

**IGARSS 2003**

***The Earth System Science Pathfinder  
Orbiting Carbon Observatory (OCO)  
Mission***

**David Crisp (JPL)**

**OCO Principal Investigator**

**and the OCO Team**

**HERITAGE • LOW RISK • AMPLE MARGINS • ALL US MISSION • SINGLE PASSIVE INSTRUMENT • COST RESILIENCY**

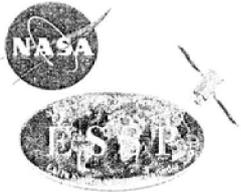
**Orbiting Carbon Observatory (OCO)**

**JPL** *Orbital*



**Hamilton Sundstrand**  
A United Technologies Company

**1 of 23**  
July 2003



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**Why CO<sub>2</sub>?**



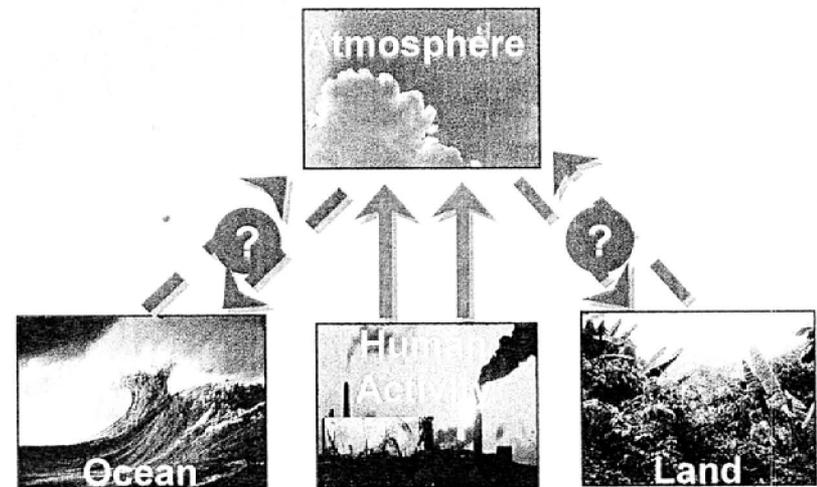
**Carbon dioxide (CO<sub>2</sub>) is the**

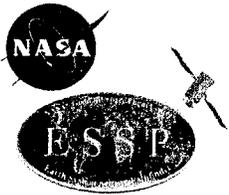
**Primary atmospheric component of the global carbon cycle**

**Primary anthropogenic driver of climate change**

**Only half of CO<sub>2</sub> produced by human activities over the past 30 years has remained in the atmosphere.**

- Where are the sinks?
- Will this process continue?

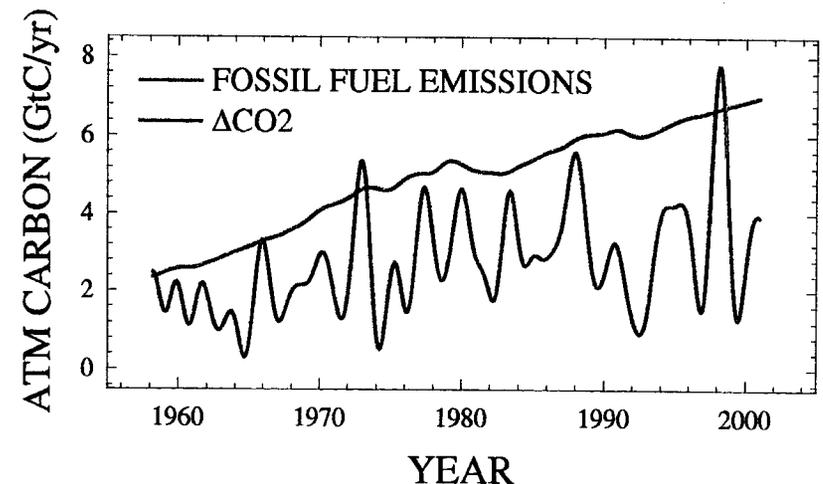
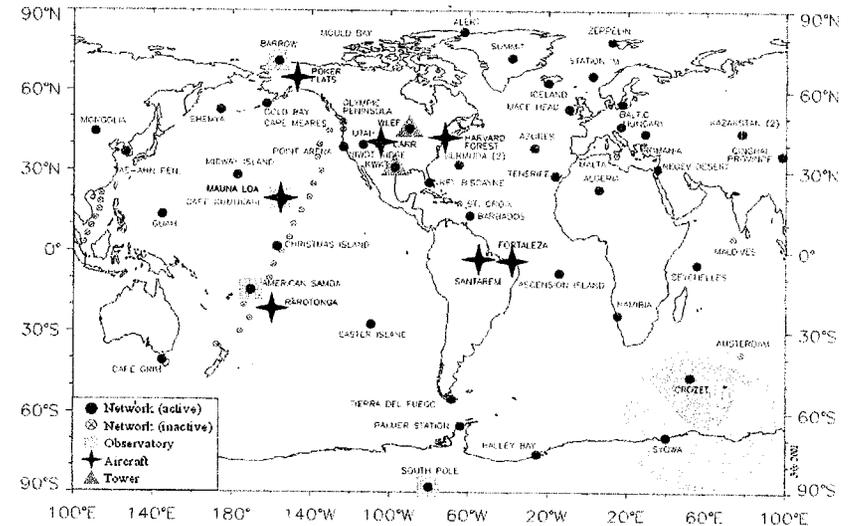


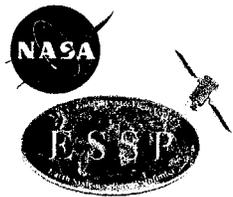


# What Processes Control CO<sub>2</sub> Sinks?



- **Our current understanding of atmospheric CO<sub>2</sub> is based primarily on**
  - Measurements from ~120 surface stations
  - Coupled carbon-cycle/chemical transport models that derive CO<sub>2</sub> sources and sinks from spatial and temporal gradients in the CO<sub>2</sub> abundance
- **Outstanding Issues:**
  - Why does the atmospheric buildup vary substantially with uniform emission rates?
  - What are the relative roles of the oceans and land ecosystems in absorbing CO<sub>2</sub>?
  - What are the relative roles of North America and Eurasia?
  - How will sinks respond to climate change?
- **Improved measurements are needed for**
  - Reliable predictions of future CO<sub>2</sub> concentrations and their climate impacts





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# OCO Science Team



**David Crisp, PI**  
**Charles Miller, Deputy PI**

## Education

### Retrieval Algorithms

D. O'Brien  
Z. Kuang  
G. Stephens  
G. Toon  
Y. Yung



CSIRO

NIWA

Teihuro Nukurangi

### Cal/Val

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P. Ciais  
B. Connor  
C. Miller  
R. Salawitch  
S. Sander  
P. Tans  
P. Wennberg  
S. Wofsy

