

Performance Analysis and Optimization on a Parallel Atmospheric General Circulation Model Code

John Z. Lou

Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA 91009

John D. Farrara

Department of Atmospheric Sciences, University of California, Los Angeles, CA 90025

Abstract

An analysis is presented of the primary factors influencing the performance of a parallel implementation of the UCLA atmospheric general circulation model (**AGCM**) on distributed-memory, massively parallel computer systems. Several modifications to the original parallel AGCM code aimed at improving its numerical efficiency, load-balance and single-node code performance are discussed. The impact of these optimization strategies on the performance on two of the **state-of-the-art** parallel computers, the Intel Paragon and Cray T3D, is presented and analyzed. It is found that implementation of a load-balanced **FFT** algorithm results in a reduction in overall execution time of approximately 45% compared to the original convolution-based algorithm. Preliminary results of the application of a load-balancing scheme for the Physics part of the AGCM code suggest additional reductions in execution time of 10-15% can be achieved. Finally, several strategies for improving the single-node performance of the code are presented, and the results obtained thus far suggest reductions in execution time in the range of 35-45% are possible.

1. Introduction

The Earth's climate system is characterized by complex interactions and feedbacks among its components. General circulation models (**GCMs**) of the atmosphere and ocean are among the most **powerful** tools available for studies of the climate system and its **variability**. Numerical simulations performed using GCMs are among the most **computationally** expensive scientific applications because a large number of three dimensional physical fields need to be updated at each time step by solving a system of nonlinear partial differential equations governing fluid motion on a rotating sphere, and also because a very long simulation period is required to produce statistically significant numerical results. Parallel computers are thus natural tools for GCM simulations,

An atmospheric GCM model was developed at UCLA by **Arakawa** and co-workers [1] during the seventies, and the model is still being constantly upgraded by atmospheric scientists there. The first parallel implementation of the UCLA AGCM model was developed as a collaborative effort between Lawrence **Livermore** National Laboratory and UCLA. The performance results presented in the paper by **Wehner** et al. [2] revealed that the parallel efficiency of the code on large numbers of processors (> 100) is mediocre. In other words, the code does not "scale" well to a large number of processors. Here scalability refers to the reduction of execution time as more processors are used for a **fixed** problem size. The main objective of our work is to analyze the AGCM algorithm components and their parallel implementations from a computational performance perspective, **find** bottlenecks that hinder the parallel scalability of the code, and use better algorithms and

more efficient parallel implementation strategies to maximize the performance of the AGCM code on scalable parallel systems.

This paper is organized as follows: Section 2 gives a brief overview of the structure of the parallel UCLA AGCM code and an analysis of its parallel performance, Section 3 discusses our optimization strategies on the code to improve its performance on massively parallel systems, Section 4 presents a performance comparison between the modified parallel code and the original one, Section 5 offers some of our thoughts on developing reusable template modules for GCM simulations, and finally in Section 6 we present our conclusions.

2. Structure and performance of the parallel AGCM code

The UCLA AGCM code is a software package which simulates many physical processes. The reader is referred to Suarez et al. [3] and references therein for a more complete description of the representations of the physical processes. As a result of the different algorithms used to represent the many different processes, the AGCM code is complex and heterogeneous. There are, however, two major components of the code: i) **AGCM/Dynamics**, which computes the evolution of the fluid flow governed by the primitive equations by means of finite-differences, and ii) **AGCM/Physics**, which computes the effect of processes not resolved by the model's grid (such as convection on cloud scales) on processes that are resolved by the grid. The results obtained by **AGCM/Physics** are supplied to **AGCM/Dynamics** as forcing for the flow calculations. The AGCM code uses a three dimensional staggered grid for velocity and thermodynamic variables (potential temperature, pressure, specific humidity, ozone, etc.). This three dimensional grid is formed by the **Arakawa C-mesh** [1] in the horizontal (latitude/longitude) directions with relatively small number of vertical layers (usually much fewer than the horizontal grid points), A cell in such a grid is a cube in spherical geometry with velocity components centered on each of the faces and the thermodynamic variables at the cell center, The **AGCM/Dynamics** itself consists of two main components: a spectral filtering part and the actual finite difference calculations. The filtering operation is needed at each time step in regions close to the poles to ensure the effective grid size there satisfies the **Courant-Friedrich-Levy (CFL)** condition [4], a stability requirement for explicit time-difference schemes when a fixed time step is used throughout the entire spherical finite-difference grid.

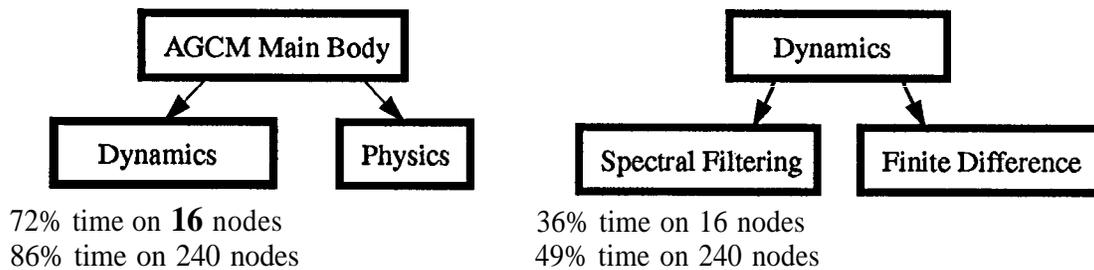


Figure 1. Execution times of major components in the UCLA AGCM code

A two-dimensional grid partition in the horizontal plane is used in the parallel implementation of the UCLA AGCM model. This choice of grid partition is based on the facts that column (vertical) processes strongly couple the grid points which makes the **parallelization** less efficient in the column direction, and that the number of grid points in the vertical direction is usually small.

