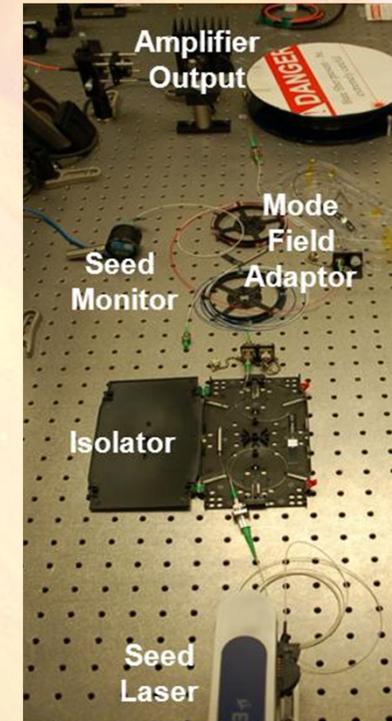
Versus two or three for previous deep-space optical transceiver designs





Efficient 1550 nm PPM Transmitter

- 1530 nm pumped laser amplifier suitable for high order (> 64 slots per symbol) deep space Pulse Position Modulation (PPM)
- **Benefit: 2X power efficiency with 2/3 mass of present 976 nm pumped technology**
 - Increase DOT¹ downlink rate 2X to > 500 Mb/s for similar mass & power



JPL results plus recent AFRL results indicate >25% efficient 1.5 μm PPM deep space laser is viable

Pathway to >20% DC-optical Efficiency

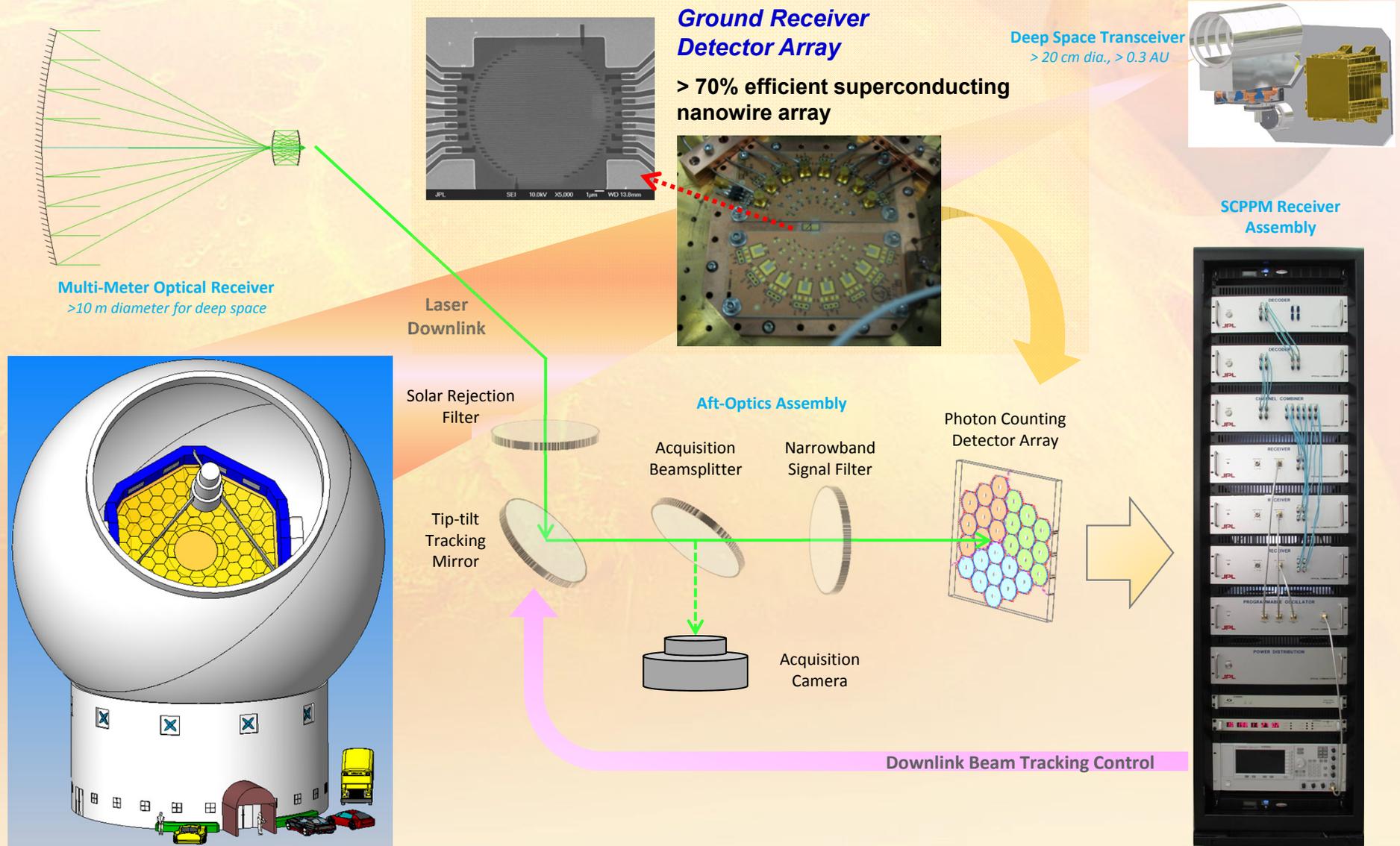
- Incorporate ≥40% efficient wavelength stabilized pump diodes
- Develop comprehensive time-dependent model including nonlinearities to optimize PPM fiber amplifier design
- Use single mode fiber with reduced bend sensitivity, increase seed power, and optimize pump orientation