### Source/Sink Modeling

R. Atlas  
S. Doney  
I. Fung  
D. Jacob  
S. Pawson  
J. Randerson  
P. Rayner



### Ground Data System Interface

B. Sen



UMBC

Berkeley

JPL

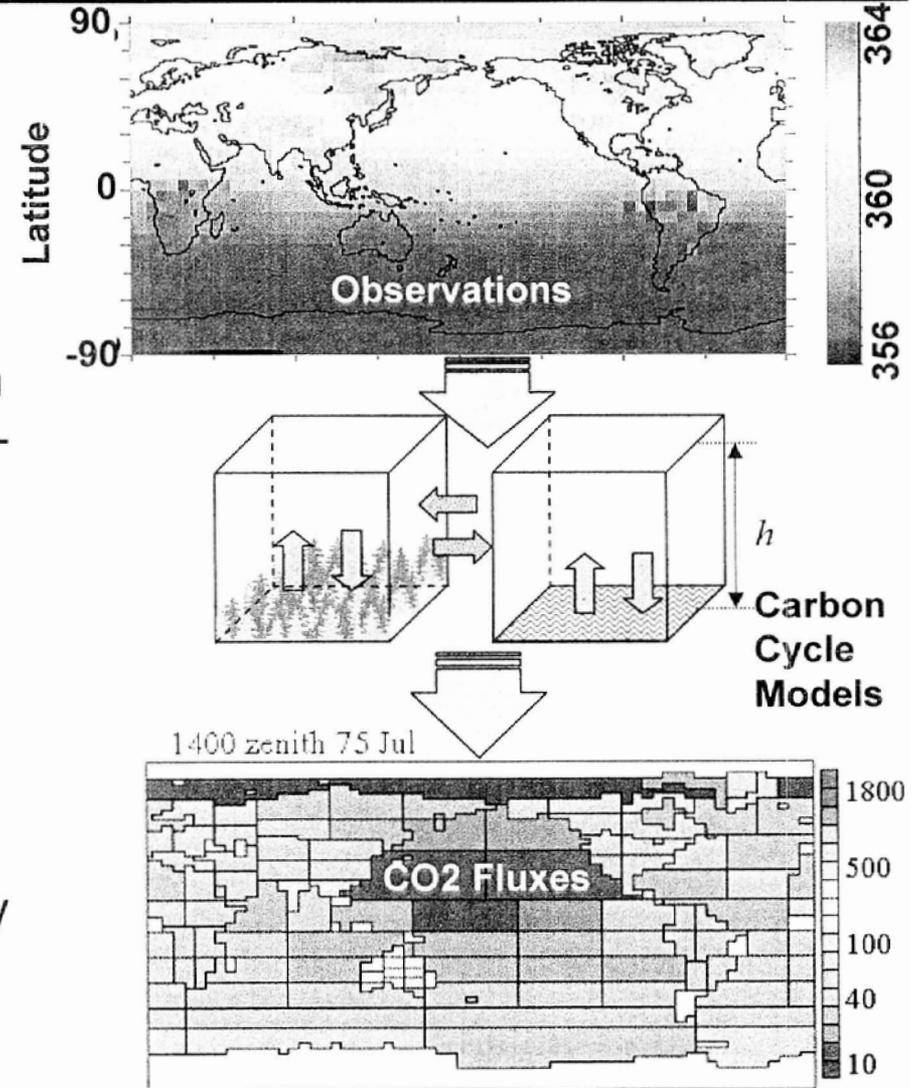


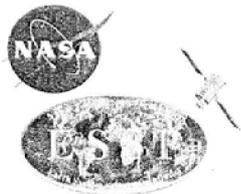


# Space-Based Measurements of CO<sub>2</sub>

## The Orbiting Carbon Observatory will:

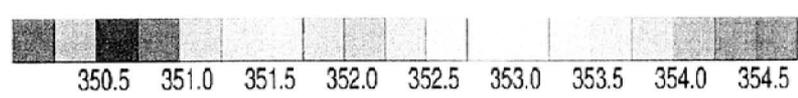
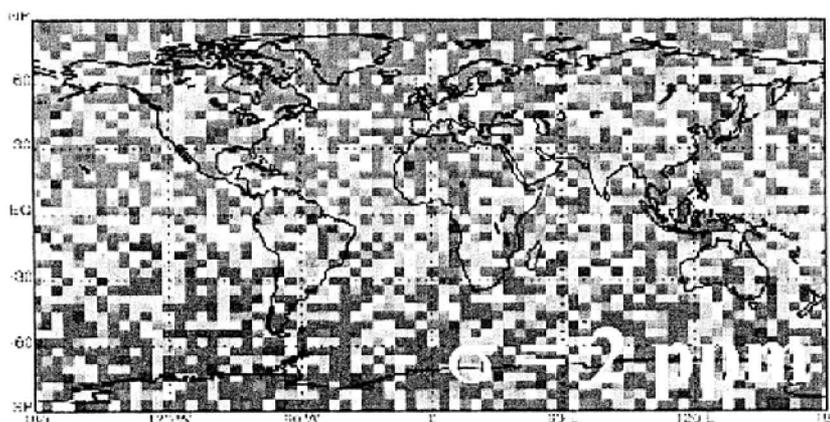
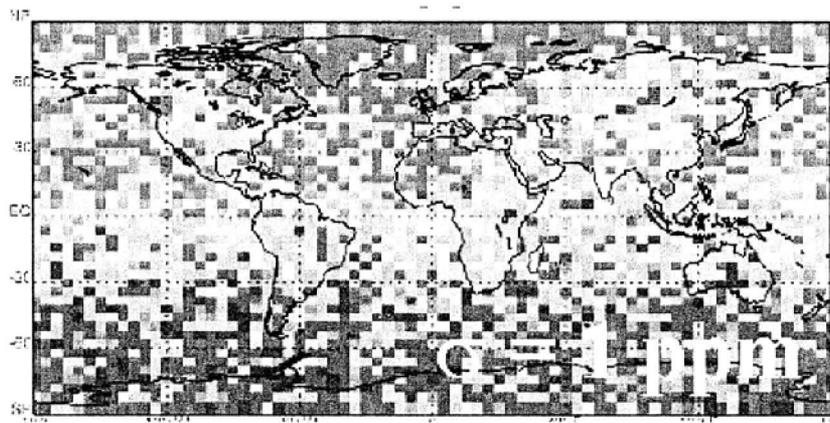
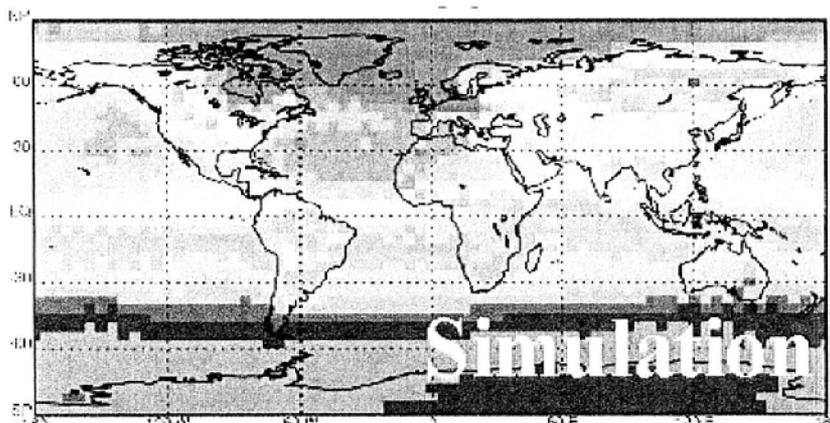
- Collect precise, spatially-resolved global measurements of the CO<sub>2</sub> column averaged dry air mole fraction,  $X_{CO_2}$
- Use independent calibration and validation approaches to identify and correct regional-scale systematic biases and random errors in the  $X_{CO_2}$  product.
- Combine the space-based  $X_{CO_2}$  measurements with other environmental measurements in carbon cycle models to characterize the geographic distribution and magnitude of CO<sub>2</sub> sources and sinks on regional to continental scales at monthly intervals





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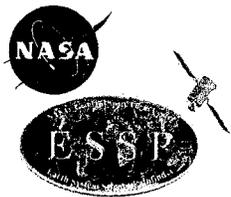
# Driving Requirement: Precise, Bias-Free Global Measurements



Space-based  $X_{CO_2}$  measurements could revolutionize our understanding of the geographic distribution of  $CO_2$  sources and sinks if these measurements

- are acquired globally (land and ocean)
- have random errors  $<0.3\%$  ( $\sim 1$  ppm out of 370 ppm)
- have no significant systematic bias on regional to continental scales





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# Making Precise CO<sub>2</sub> Measurements from Space

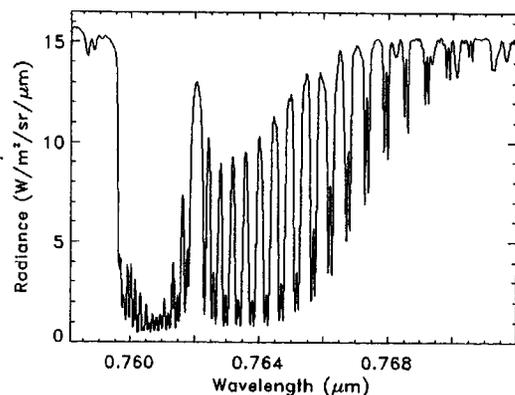
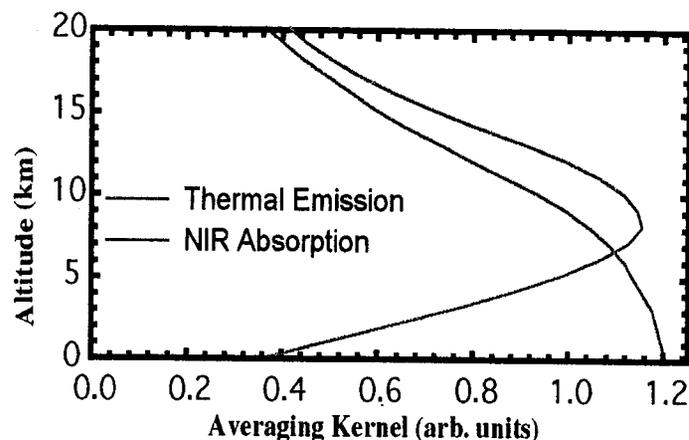


- High resolution spectra of reflected sunlight in near IR CO<sub>2</sub> and O<sub>2</sub> bands used to retrieve the column average CO<sub>2</sub> dry air mole fraction,  $X_{CO_2}$