Each **subdomain** in such a grid is a rectangular region which contains all grid points in the vertical direction. **With** this grid partition, there are basically two types of **interprocessor** communications involved in the parallel AGCM simulation, Message exchanges are needed among (logically) neighboring processors (nodes) infinite-difference calculations; non-nearest neighbor message-passing is needed for implementing the spectral **filtering** operations. Timing measurements on the main components of the original parallel AGCM code, using the 2 x 2.5x 9 (**lat** x long x vertical) resolution which corresponds to a 144 x90x 9 grid, are shown in Figure 1,

As shown in Figure 1, the AGCM main body consists of a Dynamics module and a physics module, with preprocessing and postprocessing parts excluded, Since preprocessing and postprocessing steps are only performed once, whereas the main body part is iterated through a time stepping loop in the AGCM simulation, the latter is absolutely dominant in terms of execution time. Comparing the two modules in the main body, we can see the Dynamics part is dominant in cost especially on large numbers of nodes. Furthermore, our timing analysis on the Dynamics part indicates that the spectral filtering is a very costly component with poor scalability to large number of nodes (see Figure 1). Although the use of spectral filtering in the UCLA AGCM model improves the computational **efficiency** of the finite-difference calculations by enabling the use of uniformly larger time steps, the high cost of performing the filtering, however, offsets a portion of this performance gain, The inferior performance of the filtering operation is due to the use of an inefficient filtering algorithm and the existence of a severe load imbalance in the filtering stage.

It is clear from Figure 1 that in order to substantially improve the overall performance of the AGCM code, some optimization must be done first on the filtering part of the code [2],

3. Optimization strategies and implementations in the parallel AGCM code

There are primary two ways to improve the performance of a parallel code running on a distributed-memory message-passing computer. One way is to optimize its single-node performance by using a more efficient computational algorithm, making more efficient use of data cache or eliminating redundant operations in the code, which can usually be **achieved** by restructuring the data structures, rewriting loops and using appropriate compiler switches for optimization. Another way is to improve its scalability (or parallel efficiency) to large numbers of processors so that one can either reduce the solution time for a large problem by using more processors, or can solve increasingly larger problems with more processors within a fixed amount of time, The scalability of a parallel code is affected both by the ratio of communication cost to computation cost and the degree of load imbalance in the code. As stated above, our timing results indicate the cost of spectral filtering procedure is a substantial part in the parallel AGCM **code**, especially when running on a large number of nodes, We therefore focused our first effort on improving the overall performance of the filtering part in the AGCM code.

3.1) Spectral filtering in the UCLA AGCM model

The filtering algorithm used in the UCLA AGCM model is basically a set of discrete Fourier filters specifically designed to damp fast-moving inertia-gravity waves near the poles, These wave modes become numerically unstable when the CFL condition is violated in the vicinity of the poles as a result of the increasingly smaller **zonal** grid distances as one approaches the poles in a

uniform longitude-latitude grid. The filters contain a latitudinal dependence but are applied over the complete longitudinal domain on every vertical layer, As discussed in [1], the filtering operation takes the form of an inverse Fourier transform in wavenumber space as

$$\phi'(i) = \frac{1}{(M+1)} \sum_{s=1}^M \hat{\phi}(s) \hat{S}(s) e^{i\lambda, s} \quad (1)$$

where $\hat{\phi}(s)$ is the Fourier transform of a generic variable $\phi(s)$ to be **filtered**, $\hat{S}(s)$ is a prescribed function of wavenumber and latitude, but is independent of time and height. In particular, two types of filtering are performed in the UCLA AGCM code. One is the so called “strong **filtering**” which is applied to about one half of the latitudes (poles to 45°) in each **hemisphere**; the other is a “weak **filtering**” which is applied to about one third of the latitudes (poles to 60°) in each **hemisphere**. The convolution theorem for Fourier transforms states that the filtering as defined in (1) is mathematically equivalent to the convolution

$$\phi'(i) = \sum_{s=1}^M S(n) \phi(i - n). \quad (2)$$

In the original AGCM code, filtering was performed using the convolution form in (2), In its parallel implementation, the summation defined in (2) was implemented in several ways, involving either communications around “processor rings” in the longitudinal direction, or communications in binary trees [2]. Letting N denote the number of grid points and P the number processors in the latitudinal direction and **since** no partial summation is performed during the data transfer, the ring approach requires $P \log P$ messages and a total transfer of NP data elements; the **binary** tree requires $O(2P)$ messages and a transfer of $O(NP + N \log P)$ data elements [2].

The high cost of the filtering compared to the rest of the Dynamics module as shown in Figure 1 stems from two important factors. The first is the use of convolution formulation (2) in physical space for the filtering, Assuming a three-dimensional grid for **filtering** with dimensions $N \times M \times K$, where N, M, K , are dimensions in longitudinal, latitudinal and vertical directions, respectively, the computational cost of doing convolution on the grid is of order $O(N^2 \times M \times K)$, whereas the cost for the rest of Dynamics code is of order $O(N \times M \times K)$. The second is the existence of a severe load imbalance caused by the fact that only **subdomains** at high latitudes require filtering. Solutions to these problems are somewhat obvious: (i) use the fast Fourier transform (**FFT**) instead of performing direct convolution for the filtering, and (ii) perform load balancing before filtering by redistributing data to be filtered from processors containing high latitude **subdomains** to processors containing low latitude **subdomains** which either have very little filtering to do or are completely idle during the **filtering** stage.

