

# ATM SERVICE-BASED SELECTIVE RETRANSMISSION OVER DSN SATELLITE LINKS

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## Abstract

Asynchronous Transfer Mode (ATM) has been designed with low loss, low delay optic fiber as the transmission medium. However there are many applications which will require mobile and satellite communications. Many problems arise due to the high and bursty error rate of atmospheric channels. Furthermore some of the applications may be critical and tolerate no loss, while other services may tolerate a certain loss. We propose a service based ATM Adaption Layer scheme to gain bandwidth for selective retransmission of cells in reliable non-real-time delivery in order to maximize efficiency.

## 1 Introduction

ATM is an emerging standard for broadband communications and it involves the switching of small fixed-length packets [1] [2]. ATM allows the sharing of resources between many different services by statistically multiplexing the sources. While ATM has been proposed for high speed services, at rates of 155 Mb/s and 622 Mb/s, there is increasing interest in ATM at rates as low as 1.544 Mb/s (T1) [3] [4]. The interest is stimulated by two factors: (1) expensive or lack of sufficient bandwidth and (2) lack of services requiring the larger bandwidths.

When dealing with mobile communication systems it is unlikely that the larger bandwidths will be available in the near future. However, there are a number of areas, civilian and military, where mobile or wireless operation is critical and there is a desire to investigate the performance and advantages of ATM in this area. There is

● This work was done while on leave from Dublin City University, Ireland.

also a current need to share the bandwidth resource in a more efficient manner. Multiplexing the services that are currently using the bandwidth is seen as an advantage of ATM.

The Jet Propulsion Laboratory (JPL) operates the Deep Space Network (DSN) for NASA. The ground facilities of the DSN consists of three main antenna sites, around the world, and JPL. These sites are connected together by commercial satellite links. The bit rates being used are generally T1 or less. The services that use these links vary from command files for spacecraft and ground distribution of telemetry, to voice communications among operators and test data. There is also the future possibility of using these links for digital video. The accuracy of the data from and to spacecraft is critical while other data and voice may not be as important. At present there is no automatic method of differentiating between the different services that use the network.

ATM has been designed with optic fiber as the expected transmission medium. This means that the expected errors will be produced by Gaussian noise and hence will introduce random geometrically distributed bit errors. With bit errors expected to be of the order of  $10^{-10}$ , it means that, at most, only one bit error will be expected in each 424-bit cell. Therefore error detection and one bit error correction will almost fully protect the data. In satellite links bursty errors are common. These bursts may be a result of Gaussian noise, but rather than producing randomly distributed bit errors they produce a large group of bit errors due possibly to the coding of the channel. It is also possible that degraded performance may occur on the link causing increased bit errors which may destroy the link for a fraction of a second or more. The overall performance of the satellite links is usually about  $10^{-7}$

and single-bit correction is not likely to protect the data. The loss for the data and the header of the ATM cell is shown in Figure 1 versus the error rate.

occur. Rather than take one of the predefined models or be involved in the actual coding and transmission schemes being used on the link, we use an empirical model based on actual test data

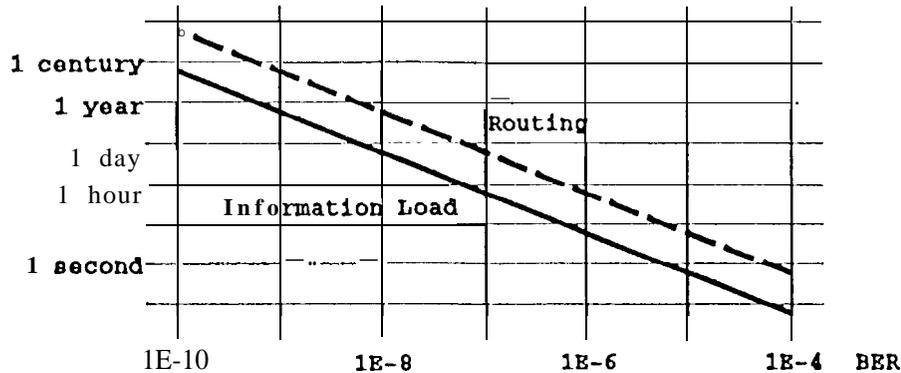


Figure 1: Loss For 155-Mb/s Link For Various Bit Error Rates (BER)

It is seen that for optic fiber media there is about one cell lost every century for a 155 Mb/s link whereas for satellite links the loss will be about one cell every minute. Therefore different error-detection methods must be implemented when using ATM over satellites. If the errors were likely to be all bursty then the cell header could be used to discard incorrect cells. However with both bursty and random errors the information in the cells also needs to be protected. There are many schemes proposed to adapt ATM to non optic fiber environments, [4] [5], however these do not take into account the type of error found on the satellite links used by JPL [6].