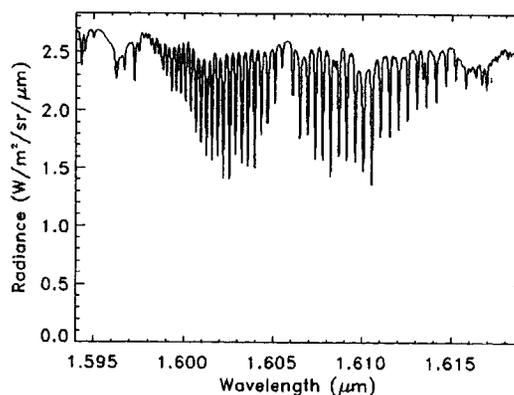
- Column-integrated CO<sub>2</sub> abundance
  - Maximum contribution from surface
- Other data needed (provided by OCO)
  - Surface pressure, albedo, atmospheric temperature, water vapor, clouds, aerosols

## Why high spectral resolution?

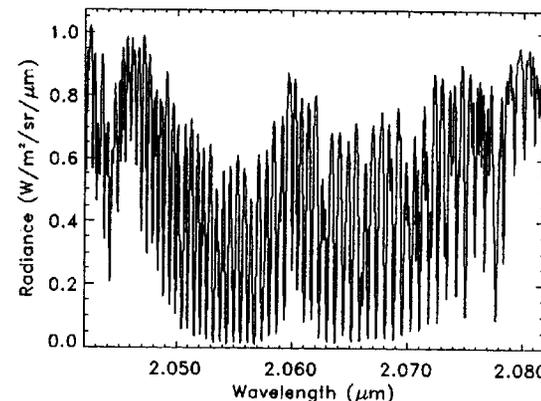
- Lines must be resolved from the continuum to minimize systematic errors



Clouds/Aerosols, Surface Pressure



Column CO<sub>2</sub>



Clouds/Aerosols, H<sub>2</sub>O, Temperature





# OCO Spatial Sampling Strategy

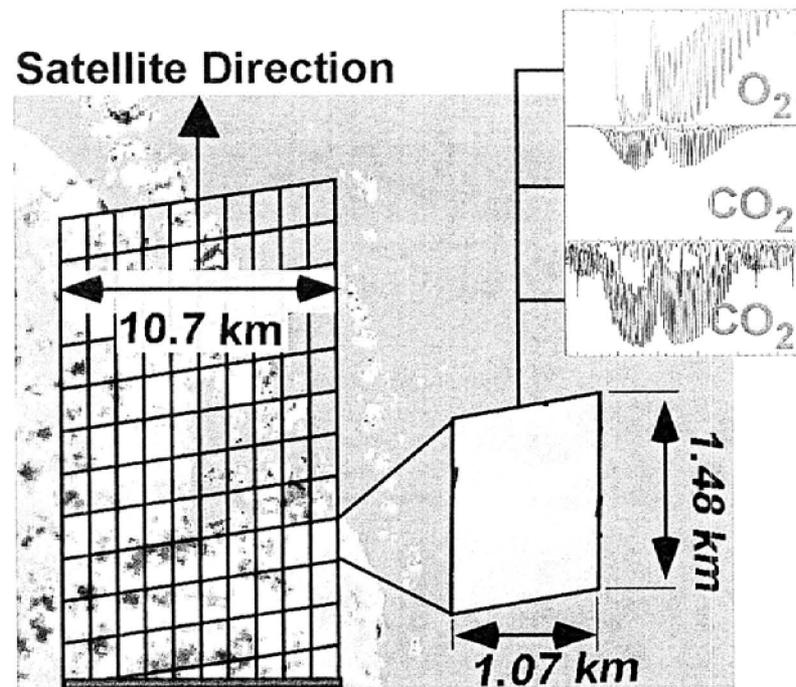
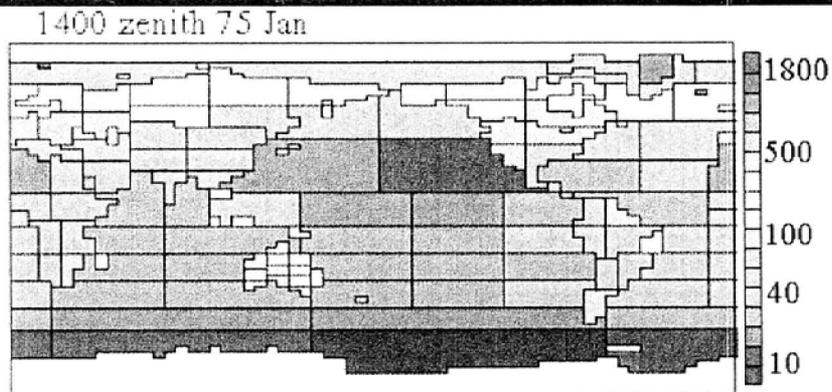


- **The spatial sampling strategy is designed to provide precise, bias-free estimates of  $X_{CO_2}$  on regional scales at monthly intervals**

- Chemical Transport Models infer sources and sinks from spatial and temporal gradients in  $X_{CO_2}$
- Atmospheric motions mix  $CO_2$  over large areas as it is distributed through the column

- **OCO collects  $X_{CO_2}$  soundings at high spatial resolution**

- Maximizes the number of cloud-free samples in partly cloudy regions
- Minimizes systematic errors due to spatial inhomogeneities within each measurement footprint

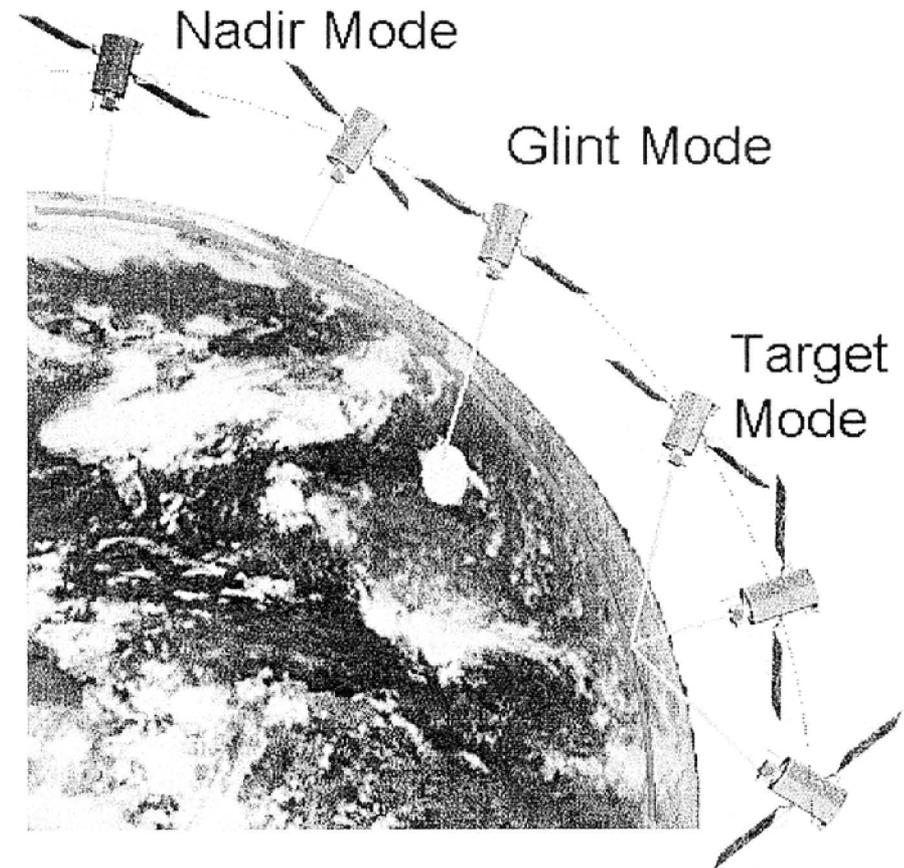


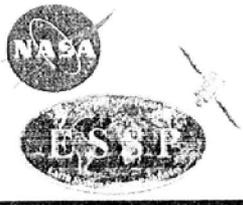


# OCO Observing Modes



- **OCO employs independent measurement approaches to**
  - Maximize signal to noise
  - Minimize systematic biases
- **Three observing modes:**
  - Nadir mode
    - Footprint area  $< 3 \text{ km}^2$  to isolate cloud-free scenes and minimize other spatial inhomogeneities
    - Provides thousands of samples on regional scales
  - Glint Mode
    - Improved Signal/Noise over oceans
  - Target Mode
    - Large numbers of observations over surface calibration sites

