

# Enabling Novel Planetary and Terrestrial Mechanisms Using Electroactive Materials at the JPL's NDEAA Lab

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## ABSTRACT

*Increasingly, electroactive materials are used to produce actuators, sensors, displays and other elements of mechanisms and devices. In recognition of the potential of these materials, research at the JPL's NDEAA Lab have led to many novel space and terrestrial applications. This effort involves mostly the use of piezoelectric and electroactive polymers (EAP). The piezoelectric based devices and mechanisms that were developed include ultrasonic motors, piezopump, ultrasonic/sonic driller/corer (USDC), and ferrosorce. Further, the electroactive polymers were used to demonstrate a gripper, wiper, lifter and haptic interfaces. The research and development tasks consist of analytical modeling, experimental tests and corroboration, material characterization as well as device and mechanisms design, construction and demonstration. This effort is multidisciplinary requiring expertise that is complemented by cooperation with researchers and engineers in the USA and internationally. Some of the innovation has been inspired by nature and biomimetic devices, such as the ultrasonic/sonic gopher, were developed. In this manuscript the research and development activity of the JPL's NDEAA Lab will be reviewed.*

**KEYWORDS:** Actuators, ultrasonic/sonic drill, Piezopump, artificial muscles, electroactive polymers, EAP, biomimetics, ferrosorce, USM

## 1. INTRODUCTION

Actuators are a key element of many space devices including release mechanisms, antenna and instrument deployment, positioning devices, aperture opening and closing devices, real-time compensation for thermal expansion in space structures, etc. Increasingly, there are requirements to reduce the size, mass, and power of these devices, and to lower the cost to NASA. Also, there is a need to develop effective capabilities that can support the challenges to in-situ analysis, sample return, human exploration of the universe and many other complex tasks. At the JPL's Non Destructive Evaluation and Advance Actuators (NDEAA) Lab [<http://ndeaa.jpl.nasa.gov>] has focused its efforts on addressing these NASA

needs. This effort involved research and development (R&D) of novel actuation materials and mechanisms which have enabled new possibilities for future missions. This activity is benefited from technical contributions thru partnerships and cooperation with researchers and engineers from academia, government and industry both in the US and worldwide. The NDEAA team activity has evolved from NDE related R&D that started in this lab in 1991 [Bar-Cohen, 2000] to a broad range of mechanisms and devices taking advantage of mechanical vibrations, and acoustic or elastic waves as well as the capabilities of the transducing materials that generate them. These efforts cover a wide spectrum of frequencies and amplitudes (Table 1) and novel mechanisms and devices were conceived and were covered in numerous NASA New Technology Reports and Patents [<http://ndeaa.jpl.nasa.gov/nasa-nde/yosi/yosi-ntr.htm> and <http://ndeaa.jpl.nasa.gov/nasa-nde/yosi/yosi-pnt.htm>].

**TABLE 1:** The technologies at the JPL's NDEAA Lab categorized by the acoustic and elastic wave frequency and amplitude range.

	<i>Low amplitude</i>	<i>High amplitude</i>
<b>Low frequency (Hz - KHz)</b>	Geophysical-analysis	Actuation, drilling/co ring
<b>High frequency (KHz - MHz)</b>	NDE & diagnostics	Medical treatment

The mechanisms and devices that have been developed at the JPL's NDEAA Lab include ultrasonic motors, piezopumps that are driven by traveling flexural waves [Bao and Bar-Cohen, 2000; Bar-Cohen and Chang, 2001]. Using a piezoelectric stack actuator, an ultrasonic/sonic driller/corer (USDC) [Bao, et al, 2003] is being developed for potential applications as in-situ sampling mechanism. Since piezoelectric materials can be designed to operate over a wide temperature range, they potentially allow applying these devices at high temperatures as expected on Venus and low temperatures as on Mars, Titan or Europa. In parallel, electroactive polymers (EAP) are being