¹Deep-space Optical Terminals



Deep Space Optical Ground Technologies

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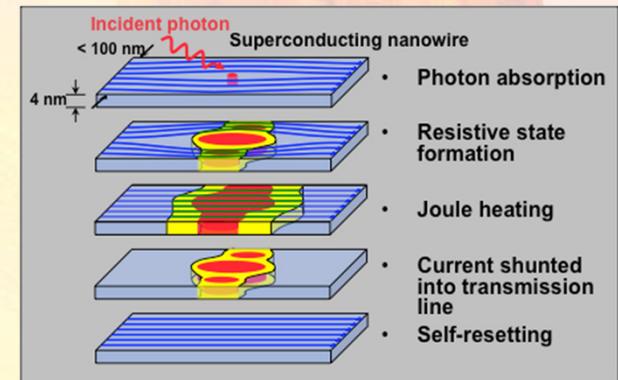




Ground Receiver Detector Array

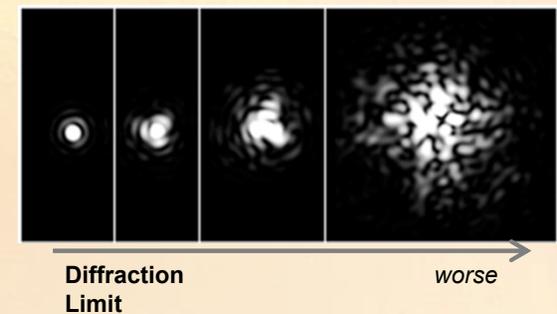
- **Superconducting nanowire single photon detectors (SNSPD) presently offer the highest performance for photon starved optical communications at near-infrared optical communications wavelengths**

- ~4 nm thick by ~100 nm wide superconducting wire biased just below the critical current
- Absorbed photon breaks a large number of quasi-particles
 - *Locally depresses the critical current of the wire*
 - *If the bias current exceeds the critical current, the hot-spot rapidly goes normal*
 - *Drop in current is read out as a voltage across a load*



- **Atmospheric “seeing” requires mm² sized arrays coupling to multi-meter optical receivers, versus the μm² size fiber coupled arrays developed to date**

- Diffraction limit = $2.44 F \lambda$
 - *F is focal ratio, λ is the receive wavelength*
- Focal spot size \approx (Diffraction limit) x (D/r_0)
 - *D is telescope aperture* [$F = (\text{focal length}) / D$]
 - *r₀ is atmospheric coherence size* ["seeing" = λ/r_0]



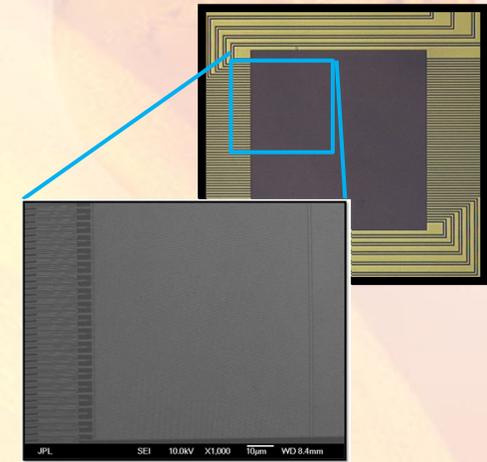
Example: 5 a.s. seeing, 12 m aperture, F1.3 → ~1 mm minimum detector diameter