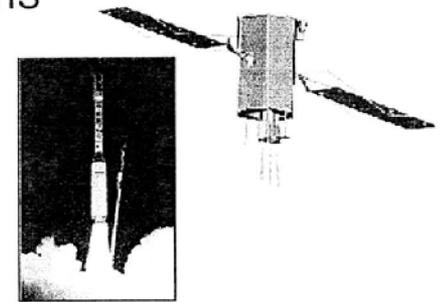
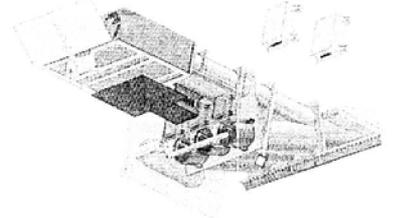
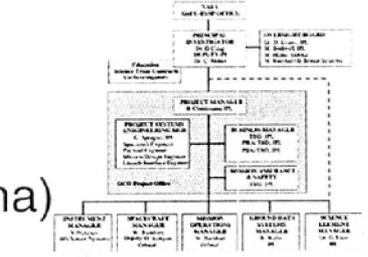
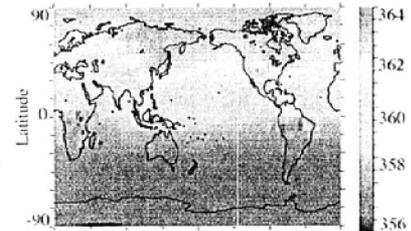




# Implementation Approach



- **International Science Team**
  - Atmospheric remote sensing and spectroscopy
    - JPL, Bremen, CSU, CSIRO, NIWA
  - Carbon Cycle Science
    - NOAA CMDL, GSFC, CSIRO, LSCE, UC Berkeley, Harvard, Woods Hole, UMBC, Caltech
- **JPL Management oversight**
  - Project Management and Mission Assurance (Bharat Chudasama)
  - System Engineering and Mission Planning (George Sprague)
- **Industry Team Members Provide Spacecraft and Instrument**
  - Ensures rapid infusion of capabilities into US industry
    - Essential to enable future operational missions (NOAA/IPO)
  - Instrument Provider: UTC Hamilton Sundstrand Sensor Systems
    - Delivered the last 4 TOMS instruments
    - Experience with CloudSat O<sub>2</sub> A-Band spectrometer design
  - Spacecraft Provider: Orbital Sciences Corporation
    - Based on LEOStar-2 Bus





# The OCO Instrument



- **Three bore-sighted, high resolution, grating spectrometers**

- CO<sub>2</sub> 1.61 μm band
- CO<sub>2</sub> 2.06 μm band
- O<sub>2</sub> 0.765 μm A-band

- **Similar optics and electronics**

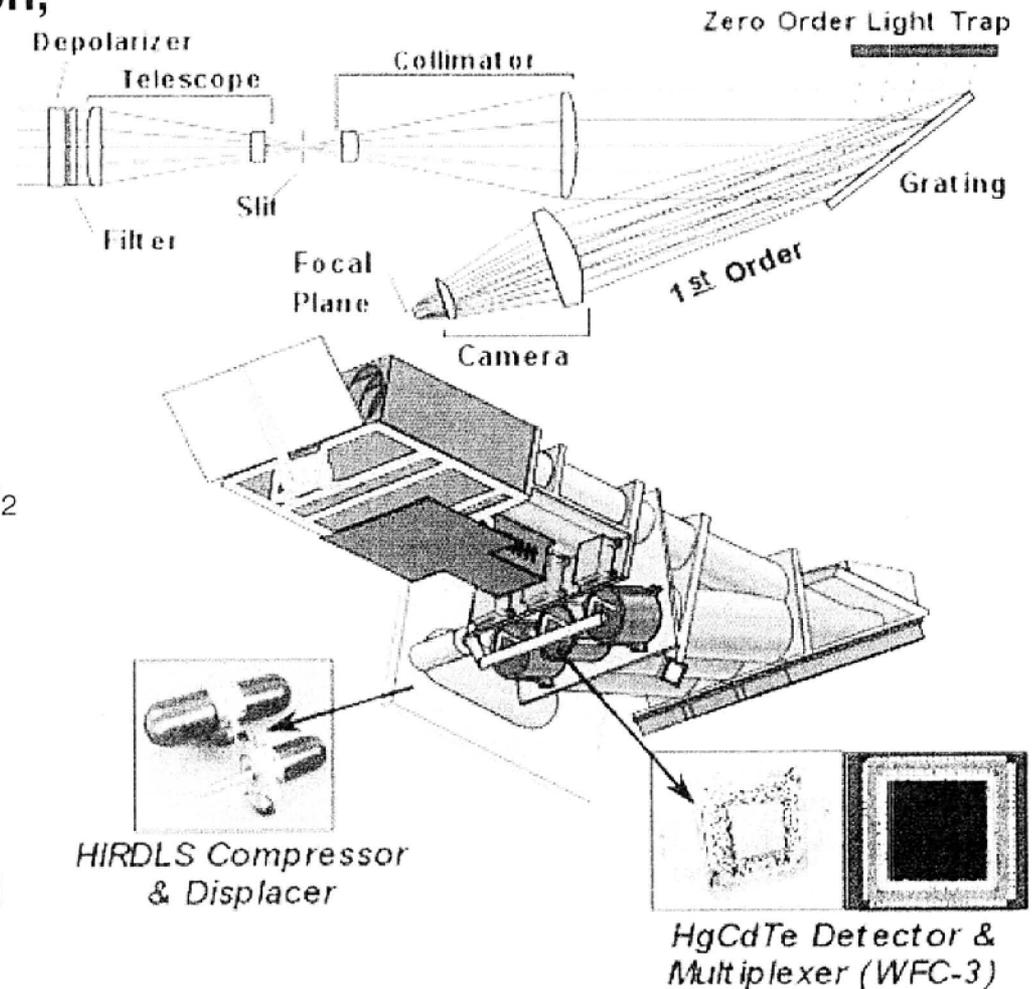
- 200 mm f/2 refractive optics
- Spectral Resolution ~20,000
- RSC Hawaii HgCdTe FPAs for CO<sub>2</sub> channels
- RSC HyViSI FPA for O<sub>2</sub> channel