The rest of this article is organized as follows: the model for the satellite channel is described in Section 2, modifications to the ATM protocol are investigated in Section 3, a model for the system and the simulation are shown in Section 4, the results are presented in Section 5, and the conclusions are drawn in Section 6.

## 2 Channel Model

The satellite link is characterized by the delay across the link and the bit rate of the link. In this article the delay across the link is taken to be 270 ms, and the bit rate of the link is T1, or 1.544 Mb/s. There is also the error due to incorrect decisions on the bits at the receiver. There are many models proposed to model this bit error and most are based on reasoning why the errors

taken from the DSN and we also assume Gaussian noise. Therefore we end up with a combination of burst errors and single-bit errors due to Gaussian noise.

The experimental data used to model the burst-error distribution are from fractional T1 links, which vary in bit rate from 56 kb/s to 224 kb/s and the tests were taken over a period on the order of two days. A total of 1E+11 bits were used from the test [6]. On investigation of these results we first made a distinction between non-burst events and burst events. During the non-burst events only single-bit errors occur in a random manner and the satellite link is in this mode of operation about 99.75 percent of the time. Secondly, while in a burst event, groups of continuous bit errors occur. These burst errors do not occur in a purely random fashion and so we model the interarrival time of the burst errors by another empirical distribution. Thus the resulting model is made up of random single-bit events and burst events. In the burst event the probability distribution for the interarrival of burst errors is shown in Figure 2. The mean time between bursts is approximately 36 seconds, and the variance is 24 seconds.

The duration of the burst itself is given by the burst length and the probability distribution for this is shown in Figure 3. The mean burst duration is 0.15 seconds with a variance of 0.26 seconds. Thus a burst may have tens of thousands of bits in error continuously. We model both the burst length and the burst interarrival

in terms of time rather than in terms of bits so that this can be applied to different bit rate links.

which breaks a message up into cells. There are a number of proposed AAL's specified for different

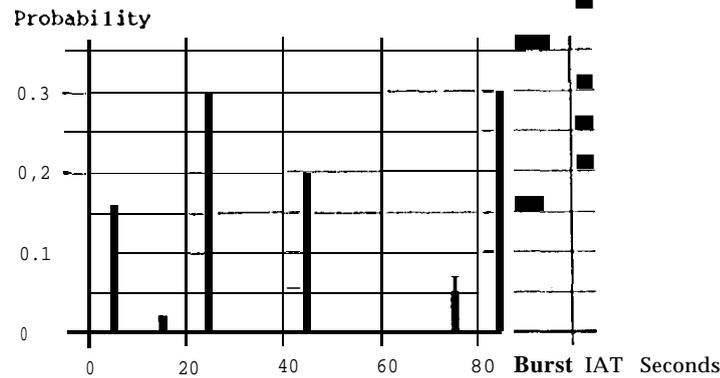


Figure 2: Probability Distribution Of Burst Interarrival Times (IAT)