3.2) Efficient parallel spectral filtering

Since the spectral filtering is applied to lines of grid points at high latitudes and the grid decomposition for the UCLA AGCM code is a two dimensional decomposition in the horizontal plane, the FFT operation requires interprocessor communication. There are at least two possibilities to **parallelize** the **FFT filtering**. One is to develop a parallel one dimensional FFT procedure for pro-

processors on the same rows in the processor mesh, so that this procedure can be applied to every line of data to be filtered. The second approach is to partition the data lines to be filtered and redistribute them among processor rows in the latitudinal direction so that FFTs on each data line can be done locally in each processor. The second approach essentially involves a data array transpose. These FFT filtering approaches have a computational cost of $O(N \times \log N \times M \times K)$, Again letting N denote the number of data elements and P denote the number of processors in the latitudinal direction, the approach using the parallel one dimensional FFT requires $O(P \log P)$ messages and a transfer of $O(N \log N)$ data elements, while the approach using a local FFT after a data transpose requires $O(P^2)$ messages and a transfer of $O(N)$ data elements. Therefore the first approach requires fewer messages but exchanges larger amounts of data than the second approach.

We chose to implement the second approach for the spectral filtering in the AGCM code. The main reason for our choice is the relative simplicity of implementing the data transpose and the possibility of using highly efficient (sometimes vendor provided) FFT library codes on whole latitudinal data lines within each processor.

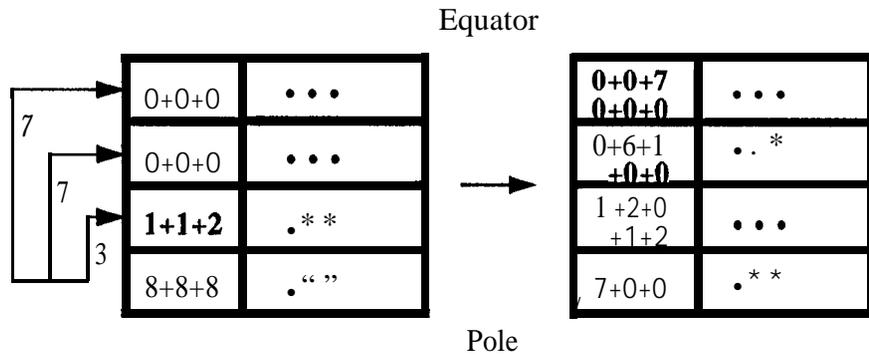


Figure 2. An illustration of data row redistribution for a load balanced filtering.

3.3) Load-balanced parallel FFT filtering

To solve the load-balance problem in filtering, we need to redistribute the data rows to be filtered along the latitudinal direction. In the UCLA AGCM code, the spectral filtering is performed at each time step before the finite-difference procedures are called, Weak and strong filterings are performed on different sets of physical variables, one variable at a time in the original AGCM code. To maximize the performance efficiency from the load balance procedures, we reorganized the filtering process so that all weakly filtered variables are filtered concurrently, as are all strongly filtered variables. This change is possible because there is no data dependency among weakly filtered variables, nor among strongly filtered variables in the filtering process. Based on these considerations, we decided to implement a generic load balancing module which does the following: given an $M \times N$ processor mesh, with M processors in the latitudinal direction and N processors in the longitudinal direction, with L variables to be filtered (weakly or strongly), each with R_j ($j = 1, \dots, L$) rows of data to be filtered, redistribute the data rows in the longitudinal direction so that after redistribution, each processor will contain approximately (since total number of data rows to be filtered are usually not divisible by N)

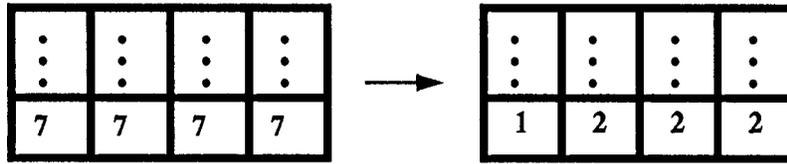


Figure 3. Data row transpose in latitude direction following the row redistribution shown in Figure 2.

$$\left(\sum_{j=1}^L R_j \right) / N \quad (3)$$

rows to be filtered, If it **could** be assumed that **exactly** half of the data rows in one hemisphere are to be filtered, which is the case for the strong filtering in the AGCM code, the implementation of data redistribution for load balancing would be a relatively simple task, All that would be required in this ease is to redistribute data rows in a way which is symmetric about the 45° latitude line in each hemisphere. Since we need to do load balancing for both weak and strong filterings, a more general scheme is needed. We therefore decided to implement a code module which **can** produce a balanced load in (3) regardless of the number of rows to be filtered in each hemisphere. Figure 2 shows an example of how data rows for three variables are redistributed in a hemisphere in an $M \times 8$ processor mesh, The load redistribution is followed by a data row transpose and redistribution among **processors** in the longitudinal direction. Figure 3 shows the data row transpose performed after the row redistribution shown in Figure 2. The actual **FFT** filtering is performed on data rows after the data transpose, which is then followed by inverse data movements to restore the data layout which existed prior to the filtering,

Due to the generality required for the load-balancing of the parallel FFT module, some non-trivial set-up code is needed to construct information which guides the data movements for the load-balancing and load-balanced parallel **FFT**. The set-up involves substantial bookkeeping and **interprocessor** communications. Its cost is not an issue for a long AGCM simulation since it is done only once, and its cost is also nearly independent of AGCM problem size,

3. 4) Load balancing the physics component

The Physics component of the AGCM code consists of a large amount of local computations with no **interprocessor** communication required with the two-dimensional partition of the grid. The measured parallel efficiency of the physics component with a 2 x 2,5x 29 grid resolution is about 50% on 240 nodes on Cray T3D. Since there is no communication cost, it is only the **load-imbalance** in the column physics processing that drags down the parallel efficiency. The distribution of computational load in the physics component varies dynamically with space and time in the AGCM simulation. The amount of computation required at each grid point is determined by several factors, including whether it is day or night, the cloud distribution, and the amount of cumulus convection determined by the conditional stability of the atmosphere. Adding to the difficulty of **physics** load-balancing is the unpredictability of the cloud distribution and the distribution of cumulus convection, which implies an estimation of computation load in each processor is required before any **efficient** load-balancing scheme can proceed.