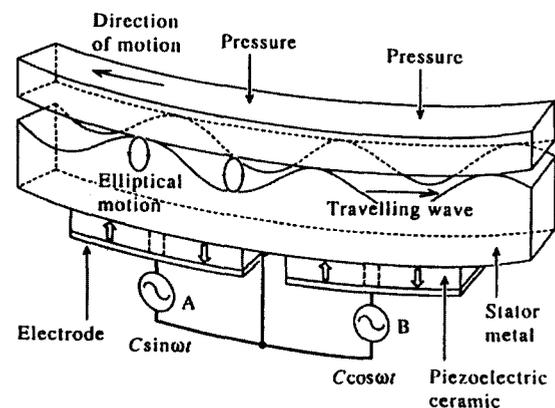
investigated for use as actuators that mimic muscles earning them the name artificial muscles [Bar-Cohen, 2001 and 2004]. A 4-fingers gripper was constructed and demonstrates to lift a rock. Also, a dust wiper driven by these materials was developed to remove dust from optical components. This wiper operates similar to an automobile windshield wiper but with a simplified mechanism. An EAP driven wiper was also demonstrated as a surface cleaning mechanism for wet sensors for potential use in water reclamation systems. EAP materials are also being investigated for use in shape control of membrane/gossamer structures and biologically-inspired technologies [Bar-Cohen and Breazeal, 2003]. In addition, the NDEAA team is also involved with studies of the use of focused high power ultrasonic wave methods for medical treatment applications [Grandia and Bar-Cohen, 1998] and Ferroelectric materials as a single source for emission of multiple types of radiation. In this manuscript, the electroactive materials base applications that are being developed at the JPL's NDEAA lab are reviewed.

## 2. ULTRASONIC MOTORS

Ultrasonic plate waves can be harnessed to provide actuation forces in the form of ultrasonic motors that have the potential to meet NASA needs. Generally, ultrasonic motors [Wallashek, 1995] can be classified by their mode of operation (static or resonant), type of motion (rotary or linear) and shape of implementation (beam, rod, disk, etc.). Despite the distinctions, the fundamental principles of solid-state actuation tie them together: microscopic material deformations (usually associated with piezoelectric materials) are amplified through either quasi-static mechanical or dynamic/resonant means. Several of the motor classes have seen commercial application in areas needing compact, efficient, and intermittent motion. Such applications include camera auto-focus lenses, watch motors and compact paper handling. Obtaining the levels of torque-speed characteristics of USMs using conventional motors requires adding a gear system to reduce the speed, thus increasing the size, mass and complexity of the drive mechanism. USMs are fundamentally designed to have a high holding force, providing effectively zero backlash. Further, since these motors are driven by friction, the torque that would cause them to be back-driven is significantly higher than the stall torque. The number of components needed to construct ultrasonic motor is small minimizing the number of potential failure points. The general characteristic of USMs makes them attractive for robotic applications where small, intermittent motions are required.

The use of USMs in NASA application requires operation at harsh space environments that include cryogenic temperatures and vacuum and also require effective analytical tools for the design of efficient motors. To explore telerobotic applications for USMs a robotic arm was constructed with such motors. A hybrid finite element analytical model was developed to examine the excitation of flexural plate wave traveling in a piezoelectrically actuated rotary motor [Bao and Bar-Cohen, 2000]. The model uses 3D finite element and equivalent circuit models that are applied to predict the excitation frequency and modal response of the stator. This model incorporates the details of the stator including the teeth, piezoelectric ceramic, geometry, bonding layer, etc. A brush model is used for the interface layer and Coulomb's law for the friction between the stator and the rotor. The theoretical predictions were corroborated experimentally for the motor, where a 3-cm diameter by 1.1-cm thick prototype motor was developed and demonstrated to deliver a stall torque of 1.15 Kgf-cm.

In Figure 1 the principle of operation of an ultrasonic motor (flexural traveling wave ring-type motor) is shown as an example. A traveling wave is established over the stator surface, which behaves as an elastic ring, and produces elliptical motion at the interface with the rotor. This elliptical motion of the contact surface propels the rotor and the drive-shaft connected to it. Teeth on the top section of the stator are intended to form miniature moment arms to amplify the speed. The operation of USM depends on friction at the interface between the moving rotor and stator, which is a key issue in the design of this interface for extended lifetime.