- **Existing Designs For Critical Components**

- Hubble WFC-3 detectors
- Build-to-Print Aura HIRDLS cooler

- **Provided by Hamilton Sundstrand Sensor Systems (Pomona CA)**

- Provided last 4 TOMS instruments

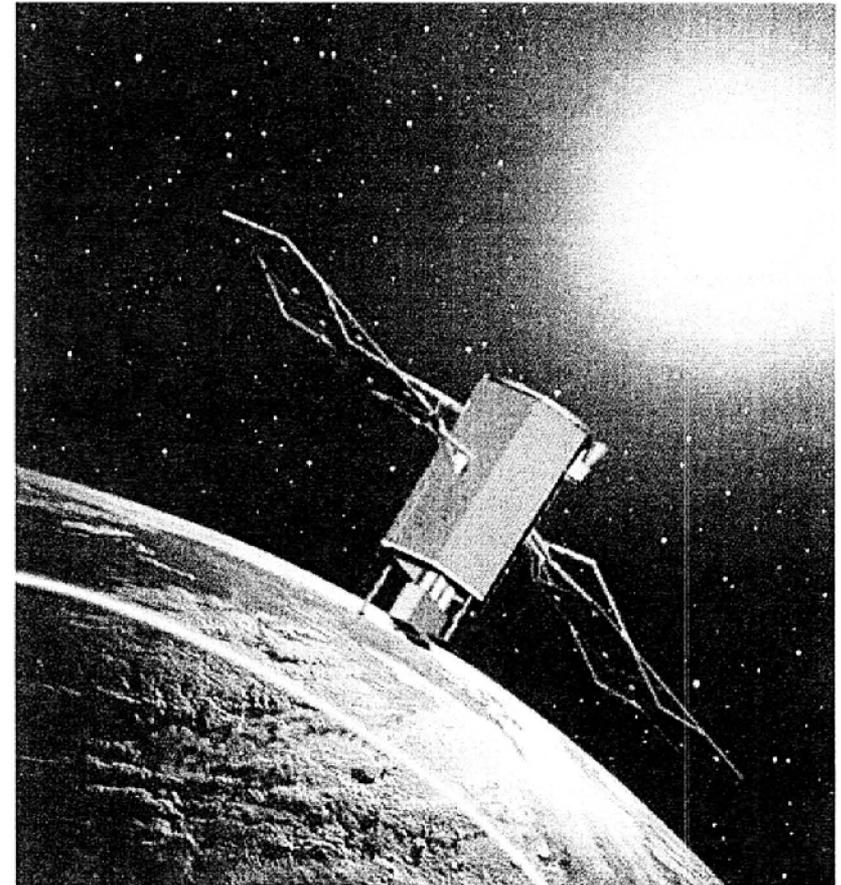
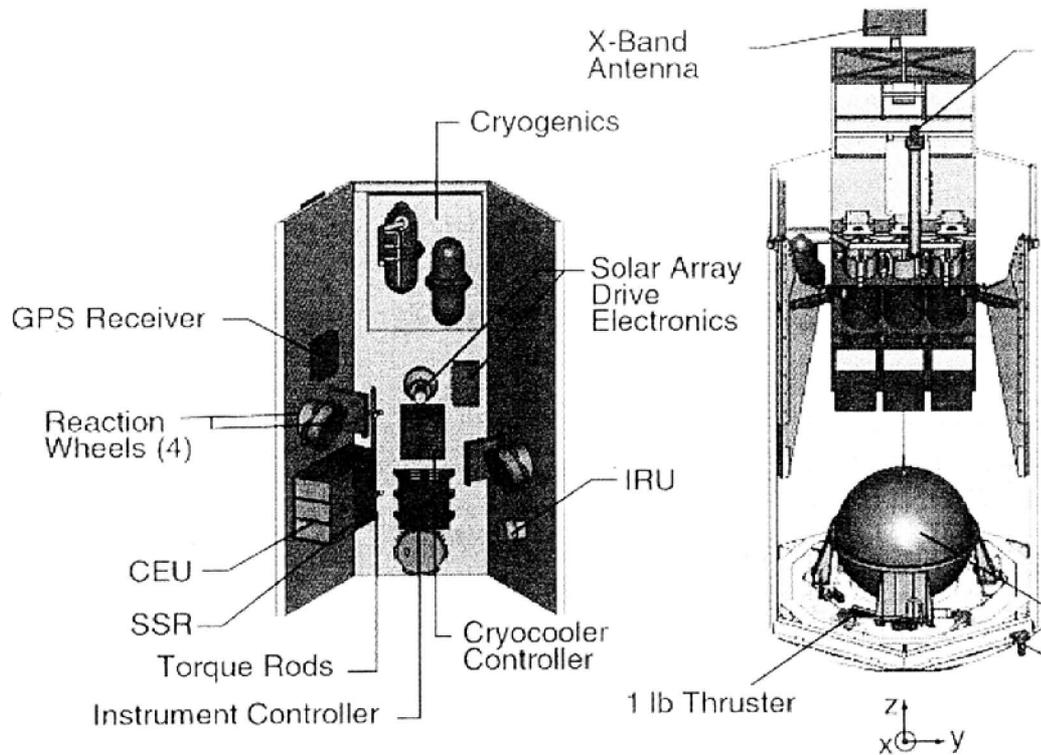




# The OCO Spacecraft

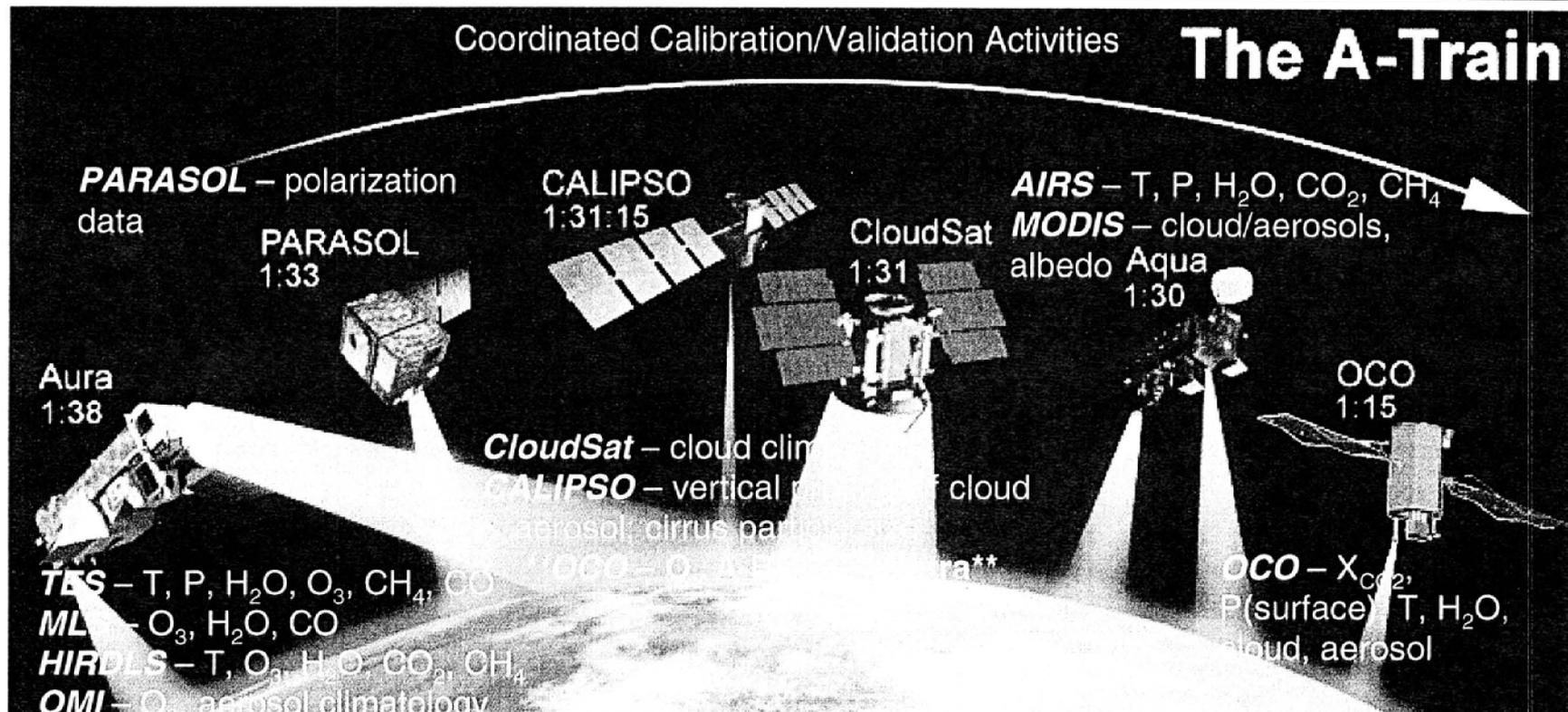


- **4<sup>th</sup> build of Orbital LEOStar-2 Bus**
  - Orbview 4, GALEX, SORCE
  - Now in NASA's RSDO Catalog
- **Satisfies all OCO Requirements with large margins**