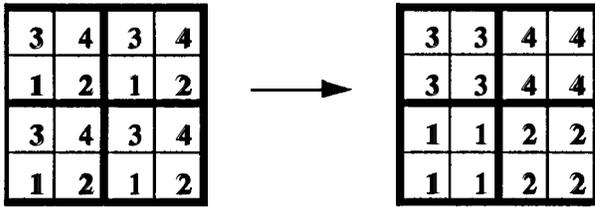


Figure 4. **Scheme 1: Cyclic data shuffling** among 4 processors to achieve a balanced load distribution. Each data piece is indexed with the id of the processor where it is to be processed.

Several possibilities of achieving load-balancing have been considered. One way to achieve a balanced load distribution is to perform a cyclic data shuffling among all processors. Suppose the total number of processors is N , each processor divides its local data to be processed into N pieces, sends $(N - 1)$ pieces of the data to other processors, and receives $(N - 1)$ pieces of data from other processors. Figure 4 shows such a data **shuffling** among four processors. The complete data shuffling as shown in Figure 4 guarantees a balanced load distribution as long as the load distribution within each processor is close to uniform in space, a reasonable assumption when N is large. The main drawback of this approach is the cost of performing all-to-all communications with a complexity of $O(N^2)$, and the division of each local data into N equal pieces for N processors does not seem to be **computationally** efficient when N is large.

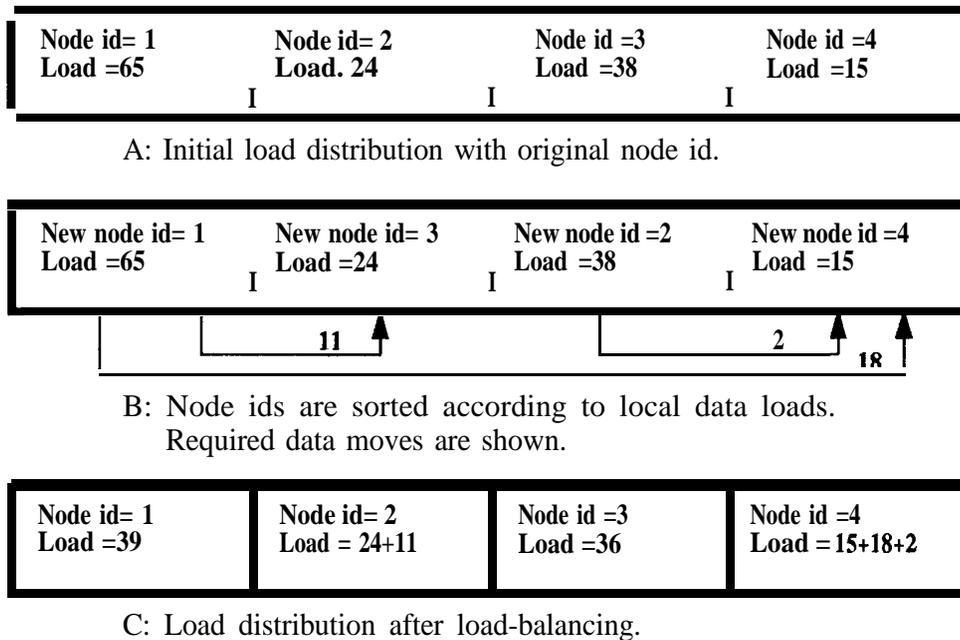


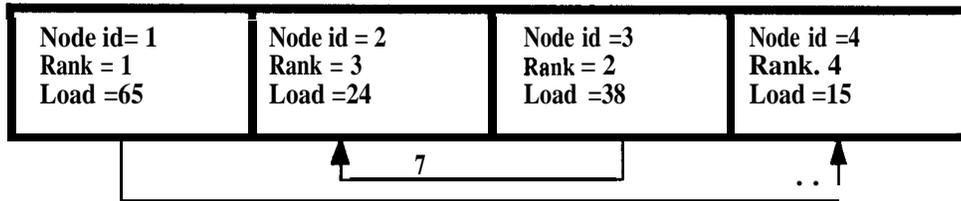
Figure 5, Scheme 2: An alternative which **optimizes** communication cost.