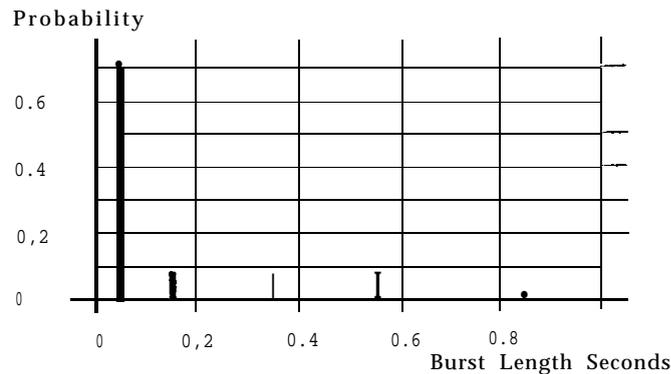


Figure 3: Probability Distribution Of Burst Length

### 3 ATM Adaption Layer

The ATM protocol has three layers corresponding approximately to the bottom two layers of the OSI model [7]. The bottom layer, which is called the physical layer, is concerned with the physical transmission of the bits. The second layer, the ATM layer, takes a payload of 48 bytes and puts a 5-byte header on to it to form a 53-byte cell. This header has the routing and addressing information in it, as well as an 8-bit cyclic redundancy code (CRC) that detects header errors [2] and corrects single-bit errors. The 48-byte payload comes from the third layer, the ATM Adaption Layer (AAL), which adapts the cells to the different services.

The lower part of the AAL is called the Segmentation And Reassembling (SAR) sublayer

applications [1] [2]. The proposed AAL for data transfer is AAL 3/4, which uses a 44-byte information load and a 2-byte header and trailer. In the trailer of AAL 3/4 is a 10-bit CRC that protects the information load from errors. However due to the small CRC, the potential undetected error rate is high ( $10^{-3}$ ), and there is no correction for double-bit errors. Furthermore the four bits allowed for a sequence number will limit the throughput on the long-delay satellite links, e.g., 10.5 kb/s when the delay is 270 ms.

We suggest that it is more efficient to consider moving the CRC and the sequence numbers to the higher Convergence Sublayer (CS) [8]. By moving the sequence number to this layer we gain larger blocks to put error detection and correction data on. For the AAL 3/4 the overhead is about 8 percent but to modify that for a satellite link we would need at least an 11-bit sequence

number. Also by moving the CRC to the CS sublayer the undetected error rate is reduced to less than  $1E-9$ .

The ATM standards specify many AAL's that can be used, but it is permissible to specify a different AAL for a particular application. We suggest that high-speed, long-delay satellite links need a unique AAL. This can be achieved by inserting the sequence number and the CRC at the CS sublayer, requiring a type of framing. The AAL that we propose is capable of supporting 155 Mb/s throughput with a 270 ms delay on a single channel. The proposed framing structure is shown in Figure 4.

It can be seen that the frame is at the CS sublayer and takes 568 bytes of user data and adds 8 bytes of overhead to form a 12 by 48-byte frame to be split up by the SAR into 12 cells. The 8 bytes of overhead is made up of a 32-bit CRC, which could be the standard IEEE 32-CRC [8]. There is a sequence number of 16 bits which allows a full 155 Mb/s of data on a link with the delay as given above. The sequence number needed for 155 Mb/s depends on the size of the frame, and the error characteristics need to be known for an optimally sized frame. It is shown in Section 5 that a frame size of about 12 cells is a fair estimate given the conditions of our channels.