# OCO Will Fly in the A-Train



## OCO files at the head of the A-Train, 15 minutes ahead of the Aqua platform

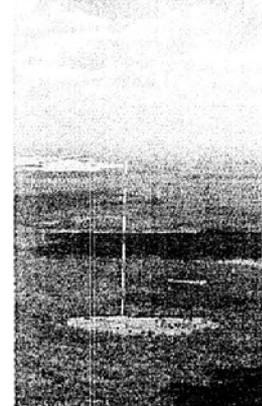
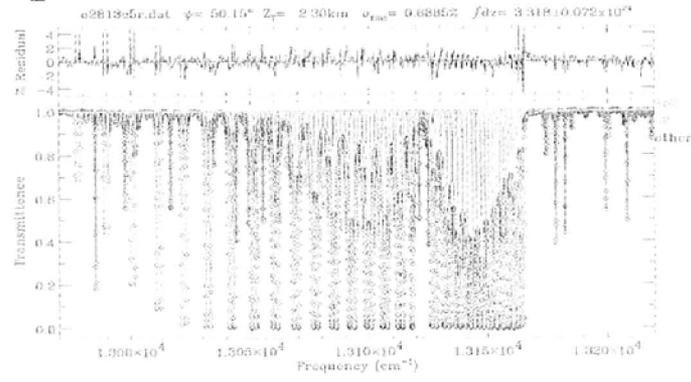
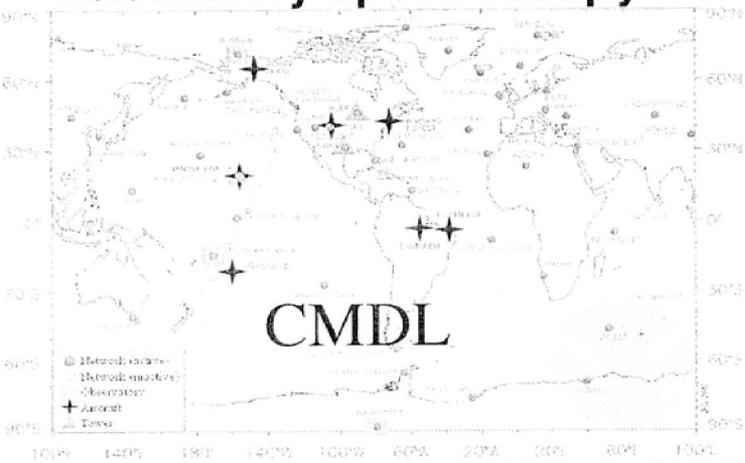
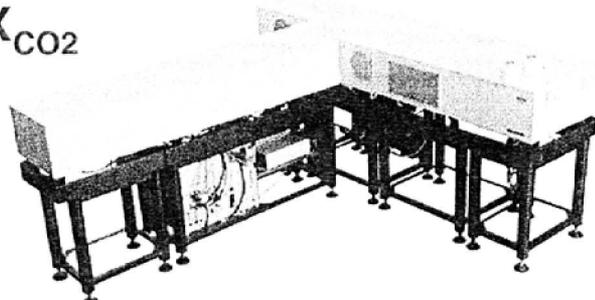
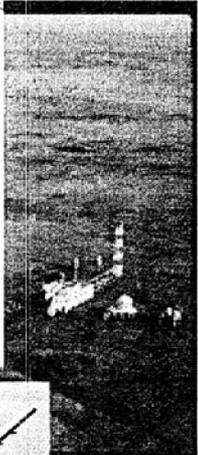
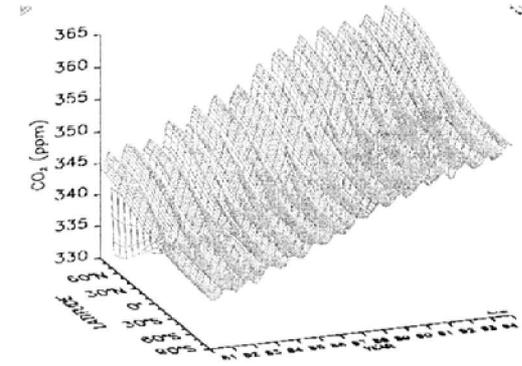
- 1:15 PM equator crossing time yields same ground track as AQUA
- Near noon orbit yields high SNR CO<sub>2</sub> and O<sub>2</sub> measurements in reflected sunlight
- CO<sub>2</sub> concentrations are near their diurnally-averaged values near noon
- Maximizes opportunities of coordinated science and calibration activities



# Validation Program Ensures Accuracy and Minimizes Spatially Coherent Biases



- **Ground-based in-situ measurements**
  - NOAA CMDL Flask Network + Tower Data
    - Typical errors  $\ll 0.1\%$
- **Aircraft measurements of CO<sub>2</sub> profile**
  - Wofsy (US), Ciais (CNRS Aerocarb)
    - Typical errors  $\ll 0.1\%$
- **Uplooking FTS measurements of X<sub>CO2</sub>**
  - 3 funded by OCO
  - 4 upgraded NDSC
- **Laboratory spectroscopy**

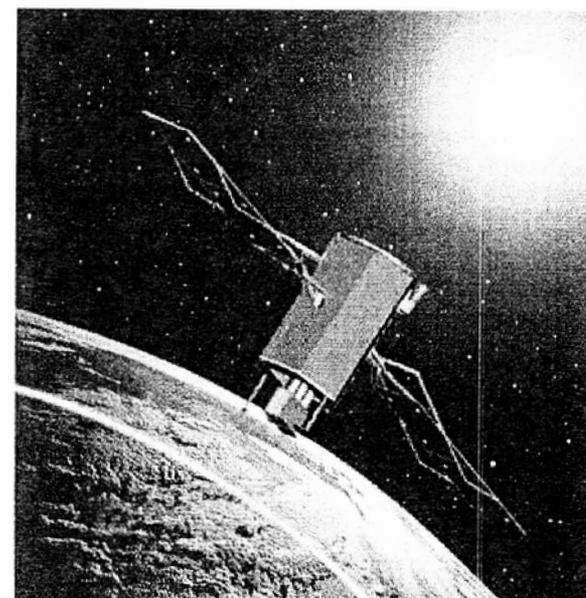




## Can OCO Provide the Required Precision?



- A comprehensive series of measurements and modeling studies are being conducted to ensure that OCO will provide the needed precision
  - Acquisition and analysis of ground-based uplooking Fourier Transform Spectrometer observations of  $O_2$  and  $CO_2$  from Kitt Peak and Table Mountain
  - Aircraft measurements of the  $O_2$  A-band
  - Observational System Simulation Experiments
    - Prototype OCO retrieval algorithm
    - Carbon Cycle Models
      - Seasonal Cycle of  $X_{CO_2}$
      - North-South  $X_{CO_2}$  gradient
      - Diurnal variations in  $X_{CO_2}$



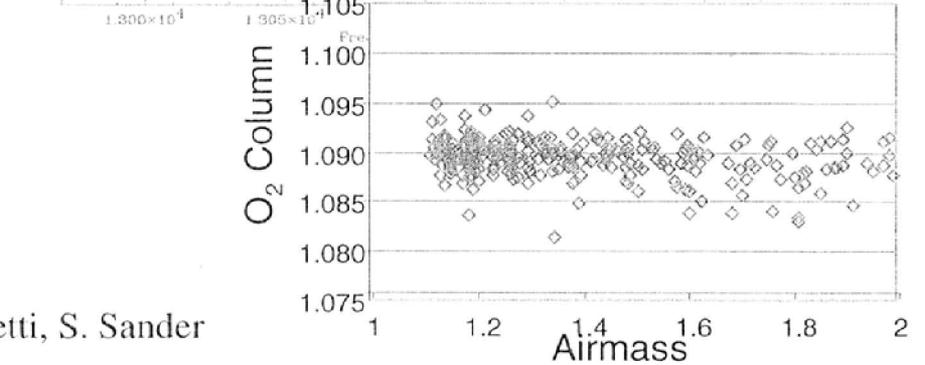
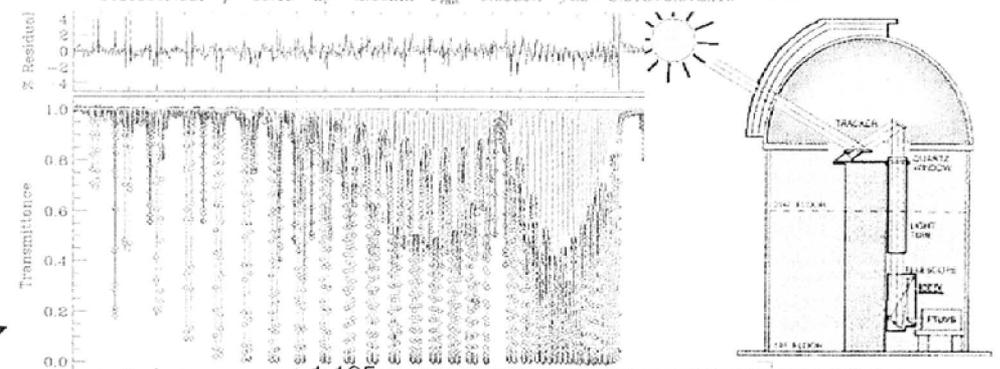
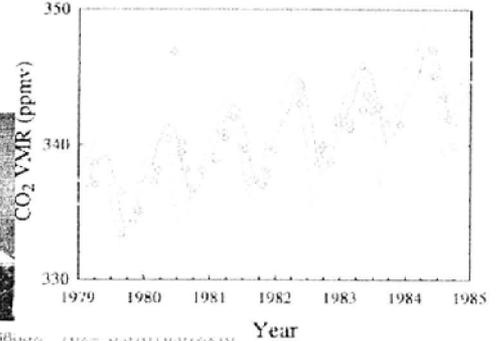
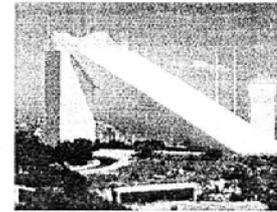
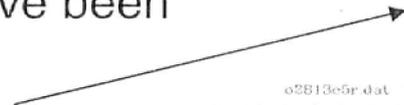


# O<sub>2</sub> Column Retrievals with Ground-based FTS

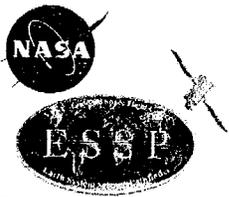


Ground-based spectroscopy is being used to demonstrate the feasibility of column abundance measurements with precisions near 0.3%

- Two sets of measurements have been acquired and analyzed
  - FTS data from Kitt Peak
    - precisions close to those required by OCO for column O<sub>2</sub> and CO<sub>2</sub> (0.5%) even though the observations were not optimized
  - FTS observations of O<sub>2</sub> from FTUVS at Table Mountain
    - Indicate that accuracies near 0.2% are achievable for O<sub>2</sub>
    - Have provided new insight into O<sub>2</sub> A-band spectroscopy