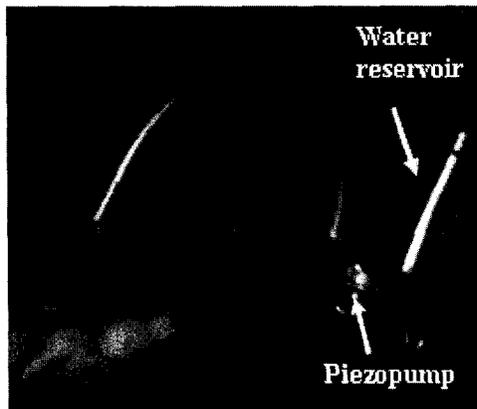


**FIGURE 1:** Principle of operation of a rotary flexural traveling wave motor.

The model uses 3D finite element and equivalent circuit models that are applied to predict the excitation frequency and modal response of the stator. This model incorporates the details of the



compressors. NASA is increasingly becoming involved with surface sampling missions and remote *in-situ* analysis where there is a need to transfer liquids to and inside instruments. The pumps are required to transport liquids, which potentially contain bacteria and other microorganisms, through filter media and the displaced volume can be as low as milliliters. Studies of the elastic waves that travel on the stator of an ultrasonic motor have shown the potential to produce a pump using the wave valleys to confine and transport fluids. Covering these valleys allows for the formation of chambers that can carry liquids in a peristaltic action [Bar-Cohen and Chang, 2001]. Such a pump, which is called Piezopump, eliminates the need for valves or physically moving parts.



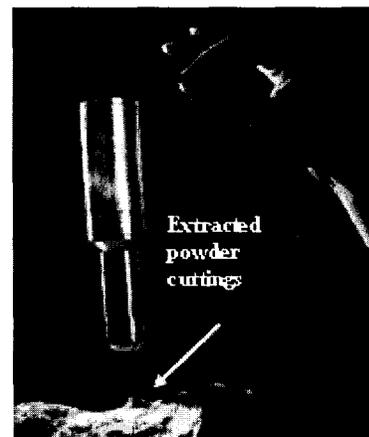
**FIGURE 5:** A view of Piezopump in action.

A finite element model was developed using ANSYS for the purpose of predicting the resonance frequency of the vibrating modes of the piezopump driving stator. The model allows determining simultaneously the mode shapes that are associated with the various resonance frequencies. This capability is essential for the design of the piezopump size and geometry. To predict and optimize the pump efficiency, which is determined by the volume of pumping chambers, the model was modified to perform harmonic analysis of the stator. Current capability of the computation tool allows the determination of the effect of such design parameters as pump geometry, construction materials and operating modes on the volume of the chambers that are formed between the peaks and valleys of the wave. Experiments performed by using a piezopump breadboard showed water-pumping rate of about 4.5-cc/min with the highest-pressure level of 1100 Pascal. In Figure 5, a photograph shows prototype Piezopump pumping water. Observing the operation of the Piezopump one would notice that no physically moving parts are involved while fluid can be pumped forward and backward from the reservoir as desired. The direction of pumping is controlled by the polarity

of the driving pair of sine and cosine signals that are activating the pump stator.

#### 4. ULTRASONIC/SONIC DRILLING/CORING (USDC)

NASA's Mars and Solar System exploration missions are seeking to perform *in-situ* analysis of samples from the various depths on a number of planetary bodies. The environments that these instruments are expected to face range from cryogenic (Comets, Europa and Titan) to very hot (Venus). Geological surveys need to be performed from a lander or a rover with the instrumented samplers that is placed at the end of a robotic arm. Low mechanical impact on the host platform and a low axial load are major requirements for these samplers. Planetary sampling using conventional drilling and coring techniques is limited by the need for high axial force necessitating the use of heavy rovers or anchoring mechanisms. Recently, the authors and Cybersonics, Inc. developed the ultrasonic/sonic driller/corer (USDC) [Bao, et al, 2003; Bar-Cohen, et al, 2001; and Sherrit, 2000] overcoming these and other limitations of conventional techniques. This capability to drill with minimal load is shown in Figure 6, where the drill is operated while being held from its power cord.