stream for **Framing**

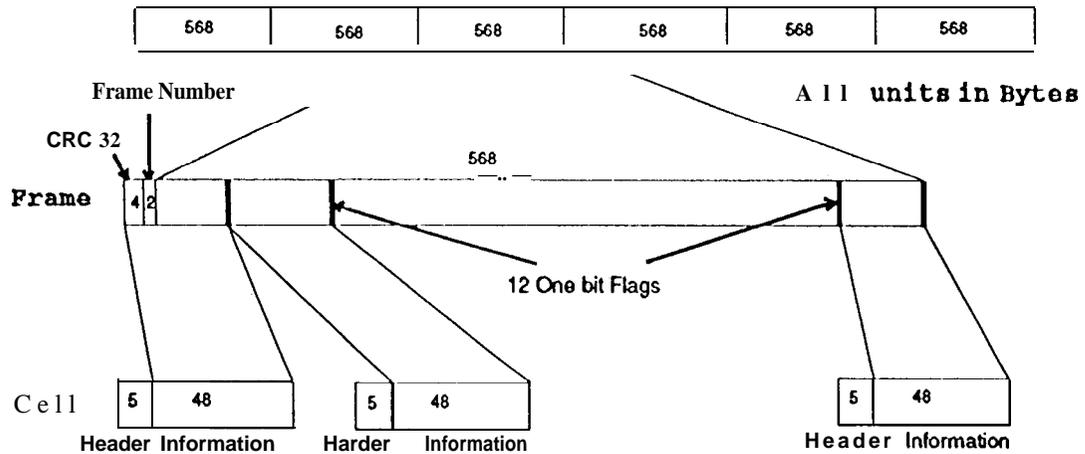


Figure 4: Proposed Convergence Sublayer AAL

In this article it is also suggested that there be service selection to improve efficiency for reliable delivery. This is needed because real-time data and voice usually cannot tolerate delays of one and a half times the round trip propagation delay. For example, voice will tolerate the 270 ms propagation delay, but if it is in error, it will take another 540 ms for retransmission, even assuming no congestion delay. The total delay is 810 ms, which is unacceptable. Therefore there is no use in trying to detect errors or retransmit voice cells. In fact, any service that is delay sensitive in the sense of less than a few seconds is considered here to be real time and so is not framed for error detection and retransmission.

Even if the link is fully occupied we propose to make room for the retransmission of reliable data by discarding some of the real-time cells, e.g., the voice cells.

At the receiver there is a problem when a cell is lost in that it is not known whether it is a voice cell or is one of the framed cells. To overcome this and to maintain the framing synchronization, a one-bit flag is proposed to show the start of the frame. This one-bit flag is the last bit of the cell and tells whether the cell is the first in the frame or not.

## 4 Simulation Details

The AAL model and the selective retransmission model were simulated using SES/workbench [9]. SES/workbench is a discrete-event package that allows hardware and software simulation. The model of the retransmission scheme and the error probabilities in this paper are created by use of the graphical inter-

face. The SES/workbench compiles the code to C and runs on a four-processor SparcServer 629. Each simulated data point is the equivalent of 17 days on a T] satellite link, but rather than modeling the  $2E+12$  bits, only the burst event is simulated. This reduces the simulation to  $5E+9$  bits and further optimization of the model compresses this to  $5E+7$  events. This can take between 3 and 36 hours of run time. In total 1300 hours of CPU time was expended in the simulation tests. The model consists of the generation of real-time and framed cells as shown in Figure 5.

time-out delay, the frame skips to the head of the transmitter buffer, after checking that there is sufficient room in the buffer. If there is not sufficient space then real-time cells are discarded from the buffer, and if this does not release enough space then the frame is discarded. The real-time source cells check the delay in the transmit buffer before joining the queue. If the delay is more than a specified value, in this case 50 ms, then the channel is assumed to be in burst error and the cells are discarded.