An alternative to a complete data **shuffling** for load balancing, but also guaranteeing a good load distribution, is to use an approach similar to the one discussed in the previous section for filtering operations. First, the computation load for each processor needs to be computed or estimated by some means. Let us look at a specific example for the sake of our discussion. Figure 5 illustrates the steps needed to balance the load among four processors. In Figure 5A, the computing load in each processor has been determined, and an integer weight is assigned to each local load. All

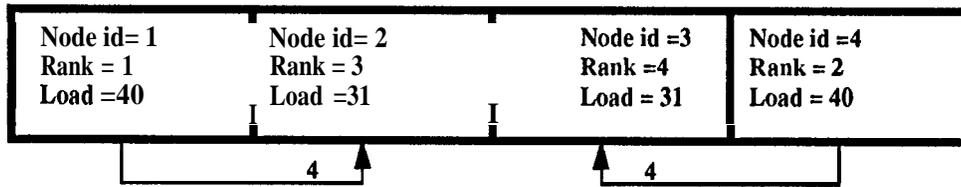
the nodes are then assigned a new node id through a sorting of all local loads. The sorting of local loads is performed to simplify subsequent data movement which attempts to minimize the amount of **interprocessor** communication. With the new node ids and weights of local load available, the required data moves can be carried out in a way similar to that for balancing the filtering load, as shown in Figure 5B. Figure 5C shows the new load distribution after the data movement. It can be seen that the communication complexity of this load-balancing approach is $O(N)$, a significant improvement over the complete data shuffling in scheme 1. However, a potentially significant overhead is incurred in operations to make the optimized data moves possible which involve a number of global communications and a substantial amount of local **bookkeeping**. This overhead cost was not a serious performance issue in the load-balancing for filtering because it is the cost from a pre-processing step that is done only once during the entire execution of the AGCM code, but the overhead for physics load-balancing cannot be overlooked because it is associated with the cost of each physics load-balancing. In addition, a decomposition of a local data load into many parts with different weights may not be a convenient thing to do.



A: Initial load distribution in each processor.



B: Nodes are assigned ranks. First **pairwise** data moves are shown.



C: **Nodes** are assigned ranks. Second pairwise data moves are shown.



D: Load distribution after second data movement.

Figure 6. Scheme 3: Load-balancing with pairwise data exchanges.

The analysis of load-balancing scheme 2 and 3 lead us to think that it may be more practi-

cal in our case to devise a load-balancing strategy that maybe less robust (if it is applied only once), but more cost-efficient and easier to implement, The approach that we decided to adopt requires only **pairwise interprocessor** communications for data movement and a small amount of bookkeeping. The steps for this scheme can still be illustrated by using the previous example for four processors, as shown in Figure 6. The scheme also begins with an evaluation of the local load in each processor, as shown in Figure 6A. The data load is sorted and a rank is assigned to each processor as a result of the sorting, and a **pairwise** data exchange between processors with rank i and rank $N - i + 1$ is initiated, as shown in Figure 6B. Due to the limitation of **pairwise** data exchange, the resulting load distribution after the first data move may not be satisfactory. If this is the case, the load sorting and **pairwise** data exchange can be repeated (as shown in Figure 6C). Figure 6D shows the load distribution after the second data move. Since each load-balancing cycle (sorting and **pairwise** data moves) is relatively low in cost, the cost of performing it a few times could still be less than that of the two previous schemes discussed.

The number of sorting and pairwise communication steps needed in scheme 3 to achieve a satisfactory load-distribution clearly depends on the initial load distribution. To evaluate the effectiveness of scheme 3 for load-balancing the actual Physics component code, we first implemented the load-sorting part in scheme 3, and used it as a tool to perform load-balancing on the physics component and to evaluate the results without actually moving the data arrays around. To estimate computing load in each processor, a timing on the previous pass of physics component was performed at each processor and the result was used as an estimate for the current physics computing load, **Tables 1-3** show the simulated results on 64, 126 and 252 nodes of a Cray T3D. With P processors, the percentage of load-imbalance shown in the last column of the tables is defined as

$$\text{AverageLoad} = \left(\sum_{i=1}^P \text{LocalLoad}_i \right) / P$$

$$\text{PercentageOfLoadImbalance} = \frac{(\text{MaxLoad} - \text{AverageLoad})}{\text{AverageLoad}}$$

Table 1: Load-balancing simulation for Physics with a 2 x 2.5 x 29 grid resolution on a 8 x 8 node array on Cray T3D

Code status	Max load (seconds)	Min Load (seconds)	% of load-imbalance
Before load-balancing	11.00	4.90	37%
After first load-balancing	7.70	6.20	9%
After second load-balancing	7.10	6.30	6%

Scheme 3 can be seen as an iterative scheme that converges to a load-balanced state from a given initial load-distribution state. The “convergence rate” of the scheme clearly depends on the initial state as the results in Tables 1-3 indicate. On 126 and 252 nodes, it can be seen from Table 2 and 3 that application of the scheme twice to the physics component can reduce the percentage of **load-imbalance** to a fairly reasonable level. One advantage of scheme 3 is its flexibility in making a com-

promise between the cost and quality of the final load-balance. A pairwise data exchange is only needed when the load difference in the pair of nodes exceeds some tolerance, and the iteration can stop as soon as the percentage of load-imbalance falls within a prescribed tolerance. To apply scheme 3 multiple times in an efficient way, the actual data movement among processors can be deferred until multiple sorting and load-averaging among processor pairs are performed. The final data movement cost can be minimized with a little extra communication among processors during the sorting and load-averaging stage, Efficient load estimate is a difficult task in actual load-balancing implementation due to the dynamic nature of the Physics computing, It seems to us a reasonable approach is to measure the actual local Physics computing cost once for every M time steps for a predetermined integer M , The measured cost will then be used as the load estimate in Physics load-balancing in the next M time steps. When applying the one-pass scheme 3 on 64 processors of a Cray T3D, we saw a 30% speed-up in the execution time of Physics module.