**FIGURE 6:** The USDC is shown to require relatively small preload to core a rock. The powder cuttings travel along the bit providing a removal mechanism for acquisition.

The USDC drill consists of three components: actuator, free-mass and bit. A schematic diagram of the USDC mechanisms is shown in Figure 7. The novel elements of the USDC are the drilling/coring bit and the free-mass, which operates as a frequency transformer converting 20KHz ultrasonic waves to a 60-1000 Hz sonic hammering action (percussion). The USDC actuator consists of a stack of piezoelectric ceramics with a backing material that

reflects the emission of the acoustic energy forward, and a horn that amplifies the displacements generated by the stack. The tip of the ultrasonic horn impacts the free-mass creating a sonic resonance between the horn and the bit.

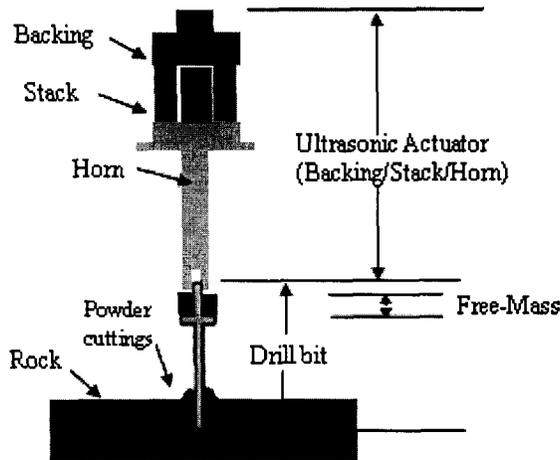


FIGURE 7: A schematic view of the USDC components

The USDC has been demonstrated to drill rocks that range in hardness from basalt to soft sandstone and tuff. Other media that were drilled include soil, ice, diorite, and limestone. This novel drill is capable of high-speed drilling (2 to 20-mm/Watt·hr for a 2.85mm diameter bit) in basalt and Bishop Tuff using low axial preload (<10N) and low average power (<12W). Drilling has been demonstrated at average power as low as 5 Watts. The USDC has drilled 25-mm deep, 6-mm diameter holes in basalt in a little over 2-hrs from a 4-kg platform using 10W average and 25W peak power. It has also drilled 15-cm deep, 5-mm diameter holes in sandstone in just over an hour using similar power as for the basalt drilling. The USDC mechanism has demonstrated feasibility for deep drilling using a novel device called Ultrasonic-Gopher (Figure 8 and 9).

Generally, the USDC bit creates a borehole that is larger than the drill bit outer diameter and it also creates a core that is smaller in diameter than the inner diameter of the coring bit. This reduces the chances of bit jamming while borehole integrity is maintained, and it eases in the extraction of the core from the bit. Current analytical models suggest that the USDC performance does not change significantly with changes in ambient gravity.

The USDC novel characteristics allow use not only as a sampling tool where cores and dust can be acquired. The mechanism of hammering the bit in a combination of sonic and ultrasonic frequency allows using it as a sounder for probing the drilled medium. Further, the minimal displacement of the bit without

rotation allows mounting sensors for real-time analysis of the drilled medium, where so far the use of thermocouple and fiberoptic sensors were demonstrated. The combination of sampling, probing and sensing allowed turning the USDC into a lab-on-a-drill system.