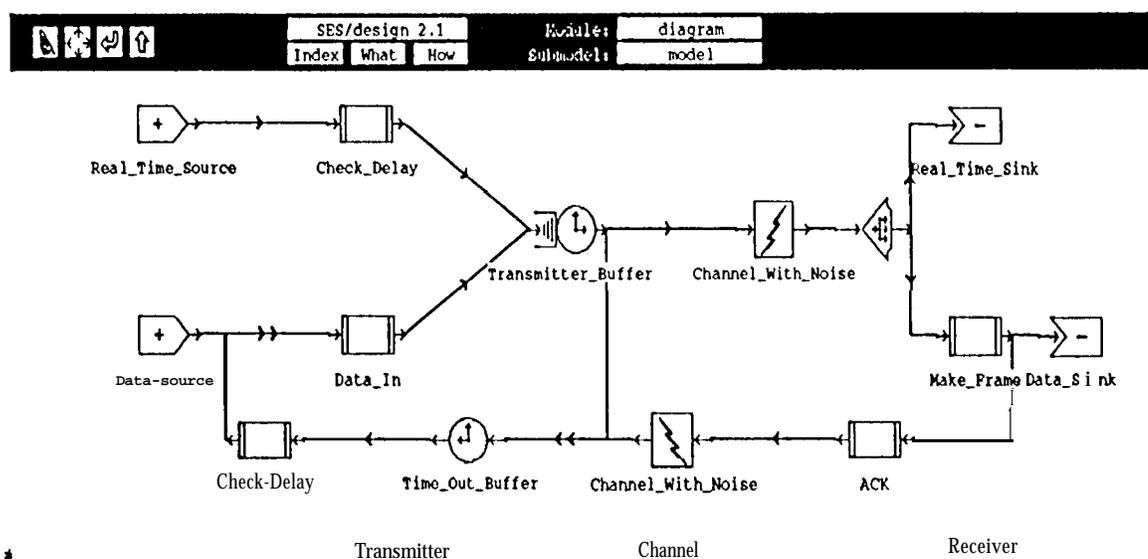


Figure 5: Simulation Model

There is a buffer at the transmitter, which varies in size, that can be used to influence the throughput. The frames are numbered just as they leave the transmitter buffer with modulo 65 563. The channel has two sources of noise, burst errors and Gaussian noise. At the receiver the real-time cells and all the errored cells are released while the others are framed. If any bits in the frame are in error the whole frame is discarded. If the frame CRC is OK then an acknowledgement is sent back to the transmitter, through a channel in which it also may encounter errors. The transmitter, after transmitting the cells onto the channel, keeps the framed cells in a time-out buffer. This buffer is 111,3 kbytes, which accommodates the two-way propagation delay plus a small processing delay. When an acknowledgement arrives the frame is discarded from the time-out buffer. Otherwise after the

## 5 Results

It is possible to guarantee delivery of the framed cells with the given error probabilities by means of retransmission. However if the ratio of framed cells to real-time cells is high then some framed data will be lost due to the burst errors. Tests were carried out for three types of errors, first only bursty errors, then only Gaussian errors, and finally for both Gaussian and bursty errors. For each of these types of errors the effect of the buffer size is investigated. Also, the effect on the cell loss of changing the framed data mix is investigated.

For burst errors, the cell loss for framed data varies depending on the mix of framed data

and to a lesser extent on the transmitter buffer size. Hence the Gaussian error model on its own will not be sensitive to transmitter buffer size. This is shown in Figure 6.

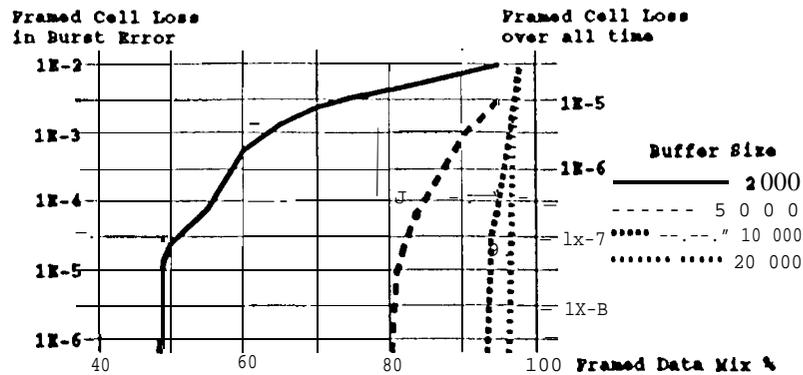


Figure 6: Framed Data Loss For Burst Error, Varying Buffer Size

The cell-loss rate for framed data can be decreased to whatever value is required by either backing off the framed data mix or increasing the transmitter buffer size. However as the percentage of framed data gets toward 100 percent the effect of the buffer is small and the graph becomes almost vertical meaning that either all the data gets through or gets lost.

It becomes important to find the value of framed data mix that allows all the framed data to get through without error. For both bursty error and Gaussian noise the buffer required for no framed data loss is shown in Figure 7.

can be seen by comparing how the bursty error and the Gaussian error vary the framed data loss with transmitter buffer size, as shown in Figure 8. The variation in loss by varying the transmitter buffer size is almost negligible in the Gaussian-error case compared to the large variation in the burst-error case. To combat Gaussian errors, a small buffer, the same size as the time-out buffer will suffice. The gain of getting no loss for the framed data cells has a penalty side by having to retransmit the ones in error,

If there is no excess capacity, as is assumed in this article, then there is going to be loss in