Table 2: Load-balancing simulation for Physics with a $2 \times 2.5 \times 29$ grid resolution on a 9×14 node array on Cray T3D

Code status	Max load (seconds)	Min Load (seconds)	% of load-imbalance
Before load-balancing	5.20	2.50	35%
After first load-balancing	4.00	3.14	1270
After second load-balancing	3.52	3.22	5%

Table 3: Load-balancing simulation for Physics with a $2 \times 2.5 \times 29$ grid resolution on a 14×18 node array on Cray T3D

Code status	Max load (seconds)	Min Load (seconds)	% of load-imbalance
Before load-balancing	3.34	1.12	48%
After first load-balancing	2.20	1.70	12.5%
After second load-balancing	1.92	1.80	6%

3.4) Single node performance optimization

With the use of the load-balanced **FFT** filtering module, we have been able to reduce the cost of **filtering** significantly in the parallel AGCM code (see Section 4). **With** the $2 \times 2.5 \times 9$ resolution on 240 nodes, for example, the filtering cost dropped from 49% of the cost doing Dynamics part to about 21%. Our timing of the code indicates the cost of communication for exchanging values at ghost grid points for the **finite-differencing** is relatively insignificant, usually around **10%** of the cost of the Dynamics component on 240 nodes. With a load-balanced physics component, we expect the overall execution time of the AGCM code be reduced by **10~15%** on 240 nodes. We now turn our discussion to the issue of single-node performance optimization for the AGCM wale. As is typical for a real-world application, the overall performance of the parallel AGCM code is well

below the peak performances on both Intel Paragon and Cray T3D nodes, which is usually an indication that the cache efficiency of the code is poor. Our main goal is to improve the single-node performance of the code - minimize the execution time of the code on a single processor - with a machine-independent and problem-size robust approach (i.e. without resorting to any assembly coding). We selected the **advection** routine from the Dynamics component and a routine involved in the longwave radiation calculation from the Physics component as the representative candidates for single-node performance analysis and optimization because of the heavy local computing involved in these routines and their significant cost in the AGCM code. Our optimization effort started from improving some of the more obvious code segments, such as eliminating or minimizing redundant calculations in nested loops, replacing appropriate loops by Basic Linear Algebra Subroutines (**BLAS**) library calls for vector copying, scaling and saxpy operations, and enforcing loop-unrolling on some **big** loops. We also tried to breakdown some very large loops involving many data arrays in hoping to reduce the cache miss rate. When applying these strategies to the advection routine, we were able to reduce its execution time on a single Cray **T3D** node by about 40%,

BLAS routines are usually significantly faster than average programmer's hand-coded loops in a high-level programming language for matrix-vector types of data processing because they were optimized for pipelining computing and cache efficiency with assembly coding. It seems, however, difficult for us to utilize the BLAS library beyond some low-level routines in a few places in our code. In a code based on **finite-differencing** schemes as the AGCM code, it is usually hard to cast major parts of computation into matrix-vector type operations. Instead, we found that a large part of the computations in our selected routines can be converted into what we call "pointwise vector-multiply", which, for example, have the following form in a two-dimensional nested loop:

```

DO j = 1, N
  DO i = 1, M
    C(i, j) = A(i, j) x B(i, s)
  ENDDO
ENDDO

```

where the subscripts can be either a constant or equal to j . The computation in the above loop is not one of the operations defined in the current BLAS library (e.g. on Cray **T3D**). We think one possibility to achieve good performance for such a loop is to develop an optimized library routine in assembly language which can recursively perform the following operation on two vectors $a = \{a_1, a_2, \dots, a_n\}$ and $b = \{b_1, b_2, \dots, b_m\}$

$$a \otimes b = \{a_1 b_1, a_2 b_2, \dots, a_m b_m, a_{m+1} b_1, \dots, a_{2m} b_m, \dots\} \quad (4)$$

where it is assumed that n is divisible by m . The interface of the routine can be such that it takes as input a set of data arrays and returns the result array. If some optimization on such a pointwise vector-multiply operation is possible in terms of cache and pipelining, there is a good chance for us to improve single-node performance for the AGCM code in a portable and robust fashion,

The general idea of cache-efficiency optimization is to explore data locality in an application so that the data in the cache can be reused as much as possible. In a finite-difference application such as the AGCM code, a major part of the **local** computations lie in the evaluations of finite-difference equations that involve a number of discrete fields corresponding to physical variables

Table 4: AGCM timings (**seconds/simulated day**) with old filtering module on Intel Paragon grid resolution: 2 x 2,5x 9

Node mesh	Dynamics	Dynamics speed-up	Total time (Dynamics and Physics)
1X1	8702	1.0	14010
4 x 4	848.5	10.3	1177
8 x 8	366	23.8	443.5
8 x 30	186	46.8	216

defined on computational grids. At each grid point indexed by (i, j, k) , the following type of code frequently occurs

$$r(i, j, k) = D_1 f_1(i, j, k) + \dots + D_m f_m(i, j, k), \quad (5)$$

where $f_i (i=1, \dots, m)$ are discrete fields and $D_i (i=1 \dots m)$ are stencil operators. Although it seems natural, as done in the AGCM code, to allocate storage corresponding to discrete fields in (5) as separate data arrays, the cache efficiency in computing (5) on those separate arrays is usually rather poor when the typical array size is much larger than the cache size or when data stored in a large number of arrays are referenced in a statement of form (5), because in such cases the cache-miss rate can be very high. One alternative to allocating separate data arrays is to declare a single array for storing all the discrete fields in (5). In a Fortran code, one **can** thus define an “block-oriented” array of the form