Z. Yang, P. Wennberg, G. Toon, R. Cageao, T. Pongetti, S. Sander



# X<sub>CO2</sub> Retrieval Simulations



## Retrieval Modeling Studies:

- X<sub>CO2</sub> precision of 1ppm (0.3%) needed to characterize CO<sub>2</sub> fluxes

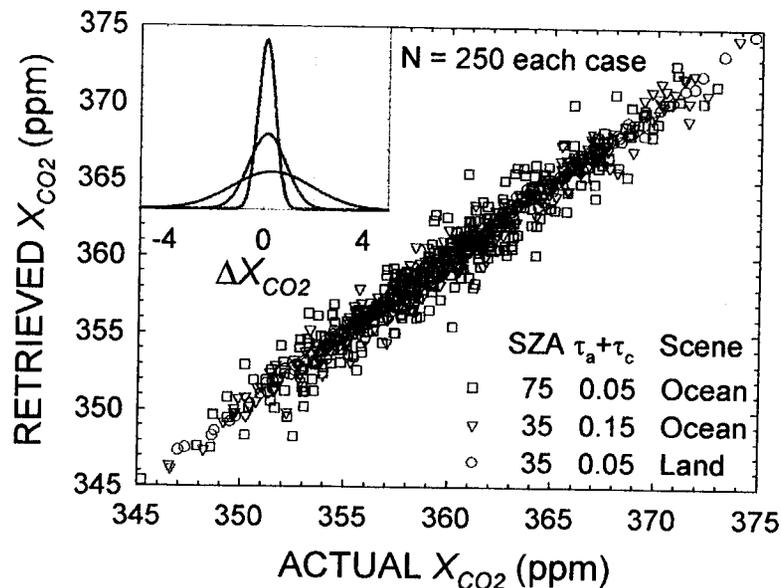
## Approach:

- Realistic, end-to-end, Observational System Simulation Experiments
  - Reflected radiances for a range of atmospheric/surface conditions
  - Comprehensive description of
    - Measurement scenario
    - Instrument characteristics

## Results:

- The OCO payload will meet or exceed the requirements for measuring X<sub>CO2</sub>

Z. Kuang - Univ. Washington, Y. Yung - Caltech



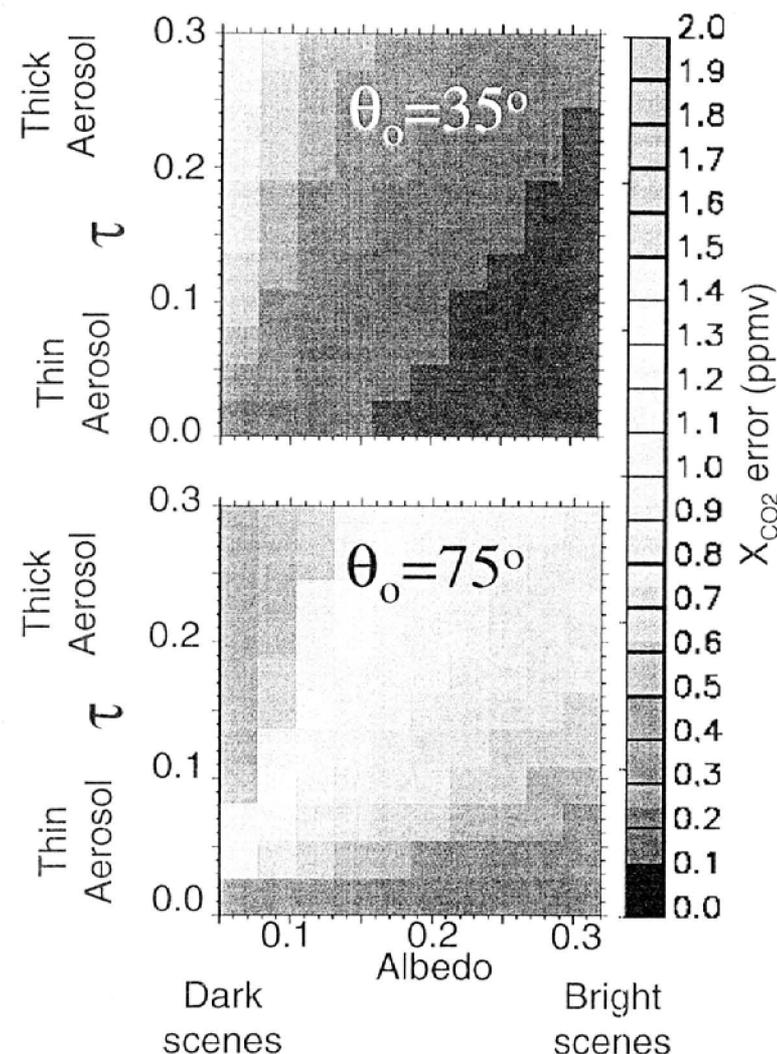
End-to-end retrievals of X<sub>CO2</sub> from individual simulated nadir soundings at SZAs of 35° and 75°. The model atmospheres include sub-visual cirrus clouds (0.02 ≤  $\tau_c$  ≤ 0.05), light to moderate aerosol loadings (0.05 ≤  $\tau_a$  ≤ 0.15), over ocean and land surfaces. INSET: Distribution of X<sub>CO2</sub> errors (ppm) for each case



# Impact of Albedo and Aerosol Uncertainty on $X_{CO_2}$ Retrievals



- Retrieval studies have been used to estimate  $X_{CO_2}$  retrieval error (ppmv) as a combined function of albedo and aerosol optical depth ( $\tau$ ).
  - *At high albedos and/or low aerosol optical depths, the  $X_{CO_2}$  retrieval errors are much less than 1 ppmv.*
  - **At low albedos (<0.05) and high aerosol optical depths ( $\tau > 0.15$ ), the  $X_{CO_2}$  retrieval errors exceed 1 ppmv**
- *Summary: OCO can retrieve  $X_{CO_2}$  with errors less than 1 ppm even under sounding conditions that are far from ideal.*



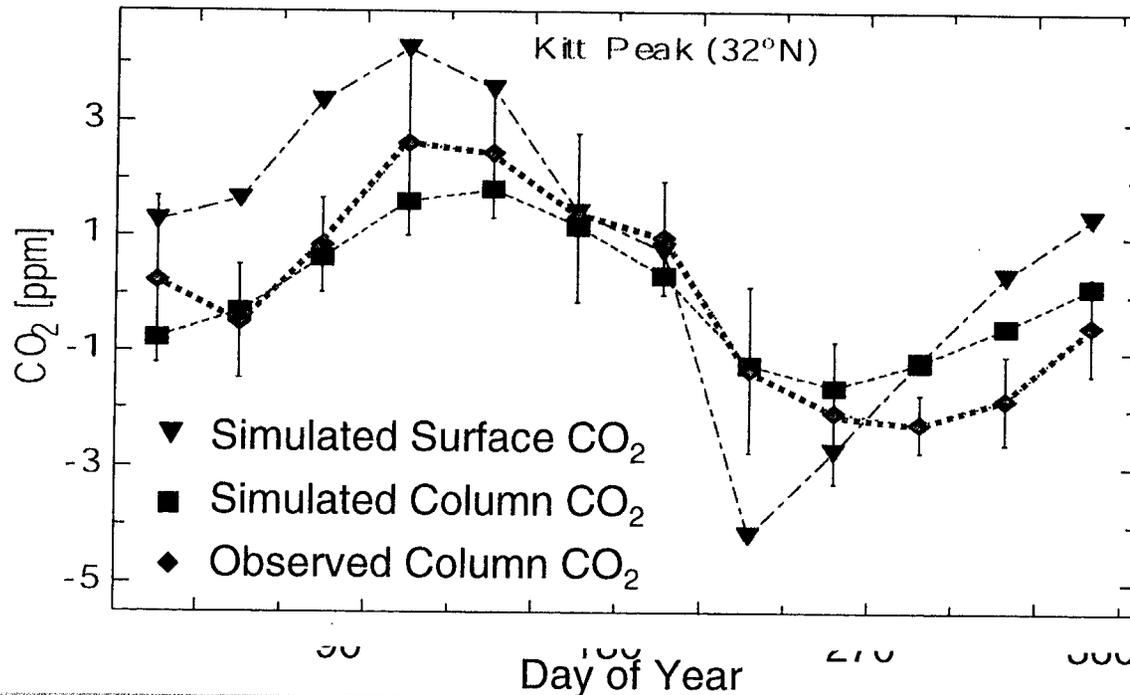
Z. Kuang - Univ. Washington, Y. Yung - Caltech