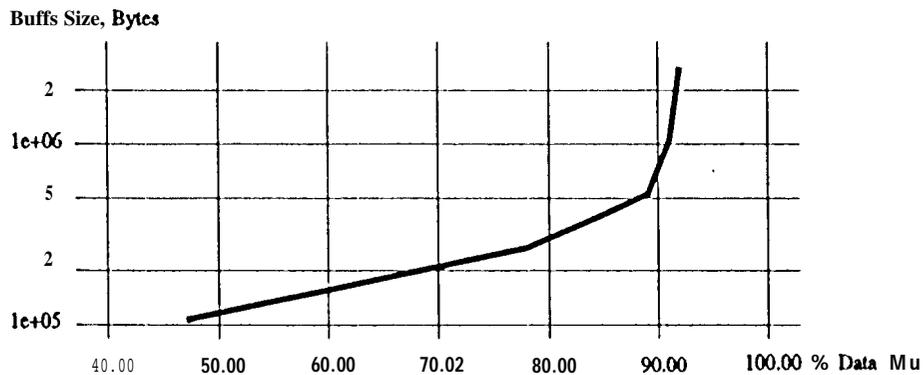


Figure 7: Transmitter Buffer Required For No Framed Cell Loss

As expected, as the percentage of framed data increases towards 100 percent the buffer size required for no loss increases faster than exponential. It appears that the best scheme is to have a reasonable mix of framed data and real-time traffic. Gaussian noise introduces only single-frame errors for bit error rates lower than  $1 \text{ E-}5$ .

the real-time cells. To see the effect of this loss, a plot of real-time cells (or voice cells) lost due to burst events for various transmitter buffer sizes and against the framed data mix is shown in Figure 9. In the burst event it is possible to lose all the voice cells if the mix of framed data is high enough. This means that we have run out

of bandwidth to retransmit. However this loss when averaged out over the day is on the order of  $10^{-3}$ . Also the loss is concentrated into intervals of seconds.

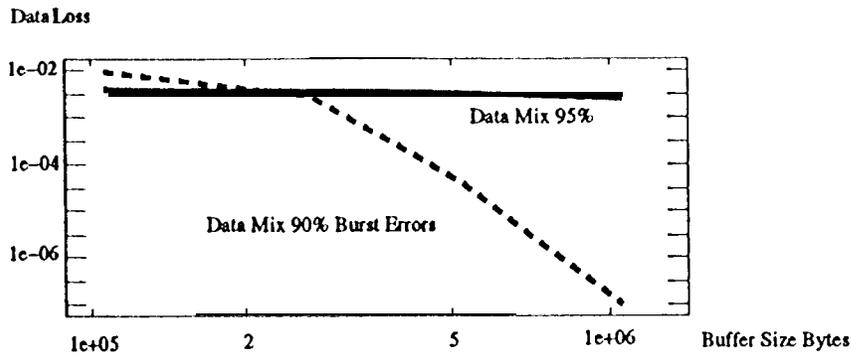


Figure 8: Effect Of Varying The Buffer Size

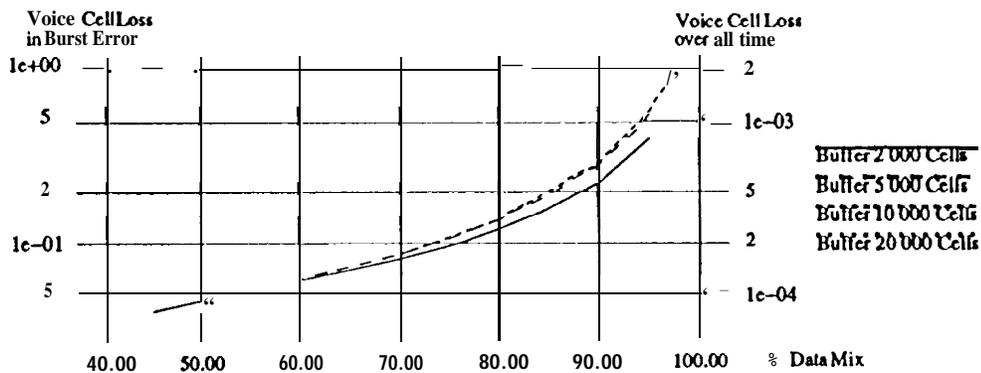


Figure 9: Real Time Cell Loss, Varying Buffer Size

There may be schemes to lessen the effect of this loss of voice and spread it out more evenly. However if the critical objective is not to lose framed data this is the price that may have to be paid. Also the size of the transmitter buffer has almost no effect. This is because when the delay expected in the transmitter buffer is more than 50 ms, the real-time cells are discarded. The loss of the voice cells is dependent almost entirely on the error statistics of the model and not on the retransmission model.