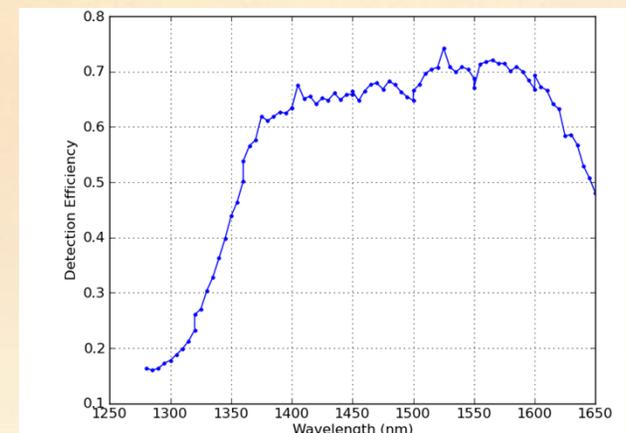
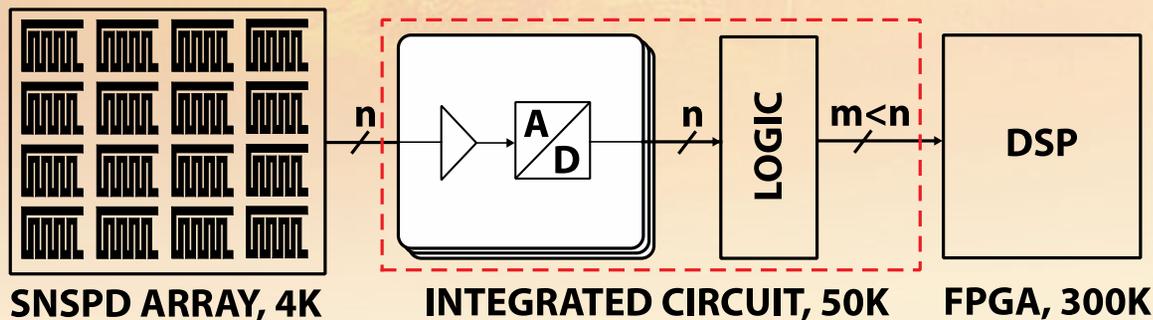
$W_{(1-x)}Si_x$ Nanowire Array Development

Jet Propulsion Laboratory
California Institute of Technology

- **SNSPDs in new $W_{(1-x)}Si_x$ material system**
 - High efficiency across broad range of bias currents is favorable for tolerance to manufacturing variances versus problems with constrictions in previous Nb(Ti)N SNSPD technology
 - Collaborative development at NIST (Boulder, CO) and JPL
- **Present Status**
 - Fabricating high quality $W_{(1-x)}Si_x$ films at JPL
 - Fabricating single pixel to 64 pixel dense-packed arrays at JPL
 - First tests of $W_{(1-x)}Si_x$ JPL detectors conducted at NIST
 - Multi-pixel tests pending installation 3He insert in the Cryogenic Array Test System at JPL in March
- **Benefit: Double downlink data rate with no increase in spacecraft burden**