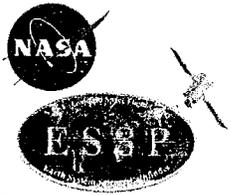
# Carbon Cycle Modeling Studies: Seasonal Cycle



- **Seasonal cycle:** How well does OCO need to measure  $X_{CO_2}$ ?
- Amplitude of Northern hemisphere seasonal cycle in  $X_{CO_2}$  is reduced by 40% to 50% as compared to surface amplitude
- Phase delay of 20-40 days in the column as compared to surface
- Seasonal cycle can be resolved from space if 1-2 ppm precision were obtained in column  $CO_2$



Comparison of column CO<sub>2</sub> retrieved from Kitt Peak FTS (red) to simulated column and surface *in situ* CO<sub>2</sub> results

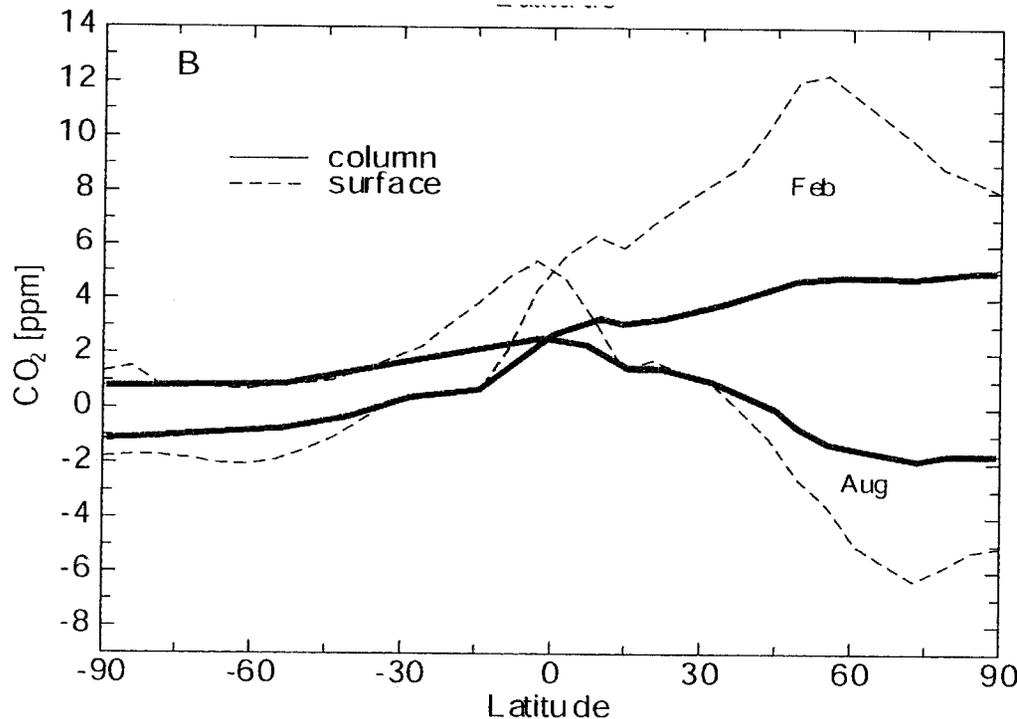


# Carbon Cycle Modeling Studies: The North-South Gradient in CO<sub>2</sub>



## North-South Gradient: How well does OCO need to measure $X_{CO_2}$ ?

- North-South gradient in column CO<sub>2</sub> is only half as large as the CO<sub>2</sub> gradient at the surface
- Northern Hemisphere-Southern Hemisphere gradients can be resolved from space by 1-2 ppm precision  $X_{CO_2}$  measurements



Simulated latitude dependence of the surface CO<sub>2</sub> concentrations for February and August (dashed lines) are compared to the corresponding latitude dependence for the column-integrated dry air mole fraction,  $X_{CO_2}$  (solid blue and red lines). Typical pole to pole gradients in  $X_{CO_2}$  are ~6 ppm.

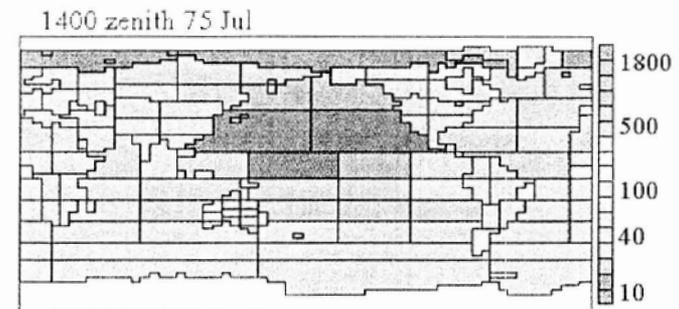
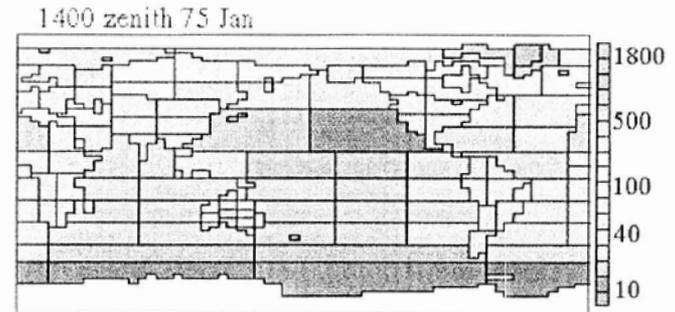


# Carbon Cycle Modeling Studies: Effect of Diurnal Biases



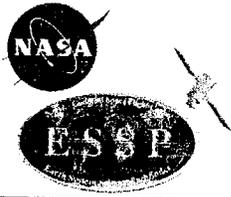
## Diurnal cycle: How well can OCO measure $X_{CO_2}$ ?

- Approach:
  - “True” source estimates generated from two orbits, each sampling twice per day
    - 0600h & 1800h (sunrise/sunset)
    - 1100h & 2300h (mid-day/midnight)
- These results were compared to 1400h orbit (approx. OCO orbit) with 75 degree SZA cut-off
- Results:
  - Differences between the sources are smaller than the uncertainties on the 1400h orbit source estimates.
  - Where larger differences occur, they are not solely due to diurnal biases.
    - Example Biases occur in the winter high latitudes due to the lack of data in the 1400h orbit and these overwhelm any diurnal effect
  - Uncertainties in 24-hr average column  $CO_2$  after correcting for diurnal effects is  $< 0.1$  ppm
  - Diurnal cycle may be greatest over the Amazon ( $\sim 0.7$  ppm diurnal cycle in July vs  $\sim 0.4$  ppm over Wisconsin)



*Estimated regional  $CO_2$  diurnal flux bias ( $gC/m^2/yr$ ) from nadir soundings acquired a 1400 for January and July. The current uncertainties are around  $2000 gC/m^2/yr$ . The largest errors are seen at high latitudes during the winter, where there is too little sunlight for OCO retrievals (P. Rayner, R. Law – CSIRO).*





## ***Project Status and Schedule***



<b>Contract Start</b>	<b>October 2, 2002</b>
<b>Project Kick-Off Meeting</b>	<b>October 10, 2002</b>
<b>Risk Mitigation Phase begins</b>	<b>October 10, 2002</b>
<b>System Requirement Review (SRR)</b>	<b>June 25, 2003</b>
<b>Risk Mitigation Phase Final Review</b>	<b>July 29, 2003</b>
<b>Preliminary Design Review (PDR)</b>	<b>June, 2004</b>
<b>Critical Design Review (CDR)</b>	<b>July, 2005</b>
<b>Launch</b>	<b>August, 2007</b>
<b>Mission Check out (PLSR)</b>	<b>One Month After Launch</b>
<b>Mission Operations</b>	<b>24 Months</b>





# Summary



- **OCO will provide critical data for**
  - Understanding the carbon cycle
    - Essential for developing carbon management strategies
  - Predicting weather and climate
    - Understanding sources/sinks essential for predicting CO<sub>2</sub> buildup
    - O<sub>2</sub> A-Band will provide global surface pressure measurements
- **OCO validates technologies critically needed for future operational CO<sub>2</sub> monitoring missions**
  - Satisfies an unaccommodated measurement need identified by NPOESS

## Climate Forcing/Response

•T/H <sub>2</sub> O/O <sub>3</sub>	<input checked="" type="checkbox"/>	AIRS/TES/MLS
•Clouds	<input checked="" type="checkbox"/>	CloudSat
•Aerosols	<input checked="" type="checkbox"/>	CALIPSO
•CO <sub>2</sub>	<input checked="" type="checkbox"/>	OCO