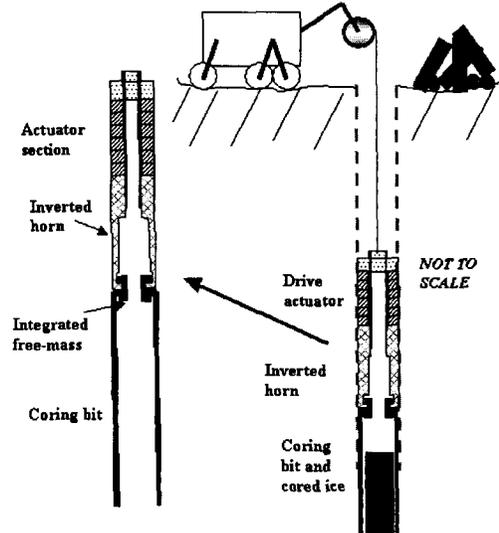


FIGURE 8: Schematic view of the ultrasonic-gopher operating inside the borehole

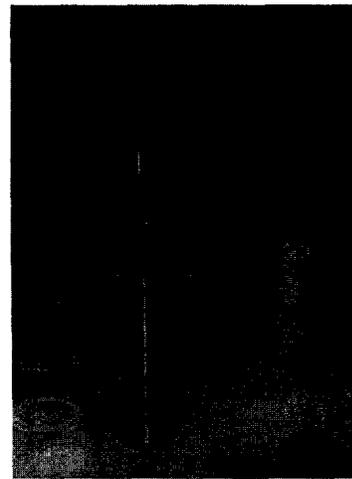


FIGURE 9: An Ultrasonic-Gopher and an extracted core (on the right) from a limestone.

## 5. INTERACTIVE AND INTUITIVE MIRRORING OF COMPLIANCE AND FORCES

For many years, the robotic community sought to develop robots that can autonomously perform complex tasks to eliminate the need for human operators. However, there is an increasing realization that humans can perform some tasks significantly better but, due to associated hazards, distance, physical limitations and other causes, only robots can

be employed to perform these tasks. Remotely performing such tasks by robots operating as human surrogates is referred to as telepresence. In telepresence the operator receives sufficient information about the remote robot and the task environment is displayed in a natural way allowing the operator to feel the equivalent of physical presence at the remote site. Haptic feedback is necessary for a telepresence system where physical constraints such as object rigidity, mass and weight, friction, dynamics, surface characteristics (smoothness or temperature) are mirrored to the human operator from the remote site.

Robots capability to operate as a surrogate human has been developed at NASA Johnson Space Center as the novel space robot called Robonaut, i.e., robotic astronaut (see Figure 10) [<http://tommy.jsc.nasa.gov/robonaut/Robonaut.html>, 1997]. This robot was developed to perform extra-vehicular activity (EVA) to allow rapid deployment with ability to maneuver through areas that are too small for the current Space Station robots. Robonaut was designed so that a human operator who is wearing gloves/suit with sensors can control it. If the user is to interact in a natural way with the robot, the interface must be intuitive, accurate, responsive, transparent and reproducible over time and space. Further, the operator must be able to extract information about the robot and its environment to effectively control the robot. Unfortunately, due to limited availability of force and tactile feedback capability in the control suit/glove, the operator determines the required action by visual feedback, i.e. looking at the Robonaut action at the remote site. This approach is ineffective and limits the potential tasks that Robonaut can perform.

To address the need for telepresence, a haptic interfacing mechanism is required to allow operators to "feel" the stiffness and forces at remote or virtual sites (See Figure 11). For this purpose, the JPL's NDEAA lab has teamed with investigators from Rutgers University and jointly they conceived MEMICA (remote MEchanical MIRRORing using Controlled stiffness and Actuators) [Mavroidis, et al, 2001; and Fisch et al, 2002]. The key aspect of the MEMICA system is a miniature Electrically Controlled Stiffness (ECS) element that mirrors the stiffness at remote/virtual sites. The ECS elements make use of Electro-Rheological Fluid (ERF), which is an Electro-Active Polymer (EAP), to achieve the feeling of remote or virtual stiffness. The ECS elements are to be placed at selected locations of an instrumented glove to mirror the forces of resistance to motion at the corresponding locations of the robot hand. Forces applied at the robot end-effector due to a compliant environment are reflected to the user

with the aid of this ERF device where a change in the system viscosity is proportional to the force to be transmitted.