$$f(m, idim, jdim, kdim). \quad (6)$$

The use of a data array of the form (6) to evaluate (5) could, in principle, reduce the cache-miss rate, because grid variables in the neighborhood of a certain cell are stored closer to each other in memory than the case when separate arrays are used. When data arrays of the size 32x 32x 32 in form (4) are used, our test **code** evaluating a seven-point **Laplace** stencil applied to several discrete fields showed a speed-up a factor of 5 over the use of separate arrays on the Intel Paragon, and a speed-up factor of 2.6 was achieved on Cray T3D for the same size data arrays. Encouraged by this result, we tried the use of block array in the **advection** routine, where about a dozen three-dimensional arrays were combined into one single array. A performance comparison between the **code** with block array and the code with separate arrays did not show any advantage of using the block array. For some sizes of data array, the code with the block array underperformed the **code** with separate arrays. A more careful examination of the **advection** routine revealed some conflicting factors regarding the **selection** of a good data structure for cache efficiency. A basic fact is that the dry-convection routine contains many different types of array-processing loops which reference a varying number of data arrays. The block array may be a better data structure for cache efficiency in a loop referencing all the grid variables in the block array, but it could be a worse data structure (than the separate arrays) for code in other loops which only reference a small subset of grid variables in the block array. It is

therefore not easy to predict the overall effect on the cache performance for a non-trivial code when a block array or separate arrays are used. A side-effect of using the block array is the poor readability of the code, which makes it error-prone and harder to debug.

4. Performance studies

Timings have been performed on the Intel Paragon and Cray T3D (Some timing on IBM SP-2 were also performed, but are not shown here) for the parallel AGCM code with the new filtering module and the results were compared to those from the original code. The message-passing portability of the **filtering** module was achieved by using **MPI** protocols in the code. Since the

Table S: AGCM timings (seconds/simulated day) with new filtering module on Intel Paragon grid resolution: 2 x 2.5x 9

Node mesh	Dynamics	Dynamics speed-up	Total time (Dynamics and Physics)
1X1	8075	1.0	11225
4 x 4	639.0	12.6	992.6
8 x 8	207.5	38.9	306.0
8 x 30	87.2	92.6	119.0

Table 6: AGCM timings (seconds/simulated day) with old filtering module on Cray T3D grid resolution: 2 x 2.5x 9

Node mesh	Dynamics	Dynamics speed-up	Total time (Dynamics and Physics)
1X1	3480	1.0	5600
4 x 4	339	11,3	470
8 x 8	146	26.3	177
8 x 30	74	51.9	87.5

UCLA AGCM code uses a **NETCDF** input history file and we do not have a **NETCDF** library available on the Paragon, we had to develop a byte-order reversal routine to convert the history data to use on Intel Paragon, We discuss here only timing results obtained on the Intel Paragon, which are qualitatively similar to those obtained on the Cray T3D and the IBM SP-2, Tables 4-7 show comparisons of execution time for the Dynamics part and for the entire AGCM code (including the Physics part) using the 9-layer model on Intel Paragon and Cray T3D. Tables 8 and 9 show a comparison of costs for doing the filtering using different versions of the filtering module with the **9**-layer model, and Tables 10-11 show the costs of filtering in the 15-layer model on Paragon and

Table 7: AGCM timings (seconds/simulated day) with old filtering module on Cray T3D
grid resolution: 2 x 2.5x 9

Node mesh	Dynamics	Dynamics speed-up	Total time (Dynamics and Physics)
1X1	3230	1,0	4990
4 x 4	256	12.6	397
8 x 8	83	38.9	122
8x30	35	92.3	48

Table 8: Total filtering times (seconds/simulated day) on Intel Paragon for the 2 x 2.5 x 9 grid resolution

Node mesh	Convolution	FFT without load balance	FFT with load balance
4 x 4	309.5	111.4	87.7
4 x 8	240.0	88,0	53.7
8x8	189.5	66,4	38.2
4X30	99.6	43.7	22,2
8x30	90,0	37.5	18,5

T3D. For all the timing runs, a 2 x 2.5 horizontal grid resolution is used. In comparison to the old AGCM code, the Dynamics component in the new code is a little more than twice as fast on 240 nodes. The scaling (or speed-up) of the entire code also improved significantly, which is clearly a result of the load-balanced filtering. The load-balanced **FFT** filtering module runs about five times faster than the old convolution filtering module on 240 nodes for both the 9-layer model and the **15**-layer model. Tables 8-9 and 10-11 show that the scaling of load-balanced **FFT** filtering for the **9**-layer model is about 4.74 running on 240 nodes versus running on 16 nodes with a parallel efficiency of **32%**, and the scaling of load-balanced filtering for the 15-layer model is about 5.87 with a parallel efficiency of 39%. The improved efficiency for the 15-layer model **reflects** the higher ratio of local computational load over **interprocessor** communication cost when more **vertical** layers are added to the AGCM model. Although not shown here, we found the scaling of the whole AGCM code for the 15-layer model is about the same as the 9-layer model, This could be the result of the fact that in the 15-layer model, some additional load-imbalance is introduced in other parts of the AGCM **code**. We would expect even better **scaling** be achieved for the parallel filtering as well as for the overall AGCM code for higher horizontal and vertical resolution versions, The execution times also consistently show that the parallel AGCM code runs about 2,5 times faster on Cray 3D than on Intel Paragon

Table 9: Total filtering times (seconds/simulated day) on Cray T3D for the 2 x 2.5x 9 grid resolution

Node mesh	Convolution	FFT without load balance	FFT with load balance
4 x 4	123.5	44.6	35.1
4 x 8	96.0	35.2	21.5
8 x 8	75.8	26.4	15.3
4X30	39.6	17.5	8.9
8x30	36.0	15.0	7.4

Table 10: Total filtering times (seconds/simulated day) on Intel Paragon for the 2 x 2.5x 15 grid resolution

Node mesh	Convolution	FFT without load balance	FFT with load balance
4 x 4	802	304	221
4 x 8	566	205	118
8 x 8	422	150	85
4X30	217	96	49
8x30	188	81	37

5, Software design issues for GCM applications

GCM simulation codes are typically very large software packages containing tens of **thousands** lines of **code** and hundreds of source code files, which were developed over a long period of time and are often still being updated by different groups of scientists. Another goal of our work is trying to develop a framework for developing portable, reusable and maintainable library-type modules which will be useful for current and **future** GCM applications.