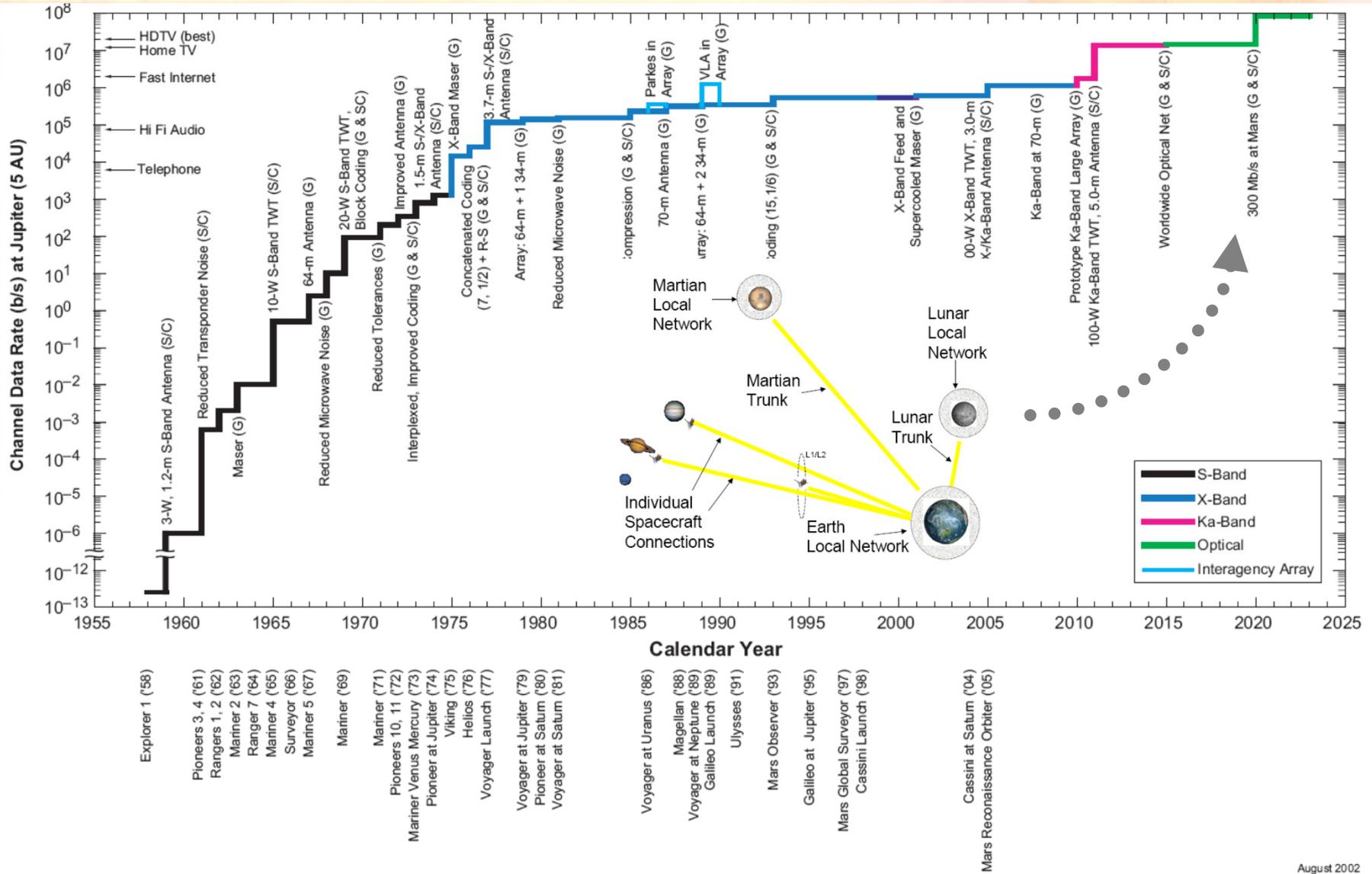
Optical and SEM image of 64 pixel dense-packed $W_{(1-x)}Si_x$ array
Total array area is $160 \times 160 \mu m^2$





Deep Space Communications Capability

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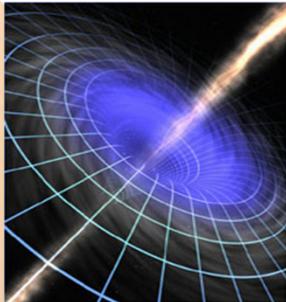
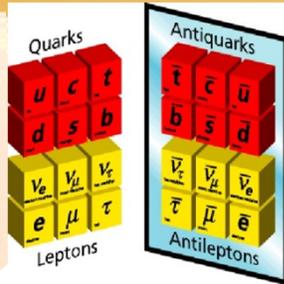


August 2002



Other Benefits of Technology to NASA

Jet Propulsion Laboratory
California Institute of Technology



- Optical Light Science
 - Tests of physics beyond the standard model
 - Tests of time variation of fundamental physics constants
- Precision ranging (cm and mm scale) for planetary studies and astrophysics
 - Determination of planetary interiors
 - Tests of Parametric Post-Newtonian gravitational theories
 - Tests of strong and weak equivalence principles
- Improved vibration isolation for high resolution cameras
 - Longer integration times without blurring
- Ultimate sensitivity cameras for near-infrared imaging
 - Smaller apertures for high sensitivity planetary imaging



Summary

- **Space optical communications can deliver 10-100X higher data rates for the same mass and power as present RF systems**
 - Challenges unique to optical communications have been demonstrated at GEO and LEO, but still need validated solutions for NASA missions
- **Deep space is a significantly more difficult domain than Near-Earth for implementation of optical communications**
 - NASA unique solutions are required to close the "performance gap"
- **Advancement of a few key technologies** will enable a Space Optical Transceiver with Size, Weight and Power (SWaP) attractive to missions
 - 10X data rate performance of Ka band RF for similar Size, Weight, and Power

The work described herein was performed at the Jet Propulsion Laboratory, California Institute of Technology under contract with the National Aeronautics and Space Administration