Another application of this technology that is currently under consideration is the development of an exoskeleton that can be worn by astronauts and provide controlled resistivity and operability. Such an exoskeleton is sought to help mitigate the loss of bones and muscles that results from extended presence in microgravity. In developing such an exoskeleton the team from JPL and Rutgers University is seeking to provide controlled resistance, forces and torques at high dexterity and rapid response using MEMICA based elements. A key advantage of such a system is its operation on-demand capability avoiding the need of astronauts to leave their task to an exercise machine. Thus, astronauts would be able to perform exercises when desired even while they are carrying out other operational activities. In general, an assistive exoskeleton worn by a patient could be programmed to provide resistive forces to targeted body areas for use in rehabilitation. One could imagine using such devices to also extend a person's muscle capabilities by following the user's movement and producing external forces proportional to the forces applied by the user.

Using such the haptic capability of MEMICA can potentially benefit medical therapy in space and at distant human habitats. The probability that a medical urgent care procedure will need to be performed in space is expected to increase with the growth in duration and distance of manned missions. A major obstacle may arise as a result of the unavailability of on-board medical staff capable of handling every possible medical procedure that may be required. To conduct emergency treatments and deal with unpredictable health problems the medical crews will need adequate tools and capability to practice the necessary procedure to minimize risk to the astronauts. With the aid of all-in-one-type surgical tools and a simulation system, astronauts with medical background would be able to practice the needed procedures and later physically perform the specific procedures. Medical staff in-space may be able to sharpen their professional skills by practicing existing and downloaded new procedures. Generally, such a capability can also serve people who live in rural and other remote sites with no readily available full medical care capability. As an education tool employing virtual reality, training paradigms can be changed while supporting the trend in medical schools towards replacing cadaveric specimens with computerized models of human anatomy.



**FIGURE 10:** Robonaut [Provided through the courtesy of NASA Johnson Space Center, Huston, TX].



**FIGURE 11:** Performing virtual task via a MEMICA as a Haptic Interface. [Mavroidis et al, 2001; and Fisch et al, 2002]

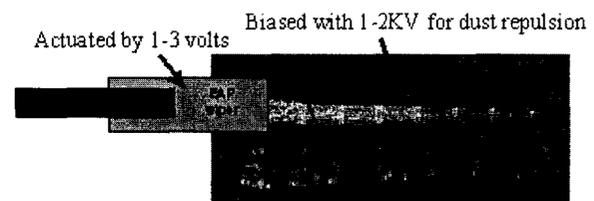
## 6. ELECTROACTIVE POLYMERS ACTUATORS - ARTIFICIAL MUSCLES

During the past decade, new polymers have emerged that respond to electrical stimulation with a significant shape or size change and this progress has added an important aspect to these materials. This capability of the newly introduced electroactive polymers (EAP) attracted the attention of engineers and scientists from many different disciplines. Since these materials behave similar to biological muscles, they have acquired the moniker “artificial muscles” [Bar-Cohen, 2001 and 2004]. Practitioners in biomimetics, a field where mechanisms are developed based on biologically-inspired models, are particularly excited about these materials since they can be designed to mimic the movements of animals and insects [Bar-Cohen, TBD]. In the foreseeable future, robotic mechanisms actuated by EAPs will

enable engineers to create devices previously imaginable only in science fiction [Bar-Cohen and Breazeal, 2003].

For several decades, it has been known that certain types of polymers can change shape in response to electrical stimulation. Initially, these EAP materials were capable of inducing only a relatively small strain. However, since the beginning of the 1990s, a series of new EAP materials have been developed that can induce large strains leading to a great change in the view of the capability and potential of these materials. Generally, EAPs can induce strains that are as high as two orders of magnitude greater than the striction-limited, rigid and fragile electroactive ceramics (EAC). Further, EAP materials are superior to shape memory alloys (SMA) in that they possess higher response speed, lower density, and greater resilience. The current limitations of actuators that are based on EAP materials include low actuation force, mechanical energy density and robustness, which limit the scope of their practical application.