The frame size is an important decision and the optimum value depends on the error rate of the link as well as the distribution of errors. Small frames have high protocol overhead but have the advantage that when an error occurs only a small number of bits are lost. Large frames have lower protocol overhead but when one is lost a large number of bits are lost. It is the Gaus-

The variation in throughput is shown in Figure 10 for Gaussian noise variations.

What is noticed is that for the lower mix of framed cells, for example 50 percent, the lower frame size is preferred, but for higher percentage of framed data, for example 80 percent, larger frames would achieve higher throughput. If there is only one link then there could be an optimum-sized frame, or even an adaptive frame size as is ahead y implemented on other applications [4].

## 6 Conclusions

It is possible to send critical data reliably (with no loss) over satellite links by specifying a new ATM Adaption Layer, as is allowed by the standards. The guarantee of delivery is achieved

by the simplest retransmission scheme of using only positive acknowledgements and time-outs [10].

Mb/s cells. The differentiation due to service is possible due to the nature of ATM and is taken advantage of in this scheme.

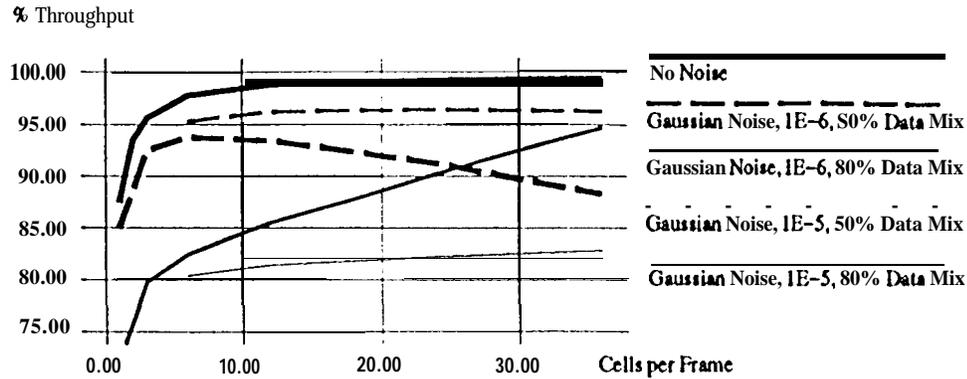


Figure 10: Variation Of The Number Of Cells In A Frame

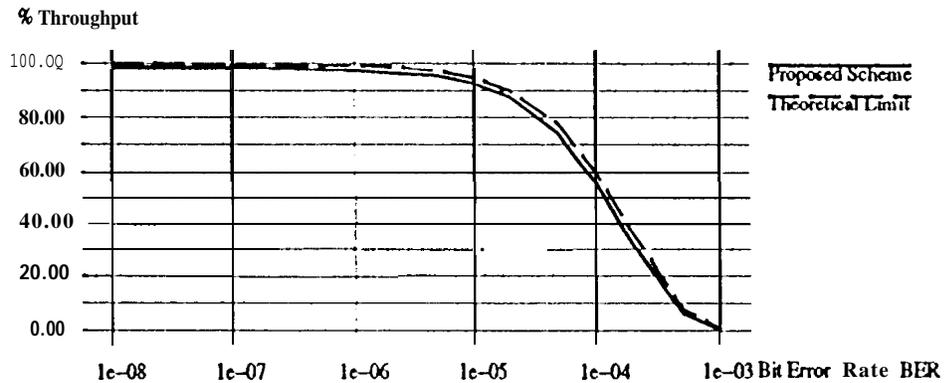


Figure 11: Comparison Of Proposed Scheme With Theoretical Limit

It is felt that more complicated retransmission schemes, such as ones with negative acknowledgements, would only complicate the design of the system for a diminishing return.

The AAL proposed in this article achieves an extra 8 percent efficiency over the AAL 3/4 specified by the standard. In fact this proposed AAL is close to the theoretical limit as is shown in Figure 11.

It is possible to use this retransmission scheme with small buffers if there is a good mix of critical data and real time sources. This scheme can also be incorporated into a data stream that is part of a larger link, such as a 45 Mb/s link. This would allow the T1 to carry data guaranteed to be reliable, while losing some of the 45

## 7 Acknowledgements

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