The original parallel AGCM code was implemented in F77 with an internal message-passing interface. The **portability** of the code on distributed memory multiprocessors was achieved by using two macro utilities for message-passing interfaces and memory-allocation protocols. This macro-oriented approach unfortunately also introduced some complications to the **code** maintenance and modifications. First, the code needs to go through two macro preprocessors before a standard **Fortran 77** compiler **can** be applied, which **can** cause problems when moving the code to a new **machine** because macro preprocessors may behave differently. Embedding macros in the code also make changes to the code error-prone if one is not familiar with how the macros will be expanded, We **think** portability for AGCM code can be achieved in a simpler and more reliable way. Our approach is to **define** generic interfaces for possibly machine-dependent operations such as message-passing interfaces and memory management, but the implementation of the interfaces is

Table 11: Total filtering times (seconds/simulated day) on Cray T3D for the 2 x 2.5 x 15 grid resolution

Node mesh	Convolution	FFT without load balance	FFT with load balance
4 x 4	320	121	88
4 x 8	226	82	47
8 x 8	168	60	34
4x30	86	38	19
8x30	75	32	15

wrapped up in a very small number of subroutines. These subroutines are selectively compiled depending on the specific machine where the code is to run. We believe our approach can reduce the machine-dependent portion of the code to a minimum and thus make the maintenance and modification to the code much easier. The old parallel AGCM code is also hard to modify due to the spread of many global variables in various parts of the **code**. When we tried to make a small change to some data structure used in the Physics component for load-balancing, for example, it turned out changes had to be made in many parts of the code for it to work, which is both time-consuming and error-prone. We are rewriting parts of the AGCM code in **Fortran 90** with the principle of data encapsulation and minimization of global data, which we believe will save the cost of maintaining and modifying the code in the long run.

We are also identifying common numerical algorithms and other operation components in GCM applications, and developing code modules which are reusable and extensible (as application templates) for different GCM applications. In our view, candidate components for GCM applications include efficient finite-difference kernels, parallel spectral filters, communication modules for exchanging ghost-point values at domain-partition boundaries and enforcing (physical) periodic boundary condition, load-balance modules, and fast (parallel) linear system solvers for implicit **time-differencing** schemes. We believe that, within the scope of GCM applications, these **code** components can be developed in a unified, highly modular and efficient manner, and we **think** an objected-oriented approach (at least for building the infrastructure of a generic GCM application) implemented in an advanced scientific computing language like **Fortran 90** should be used in the code development. **With** these code components available, the **prototyping** and implementation of a new, portable and efficient GCM software package on distributed-memory multiprocessors (and on other types of systems as well) will be a lot faster and easier.

6. Conclusion and future work

We have presented our analysis and optimization strategies to improve the overall performance of the parallel UCLA AGCM code on massively parallel computers by implementing a **load-balanced FFT** filtering module for the Dynamics component, and a load-balancing module for the physics component. Performance comparisons of the AGCM codes with old and new spectral **filtering** modules show that a speed-up of a factor 2 is achieved as a result of our work on 240 nodes. Our analysis shows that a load-balanced physics component could improve the AGCM code perfor-

mance by an additional 10-15%. We then discussed our preliminary efforts on single-node performance optimization for selected subroutines from the AGCM code, including the lessons we learned from our attempts to improve the cache efficiency, and the impact an optimized pointwise vector-multiply routine **could** have on the code performance. We also presented our views on making better software designs for GCM applications through developing efficient, reusable and modular code components. A complete implementation of a multiple-pass load-balancing module for the Physics component will be finished soon. Single-node performance tuning for cache-based RISC processors is the other on-going effort in our performance optimization on the AGCM code.

Acknowledgments

This work was supported in part by the NASA High Performance Computing and Communication for Earth and Space Sciences Project under Grant NAG 5-2224. The authors wish to thank **Dr. Robert D. Ferraro** of Jet Propulsion Laboratory and Professor **C. Roberto Mechoso** of University of California, **Los Angeles**, for their encouragement and support of this work. The investigations reported here were conducted on a Intel Paragon operated by the Concurrent **Supercomputing Consortium** at **Caltech** and a Paragon located at the Jet Propulsion Laboratory, on a Cray T3D system operated by the Jet Propulsion Laboratory, and on IBM SP2 operated by NASA Ames Research Center.

References:

1. **A. Arakawa** and V. Lamb, "Computational Design of the Basic Dynamical Processes of the UCLA General Circulation Model.", *Methods in Comp. Phys.* 17 (1977) 173-265.
2. **M.F. Wehner, A.A. Mirin, P.G. Eltgroth, W.P. Dannevik, C.R. Mechoso, J. Farrara, J.A. spahr,** "Performance of a Distributed Memory Finite-Difference Atmospheric General Circulation Model.", *Parallel Computing* 21, 1655-1675, 1995.
3. **M.J. Suarez, A. Arakawa,** and D.A. Randall, "The Parameterization of the planetary **boundary** layer in the UCLA General Circulation Model: Formulation and Results.", *Mon. Wea. Rev.*, 111, 2224-2243, 1983.
4. "Introduction to the UCLA General Circulation Model: Its History, Present State and Future Direction", UCLA Atmospheric Science 281 Course Note, Winter 1995.