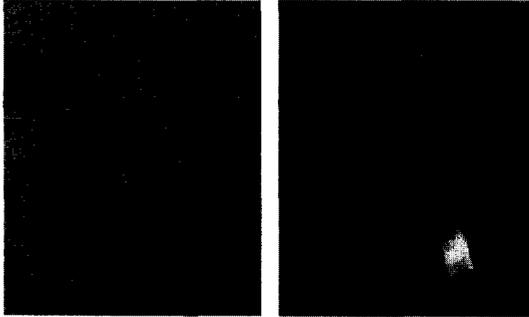
Between 1995 and 1999, under the author’s lead, a NASA study took place with the objective of improving the understanding and practicality of EAP materials and identifying planetary applications. In this task the issues of making, testing, operating and applying EAP materials for potential use in future NASA missions was investigated [Chapters 1, 6, 12, 13, 20 and 21 of Bar-Cohen, 2001 and 2004]. Under this task, the materials that were investigated include IPMC and dielectric EAP and they were used as bending and longitudinal actuators, respectively. The devices that were developed include a dust wiper, gripper, robotic arm, and miniature rake. The dust wiper is shown in Figure 12 and the 4-finger gripper in Figure 13.



**FIGURE 12:** Combined schematic and photographic view of the EAP dust wiper. The EAP is used as an actuator and high voltage is applied to repel the dust.

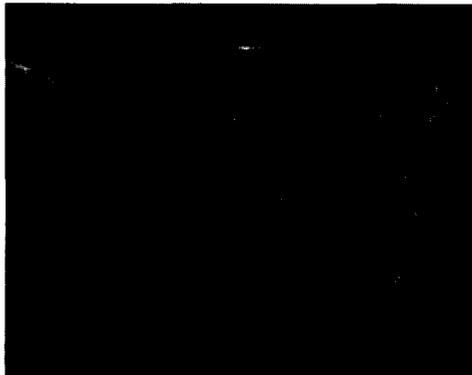
In recognition of the need for international cooperation among the developers, users, and potential sponsors, the author organized the first EAP Conference on March 1-2, 1999, through SPIE International as part of the Smart Structures and Materials Symposium. This conference was held in Newport Beach, California, USA and was the largest

ever on this subject, marking an important milestone and turning the spotlight onto these emerging materials and their potential. Following this success, a Materials Research Society (MRS) conference was initiated to address fundamental issues related to the material science of EAP. The SPIE conferences are now organized annually and have been steadily growing in number of presentations and attendees. Currently, there is a website that archives related information and links to homepages of EAP research and development facilities worldwide, and a semi-annual Newsletter is issued electronically.



**FIGURE 13:** 4-finger EAP gripper lifting a rock.

The increased resources, the growing number of investigators conducting research related to EAP, and the improved collaboration among developers, users, and sponsors has been leading to great advances in this field as it is increasingly being reported in the annual international EAP conferences. In 1999, the author posted a challenge to the worldwide community of EAP experts to develop a robotic arm that is actuated by artificial muscles to win an arm wrestling match with a human opponent (Figure 14). Progress towards this goal will lead to great benefits, particularly in the medical area, including effective prosthetics.



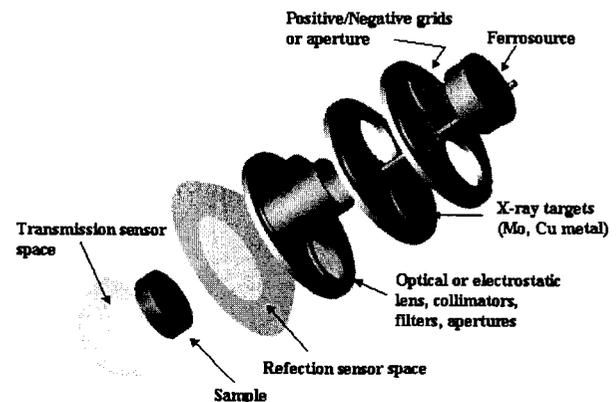
**FIGURE 14:** Grand challenge for the development of EAP actuated robotics

Evolution in this field has reached the level that the first competition is expected to be held during the 2005 SPIE's Annual International EAPAD (EAP

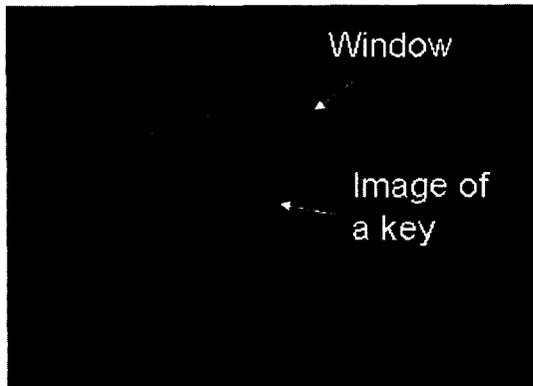
Actuators and Devices) Conference that is held in San Diego, CA. Success in developing such an arm will lead in decades from now to the possible use of EAP to replace damaged human muscles, i.e., making "bionic human." A remarkable contribution of the EAP field would be to see one day a handicapped person jogging to the grocery store using this technology.

## 7. FERROSOURCE

A new capability of ferroelectric materials that is currently being explored is the emission of multiple types of radiation in short pulses. For this purpose, the plasma, which is produced on the surface of a grid shape cathode subjected to high voltage, is used. Using this plasma the NDEAA team in cooperation with physicists from the Technion, Israel, is studying methodologies of inducing pulses of such radiations as X-ray, UV, visible light as well as charged particle radiation of electrons and ions. The technology may enable to produce a single source unit that can emit multiple types of radiations as needed while placing tested samples at the same location. Such a capability is important to planetary exploration since eliminating the need to manipulate or transfer samples from one analyzer to another will significantly reduce the possibility of cross contamination and increase the accuracy of the test results. The application of this technology is sought for in-situ science at distant planets such as Mars. Other potential benefits include the development of a system for the performance of rapid 3-D CAT Scan imaging. A graphic view of the envision ferrosorce device is shown in Figure 15. An example of an X-ray image produced by a prototype of the Ferrosorce is shown in Figure 16.



**FIGURE 15:** A graphic view of the Ferrosorce currently being developed to generate multiple radiations using a single source with single placement of test samples.



**FIGURE 16:** An X-ray image produced by the Ferrosorce that is a novel compact single source of multiple radiations.

### 8. CONCLUDING REMARKS

Time dependent mechanical displacements and elastic waves are offering many diagnostic and actuation capabilities and are being used to enable novel technologies to benefit such fields such planetary exploration, medical, military and industry. The JPL's NDEAA team has taken ultrasonic waves and developed unique capabilities to support various fields including robotics, NDE, manipulation mechanisms, in-situ sampling, haptic interfaces, etc. Finite element modeling tools and experimental capabilities were developed to support the required design tools. The actuators and devices that were developed include an ultrasonic motor that can operate in vacuum and cryogenic temperatures, piezopump that operates peristaltically with no moving parts, an ultrasonic/sonic driller/corer (USDC) that can be used to sample rocks with very low axial load without lubricants and the drill bit does not require sharpening. A haptic interface system was conceived that enables virtual operations and telepresence with the aid of a remote robot (such as the Robonaut) as well as developing an exoskeleton. Using polymers that are electroactive, actuators have been developed to emulate muscles and they are employed in a variety of devices that are compact with low mass using relatively low power. These actuators are being considered for applications in such structures as gossamer structures and for biologically inspired mechanisms. In addition, a ferrosorce is being explored to create a single source of multiple radiations.

### 9. ACKNOWLEDGEMENT

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